

Education and Training Committee, 11 September 2018

Education annual data set: 2017-18 academic year

Executive summary and recommendations

Introduction

This paper provides the Committee with a snapshot of outcomes related to the HCPC's education function for the 2017-18 academic year. This in in keeping with the Committee's role to provide oversight to this regulatory area. Particular highlights are noted in this paper, with the full data set included as Appendix 1.

A subset of the data and analysis provided here will form part of a regulatory

performance report (covering education, registrations and fitness to practice
functions) which is planned for publication by the Communications Department in the
next financial year. The full data set used here will also be available on the website
for public access and download.

Decision

None.

Background information

Education annual data set 2016-17 - https://www.hcpcuk.org/globalassets/meetings-attachments3/education-and-trainingcommittee/2018/june/enc-04---education-annual-report-data-set/

Resource implications

None.

Financial implications

None.

Date of paper

25 May 2018

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Education annual data set: 2017-18 academic year

1 The data set

- 1.1 The education annual data set includes data regarding the following areas of our work:
 - Approved programmes at academic year end
 - Approval process
 - Major change process
 - Annual monitoring process
 - Concerns process
- 1.2 All figures gathered for each section relate to work where we carried out an assessment of a programme in the 2017-18 academic year. This means we have adjusted all final outcomes to include those which were finalised in the following academic year (due to timing of the assessment carried out). Most sources of data count assessments carried out on an individual programme basis (rather than at case level).
- 1.3 We have highlighted the pertinent points within each process, without necessarily addressing each result included in the full data set in Appendix 1.

2 Approved programmes at academic year end

2.1 Our overall rate of new programme generation increased to 7 per cent in this period, factoring in programme closures. This is in contrast to the average increase / decrease seen over the previous three years.

Changes in approved programme numbers between years

2014-15	2015-16	2016-17	2017-18
-2.6%	-2.2%	0.9%	7.3%

- 2.2 Factors driving this programme generation are many, some dependent on specific profession developments, whilst others cut across all professions. The Committee should note the majority of new programme generation is not confined to social workers. Further analysis of new programme generation is included within the approval process section. However, broadly speaking key developments influencing this result include:
 - Degree apprenticeships in England

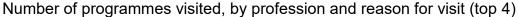
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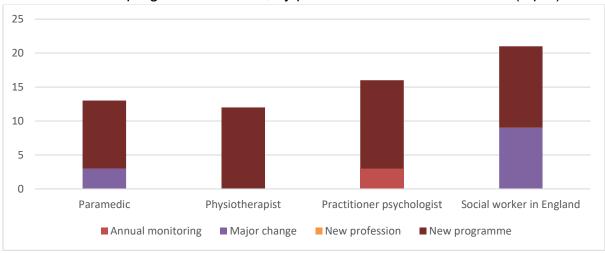
- Diversification of higher education provision through regulatory / policy changes in England
- Medicines entitlements changes for some professions

3 Approval process

Reasons for visiting programmes

3.1 We visited programmes from 12 of the 16 professions we regulate. The top four professions highlighted below reflect a broader trend of sector developments having impacts across a number of professions, leading to new programmes and significant changes to those already approved with us.





- 3.2 New programme generation in social work related mostly to post-graduate provision. We are familiar with regular funding provided to support this area of training, so this result is expected, particularly where new providers are awarded contracts to deliver this training.
- 3.3 Paramedic and practitioner psychologist programmes continue to generate a high levels of new programme approval activity, a trend which continues on from the previous period. For paramedics, new programme generation related solely to degree level training, in keeping with our requirements for the revised threshold for this profession which will come into effect in September 2021¹.

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¹ Threshold level of qualification for entry to the Register for paramedics - http://www.hpc-uk.org/assets/documents/100056F2Enc02-Thresholdlevelofqualificationforparamedics.pdf

- 3.4 Changes in commissioning for allied health professions (AHPs) started to generate new education providers seeking to deliver provision in physiotherapy. We expect this trend to continue across all professions in future years.
- 3.5 It is unclear why practitioner psychologists continues to generate a higher than expect rate of new programmes with new programmes being assessed for six different education providers (relating particularly to counselling, educational, forensic and health psychologist training), as there appear to be no obvious sources of new funding. It may be the case that the market is providing further opportunity to align available training routes to workforce needs, although we have no further data available to support this.

Time taken to complete the approval process

3.6 We aim to complete the post-visit process within three months of the visit concluding. This year, 31 per cent of programmes completed the process within this timeframe, which is broadly consistent with the previous year. However, it most pertinent to highlight that the majority of programmes completed the process within a four month timeframe (85 per cent), repeating the outcome from last year.





