

Education and Training Committee, 1 March 2018

Registration performance reporting to the Education and Training Committee

Executive summary and recommendations

Introduction

This paper describes a proposal for a revised approach to performance reporting to the Education and Training Committee (ETC), taking account of previous discussion and feedback.

In summary, it is proposed that the Registration Department provides ETC with a performance report at each committee meeting. The Executive has proposed the data and commentary that should be included on the basis that they appear to be the most salient to the ETC's role in monitoring and assessing overall registration performance. The paper also proposes the production of an annual registration report with initial suggestions of content.

The Committee is invited to discuss, further refine and agree the suggested approach which would be implemented at the June 2018 ETC meeting. Any further revisions would be incorporated in reporting to the September 2018 meeting and at subsequent meetings. A draft of the proposed structure and content of the annual registration report 2018 will be presented at a future ETC meeting.

Decision

This paper is for discussion and approval. In particular the Committee is invited to agree the structure and content of the performance report in appendix 1 and the proposed data to be included within the annual registration report in appendix 2.

Background information

See paper

Resource implications

If approved the publication of an annual registration report would need to be included within 2018 – 2019 work plans.

Financial implications

If approved the publication of an annual registration report would need to be included within 2018 – 2019 work plans.

Appendices

Appendix 1 - Example Registration Department Performance Report Appendix 2 - Data to be included in the Annual Registration Report

Date of paper

06 February 2018

Registration performance reporting to the Education and Training Committee

1. Introduction

- 1.1 In November 2017, the Education and Training Committee (ETC) met and discussed their reporting requirements for registration information. The Committee agreed to receive a report in March 2018 in order to better identify the matters pertinent to gaining assurance and agree future reporting requirements and frequency.
- 1.2 This paper describes a proposal and provides an example report for registration performance reporting to the ETC. Future performance reporting to ETC would consist of the following.
 - A regular registration performance report, including commentary and data, to be presented at each ETC meeting.
 - An annual registration report which would also be published to provide registrants and stakeholders with an overview of the work undertaken by the Registration Department.
- 1.3 The Committee is invited to discuss the proposed commentary and data included in appendices 1 and 2 to identify those that they consider are most relevant and critical to performance reporting. The chosen data will then be developed further (where appropriate) and a registration performance report will be presented to the Committee in June 2018, with an annual registration report presented for review at the end of the next financial year (2018/19).

2. Registration performance reporting