3.7 This is mainly due to education providers needing on average around 2.4 months to respond to provide their first response to any conditions we place on approval. This continues a trend seen in recent years whereby the number and complexity of conditions we place on approval has directly impacted on how long it takes for education providers to reach a final outcome. Despite this, we have continued to produce visitors' reports consistently within our 28 day target, averaging 25 days to produce these.

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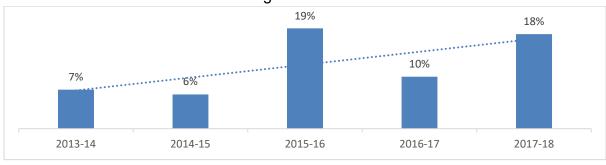
Days taken for education provider to receive visitors' report



Cancelled visits

3.8 We continue to see the higher occurrence of cancelled visits since 2015-16. This year we also had an 11 per cent increase in the proportion of programmes withdrawn at or after visit had taken place. This usually occurs when the education provider has decided not to meet conditions we have placed on approval. Depending on when the cancellation takes place, we may incur more costs for partner fees, travel, accommodation, notwithstanding the employee costs associated with scheduling, and visitor panel and education provider support.

Percentage of visits cancelled



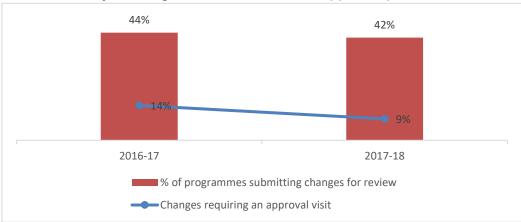
4 Major change process

Major change notifications

4.1 Whilst the number of major change notifications we received remained consistent to last year (as a proportion of all approved programmes), we referred less to our approval process for assessment. This is a useful indicator of the nature and extent of changes being made within the training routes for our professions.

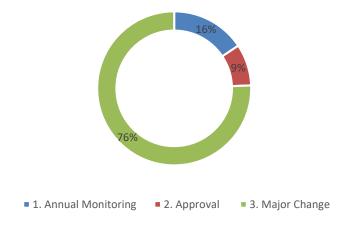
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Major changes we referred to the approval process



- 4.2 We did adjust our assessment of degree apprenticeship programmes during this period to allow for more changes to approved programmes to be considered via this process where it is proportionate to do so². This should assist with better identification of changes requiring an approval visit and may have contributed in part to a slight decrease in referrals compared to last year.
- 4.3 We referred almost 91 per cent of all other changes to our major change and annual monitoring processes (as illustrated by the graph below). In this regard, our open-ended approval approach still seems to be providing a cost-effective way of focusing on the assessment of significant change in a proportionate way.

Executive recommendations made regarding change notifications



² Education and Training Committee meeting, 7 March 2018 - https://www.hcpc-uk.org/globalassets/meetings-attachments3/education-and-training-committee/2019/01.-07.03.2019/enc-03---reviewing-our-approach-to-quality-assuring-higher-and-degree-apprenticeships.pdf

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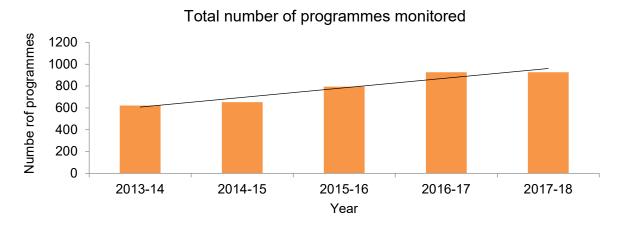
Time taken to complete notification and full major change process

Process stage	2017-18	5 yearly average	Target
Notification forms (referred to annual monitoring or approval process)	2.3	1.7	2.0
Complete the full major change process	11.1	11.0	12.0

4.4 We exceeded our notification stage timescale for how long education providers should expect to receive an outcome. We will continue to monitor this area of the process to understand if further improvements in efficiency can be made. The complexity of changes in recent times has necessitated more engagement with education providers to understand the impact to standards and the most proportionate process to use to assess any changes, which is a likely factor influencing this result.

5 Annual monitoring process

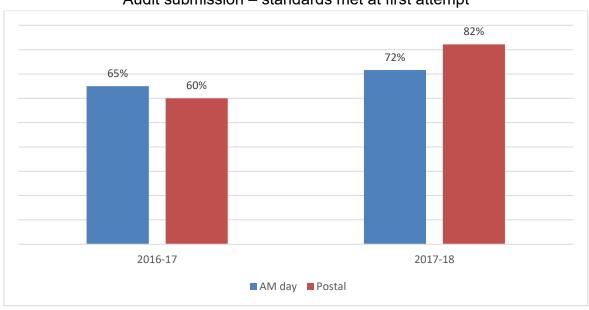
Number of programmes we monitored



5.1 Whilst the overall number of programmes being monitored has increased since 2013-14, the numbers have stabilised over the last two years. This is consistent with the steady number of overall approved programmes during this period (as discussed in section 2). Given the small increase in approved programmes this year, we can expect this to impact on annual monitoring in around two years' time, once these programmes become eligible to engage with this process for the first time.

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When we require additional documentation to be submitted



Audit submission – standards met at first attempt

- 5.2 Over the past two years, we have worked to address a disparity in outcomes within this annual monitoring process based on our method of assessment: assessment day versus postal assessment. We have managed to achieve consistency in this area over the past two years, following further training and guidance for both executives and visitors, and more effective back office systems to manage this process. This has benefitted the understanding of our service user and carer requirement in particular, which had historically driven further documentation requests from visitors.
- 5.3 This has meant a higher proportion of programmes meeting our standards at their first attempt this year, and continued parity in this outcome when considering the method of assessment. We expect challenges next year with our assessment of the revised standards, which include the introduction of new education standards and significant changes to existing ones. We are already working on our communication with education providers to prepare them appropriately.

6 Programme concerns process

		% of all
	No of	approved
Year	programmes	programmes
2014-15	5	0.5%
2015-16	6	0.6%
2016-17	9	0.8%
2017-18	10	0.9%

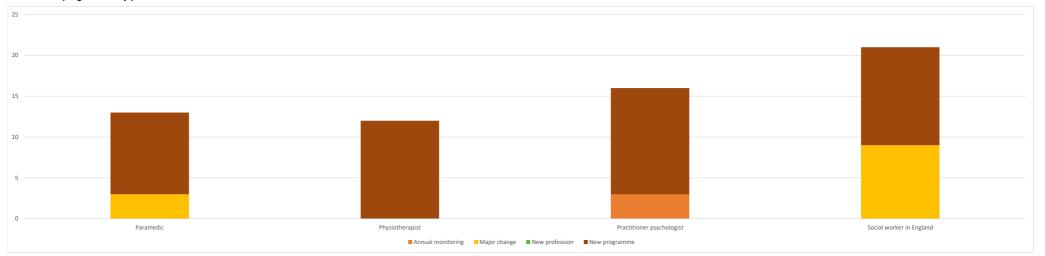
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- 6.1 The number of programmes subject to a concern being raised and investigated continue to remain low.
- 6.2 Whilst this is the case, it is worth noting the process itself once started appears to be effective in allowing for a range of outcomes to be reached. In this period we investigated three concerns fully, with 2 referred to annual monitoring, and one requiring no further action. We changed our approach to seek to resolve quality assurance issues within the concerns process itself, rather than referring to another process to deal with where possible. Our results this year indicate this is proving effective.

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2017-18 academic year - Approvals: Reason for visit

Most visited programmes by profession and reason for visit

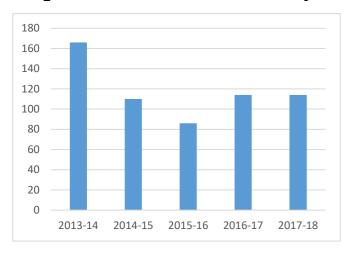


	2015-16					2016-17					2017-18				
Profession / entitlement	Annual monitoring	Major change	New profession	New programme	Total	Annual monitoring	Major change	New profession	New programme	Total	Annual monitoring	Major change	New profession	New programme	Total
AMHP	0	0	0	2	2	0	0	0	2	2	0	0	0	0	0
Arts therapist	0	0	0	0	0	0	0	0	1	1	0	0	0	3	3
Biomedical scientist	0	1	0	1	2	0	1	0	4	5	0	0	0	5	5
Chiropodist / podiatrist	2	0	0	0	2	0	2	0	1	3	0	1	0	0	1
Clinical scientist	0	0	0	0	0	0	0	0	1	1	0	0	0	0	0
Dietitian	0	0	0	0	0	0	5	0	1	6	0	0	0	4	4
Hearing aid dispenser	0	0	0	3	3	0	1	0	0	1	0	0	0	3	3
Occupational therapist	2	3	0	1	6	0	4	0	5	9	0	2	0	7	9
Operating department practitione	0	1	0	2	3	0	2	0	6	8	0	3	0	4	7
Orthoptist	0	0	0	0	0	0	0	0	0	0	0	1	0	2	3
Paramedic	0	12	0	16	28	1	5	0	9	15	0	3	0	10	13
Physiotherapist	0	1	0	3	4	0	1	0	8	9	0	0	0	12	12
Podiatric surgery	0	0	5	0	5	0	0	0	2	2	0	0	0	0	0
Practitioner psychologist	0	1	0	0	1	0	4	0	11	15	3	0	0	13	16
Prescribing (SP/IP)	0	1	0	2	3	0	2	0	5	7	0	0	0	1	1
Prescription-only medicines	0	0	0	0	0	0	2	0	0	2	0	0	0	4	4
Prosthetist / orthotist	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Radiographer	0	0	0	3	3	0	2	0	3	5	0	2	0	2	4
Social worker in England	0	2	0	21	23	0	2	0	9	11	0	9	0	12	21
Speech and language therapist	0	0	0	0	0	0	5	0	7	12	0	3	0	5	8
Total	4	22	5	54	86	1	38	0	75	114	3	24	0	87	114

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2017-18 academic year - Approvals: Reason for visit

Programmes visited over the last five years



2013-14	166
2014-15	110
2015-16	86
2016-17	114
2017-18	114

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2017-18 academic year - Approvals: Outcomes

Summary of outcomes following completion of approval visit

Decision	2015-16	2016-17	2017-18
Approval of programme without any conditions	5	7	7
Approval of programme subject to all conditions being met	52	97	89
Further visits required as part of approval of programme subject to all condtions being met		1	1
Non-approval of new programme	0	0	0
Withdrawal of approval from a currently approved programme	0	0	0
Pending	5	0	0
Total	62	105	97

Summary of final outcomes following completion of approval process*

Decision	Number	Percentage	New programmes	Existing programmes
Approval of a programme without any conditions	7	7%	7	0
Approval of a programme subject to all conditions being met	86	91%	63	24
Further visits required as part of approval of programme subject to all condtions being met	1	1%	1	0
Non-approval of new programme	0	0%		
Withdrawal of approval from a currently approved programme	0	0%		
*excludes cases where education provider cancelled after the visit was held	94	100%	71	24

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2017-18 academic year - Approvals: Time

22-28 days

29 days +

Total

28 days or less

More than 28 days



60

89

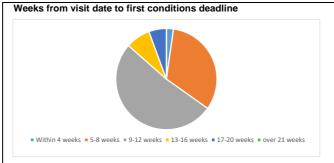
96

33%

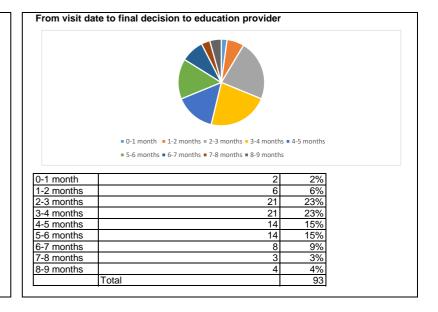
15%

93%

7%

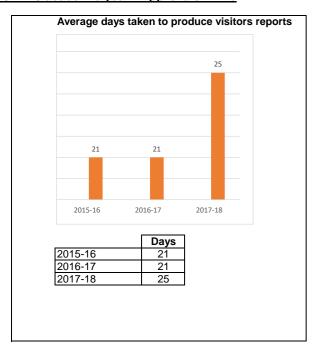


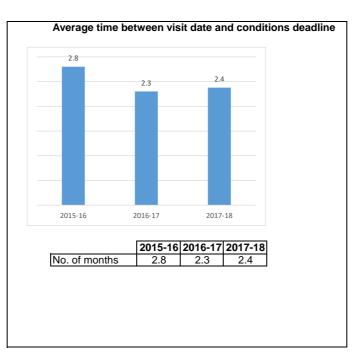
	No conditions to meet	7	N/A
0-28	Within 4 weeks	2	2%
29-56	5-8 weeks	29	33%
57-84	9-12 weeks	46	52%
85-112	13-16 weeks	7	8%
113-140	17-20 weeks	5	6%
141-224	over 21 weeks	0	0%
	Total	89	

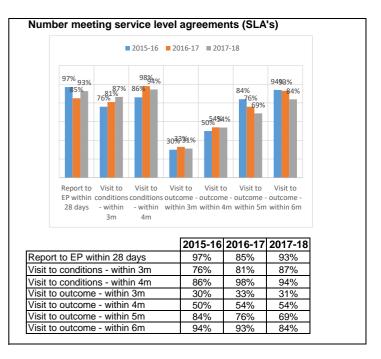


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2017-18 academic year - Approvals: Time







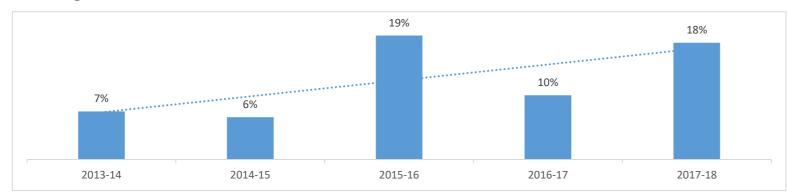
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2017-18 academic year - Approvals: Cancellations

Who cancelled visit	2013-14	2014-15	2015-16	2016-17	2017-18
Joint decision	0	0	1	1	0
Initiated by education provider	12	7	14	8	19
Initiated by the HCPC	0	0	1	2	1
Total programmes scheduled where visit cancelled	12	7	16	11	20
Total programmes scheduled for visit	166	110	86	114	114

When visit were cancelled	2013-14	2014-15	2015-16	2016-17	2017-18
Before the visit	9	4	11	7	17
At the visit or after visit	1	2	0	2	1
After the visit - report sent to education provider - %	2	1	2	2	2

Percentage of visits cancelled

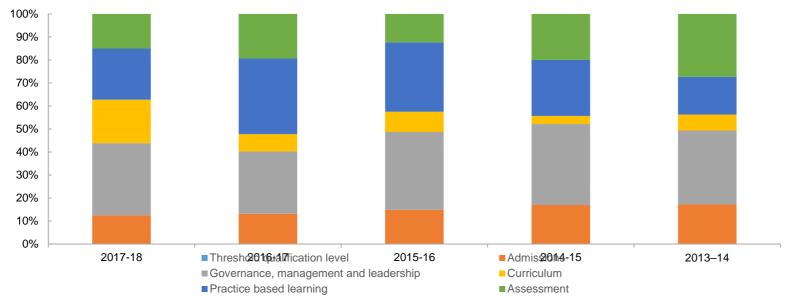


	2013-14	2014-15	2015-16	2016-17	2017-18
% of programmes where visits were cancelled	7%	6%	19%	10%	18%
Before the visit	5%	4%	13%	6%	85%
At the visit or after visit	1%	2%	0%	2%	5%
After the visit - report sent to education provider	1%	1%	2%	2%	10%

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2017-18 academic year - Conditions

Percentage split by standards area on conditions applied following an approval visit

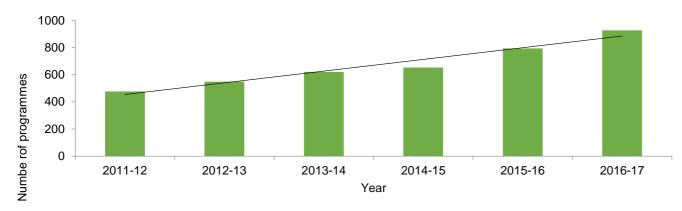


Cases where conditions were applied		51						
				2017-18	2016-17	2015-16	2014-15	2013–14
	7	Γotal	Average	%	%	%	%	%
	SET 1	0	0.0	0%	0%	0%	0%	0%
	SET 2	80	1.6	13%	13%	15%	17%	17%
	SET 3	200	3.9	31%	27%	34%	35%	32%
	SET 4	121	2.4	19%	7%	9%	4%	7%
	SET 5	142	2.8	22%	33%	30%	24%	16%
	SET 6	96	1.9	15%	19%	12%	20%	27%
	Total	639	12.5	100%				

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2017-18 academic year - Annual monitoring: Programmes

Total number of programmes monitored



Year	Number of programmes	Difference (+/-)	% difference (+/-)
2013-14	621	72	12%
2014-15	653	32	5%
2015-16	794	141	18%
2016-17	927	133	14%
2018-18	926	-1	0%

% increase over 5 years	33%
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2017-18 academic year - Annual monitoring: Programmes

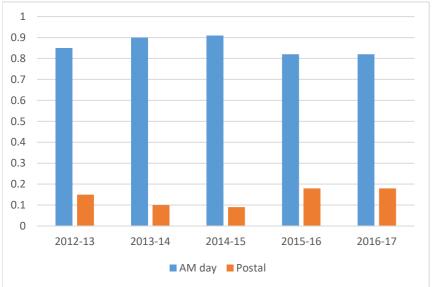
Breakdown of annual monitoring submissions - by profession and entitlement

Professions/entitlement	<u> </u>		% declarations	% audits	% total received
Approved mental health professionals	24	7	5%	2%	3%
Arts therapist	7	21	1%	5%	3%
Biomedical scientist	39	20	7%	5%	6%
Chiropodist / podiatrist	8	7	2%	2%	2%
Clinical scientist	1	2	0%	1%	0%
Dietitian	9	18	2%	5%	
Hearing aid dispenser	11	6	2%	2%	2%
Occupational therapist	23	37	4%	9%	6%
Operating department practitioner	19	10	4%	3%	3%
Orthoptist	2	0	0%	0%	0%
Paramedic	46	9	9%	2%	6%
Physiotherapist	35	30	7%	8%	7%
Practitioner psychologist	59	33	11%	8%	10%
Podiatric surgery	0	0	0%	0%	0%
Prosthetist / orthotist	1	1	0%	0%	0%
Radiographer	22	25	4%	6%	5%
Social worker in England	145	82	27%	21%	25%
Speech and language therapist	14	9	3%	2%	
Prescribing	66	73	12%	19%	15%
Prescription only medicine	1	4	0%	1%	1%
	532	394	100%	100%	100%

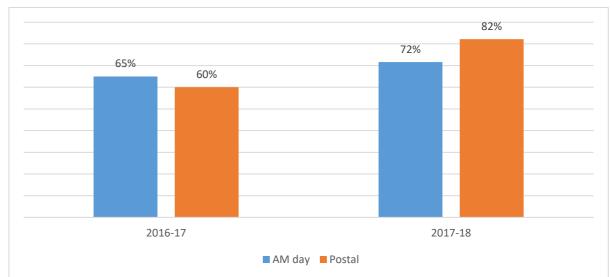
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2017-18 academic year - Annual monitoring: Assessment

Method of assessment - Audits



Standards met at first attempt - Audits



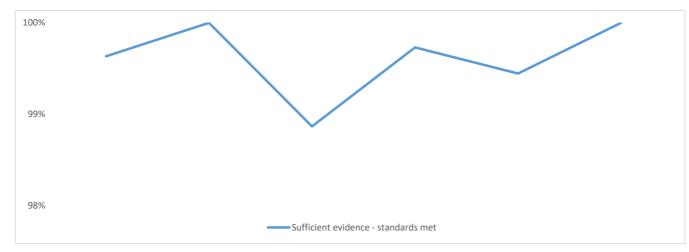
Year	Method of assessment					
	AM	day	Pos	stal		
2013-14	252	90%	27	10%		
2014-15	322	91%	33	9%		
2015-16	306	82%	66	18%		
2016-17	441	82%	100	18%		
2017-18	338	86%	56	14%		

	Method of assessment							
	AM day Postal							
Year	Y	es	No		Yes		No	
2016-17	286	65%	155	35%	60	60%	40	40%
2017-18	242	72%	96	28%	46	82%	10	18%

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2017-18 academic year - Annual monitoring: Outcomes

Summary of audit outcomes



Years	2012	2-13	2013	3-14	2014	1-15	2015	5-16	2016	6-17	2017	7-18
Sufficient evidence - standards met	272	99.6%	273	100%	349	99%	371	99.7%	538	99.4%	394	100%
Insufficient evidence - standard not met	1	0.4%	0	0%	4	1%	1	0.3%	3	0.6%	0	0%

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2017-18 academic year - Annual monitoring: Time

Average time taken to consider declaration, compared to previous years

	2015-16	2016-17	2017-18
No. of months	1.0	1.2	1.1

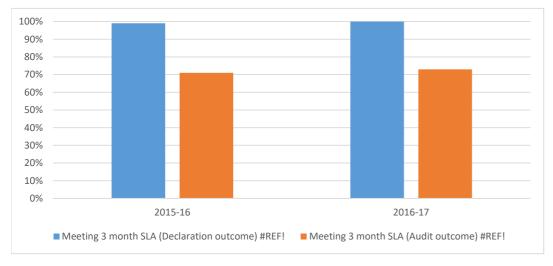
Average 1.1

Average time taken to consider audit, compared to previous years

	2015-16	2016-17	2017-18
No. of months - overall	2.9	2.4	2.3
No. of months - additional documentation required	3.2	3.2	2.9
No. of months - no additional documentation required	2.2	1.9	2.1

Avera	ge
	2.5
	3.1
	2.1

Number meeting AM service level agreements (SLA's)



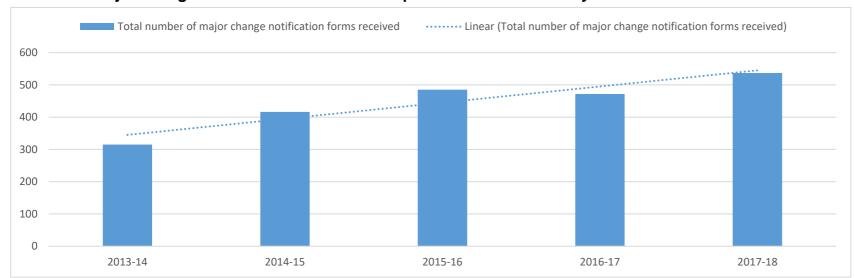
	2015-16	2016-17	2017-18
Meeting 1 month within SLA (Declaration outcome)	59%	45%	42%
Meeting 2 month within SLA (Declaration outcome)	97%	81%	55%
Meeting 3 month SLA (Declaration outcome)	99%	100%	3%
Meeting 1 month within SLA (Audit outcome)	10%	9%	1%
Meeting 2 month within SLA (Audit outcome)	33%	40%	45%
Meeting 3 month SLA (Audit outcome)	71%	73%	32%

Average
49%
78%
67%
7%
39%
59%

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2017-18 academic year - Major change: Notfications

Number of major change notifications received compared over the last five years



Number of major change notifications received compared over the last five years

	2013-14	2014-15	2015-16	2016-17	2017-18
Total number of major change notification forms received	315	416	485	472	537

Notification forms withdrawn (cancelled)	48	50	30	37	45
Notifications on-hold at academic year end					12

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2017-18 academic year - Major change: Notfications

Breakdown of major change notification forms received - by profession and entitlement

	2016-1	7	2017-1	8
Profession	Notifications	%	Notifications	%
AMHP	8	1.70%	10	1.86%
Arts therapist	15	3.20%	28	5.21%
Biomedical scientist	23	4.90%	6	1.12%
Chiropodists / podiatrist	9	1.90%	12	2.23%
Clinical scientist	3	0.60%	5	0.93%
Dietitian	14	3.00%	16	2.98%
Hearing aid dispenser	7	1.50%	8	1.49%
Occupational therapist	48	10.20%	42	7.82%
Operating department practitioner	23	4.90%	15	2.79%
Orthoptist	2	0.40%	1	0.19%
Paramedic	32	6.80%	38	7.08%
Physiotherapist	43	9.10%	35	6.52%
Practitioner psychologist	35	7.40%	32	5.96%
Prescribing (SP/IP)	39	8.26%	84	15.64%
Prescription-only medicines	6	1.30%	2	0.37%
Prosthetists / orthotists	0	0.00%	1	0.19%
Radiographer	30	6.40%	28	5.21%
Social worker in England	119	25.20%	157	29.24%
Speech and language therapist	16	3.40%	17	3.17%
	472	100%	537	100%

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2017-18 academic year - Major change: Decisions

Major change notifications - by Education Department recommendation

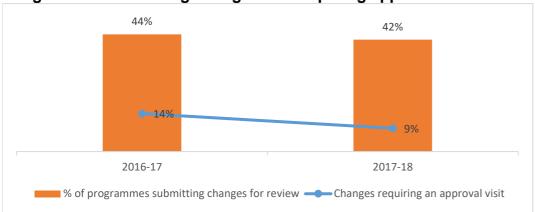


Process to review	2016-17	2016-17	2017-18	2017-18
1. Annual Monitoring	47	11%	77	16%
2. Approval	62	14%	43	9%
3. Major Change	326	75%	372	76%
Pending - 3. Major change	0	0%	0	0%
	435	100%	492	100%

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2017-18 academic year - Major change: Decisions

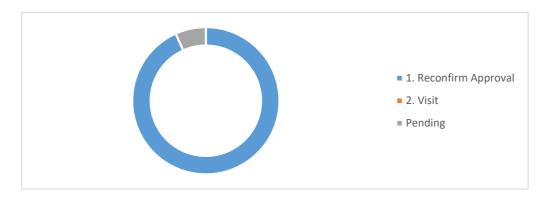




	2016-17	2017-18
Changes requiring an approval visit	14%	9%
% of programmes submitting changes for review	44%	42%

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Major change notifications considered through major change process - by visitor recommendation



Outcome	2016-17	2016-17	2017-18	2017-18
Reconfirm Approval	312	99.7%	347	93.3%
2. Visit	1	0.3%	0	0.0%
Pending	0	0.0%	25	6.7%
	313	100%	372	100%

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2017-18 academic year - Major change: Time

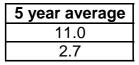
Average time taken to consider notification forms (AM or APP recommendation) over the last 5 years

	2013-14	2014-15	2015-16	2016-17	2017-18
No. of weeks	1.1	1.8	1.6	1.8	2.3
No. of months	0.3	0.4	0.4	0.4	0.5

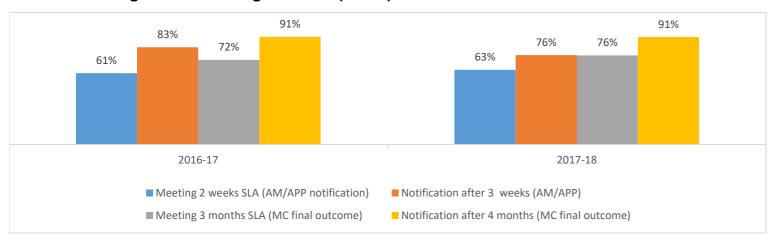
5 year average
1.7
0.4

Average time taken to complete MC process over the last 5 years

			2013-14	2014-15	2015-16	2016-17	2017-18
No. of week	S		11.2		8.9	10.4	11.1
No. of mont	ns		2.8	3.4	2.2	2.6	2.6



Number meeting service level agreements (SLA's)



Service levels	2013-14	2014-15	2015-16	2016-17	2017-18
Meeting 2 weeks SLA (AM/APP notification)	87%	81%	65%	61%	63%
Notification after 3 weeks (AM/APP)	100%	87%	81%	83%	76%
Meeting 3 months SLA (MC final outcome)	59%	86%	84%	72%	76%
Notification after 4 months (MC final outcome)	92%	93%	96%	91%	91%

5 year average
71%
85%
75%
93%

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2017-18 academic year - Programme concerns

Concerns received

Year	No of programmes	% of all approved programmes
2014-15	5	0.5%
2015-16	6	0.6%
2016-17	9	0.8%
2017-18	10	0.9%

Review of submission

Year	Investigate concern	Do not investigate	Withdrawn
2014-15	2	3	
2015-16	3	3	
2016-17	5	3	1
2017-18	3	7	0

Final outcome

	No further action	Refer to AM	Refer to MC	Refer to Approvals	Directed visit
2014-15	0	0	0	2	0
2015-16	3	0	0	0	0
2016-17	4	0	0	0	1
2017-18	1	2	0	0	0

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2017-18 academic year - Approved programmes

Approved programmes (as of 31 August)

Pre-registration	2013-14	2014-15	2015-16	2016-17	2017-18	%
Arts therapist	34	33	29	28	31	3%
Biomedical scientist	67	65	62	64	67	6%
Chiropodist / podiatrist	23	23	19	18	19	
Clinical scientist	3	3	3	3	4	0.3%
Dietitian	32	32	32	33	39	3%
Hearing aid dispenser	23	23		18		2%
Occupational therapist	80	73	70	72	75	
Operating department practitioner	46	42	38	36	39	
Orthoptist	3	3		3	6	
Paramedic	60	72	78	76	79	7%
Physiotherapist	73	70	71	75	83	7%
Practitioner psychologist	97	97	101	104	114	10%
Prosthetist / orthotist	3	3	2	2	2	0.2%
Radiographer	55	52	54	57	57	5%
Social worker in England	276	256	253	251	255	22%
Speech and language therapist	37	36	34	36	45	4%
Post-registraion	2014-15	2015-16	2016-17	2017-18	2017-18	%
Approved mental health professional	34	36		33		3%
Prescribing	154	152	148			
Local anaethesia	4	4	4			
Podiatric surgery				2	2	0.2%
Prescription-only medicines - administration, sale & supply (combined)	9	9	7	10	33	3%
Total approved programmes	1113	1084	1060	1069	1147	100%
Liotal approved programmes	1113	1084	1000	1009	114/	100%

Existing and new programmes (new programmes minus closed programmes)

	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18
Existing professions / entitlements	696	695	1012	1021	1084	1060	1067	1147
New professions / entitlements	0	303	0	92	0	0	2	0
Total number of approved programmes	696	998	1012	1113	1084	1060	1069	1147

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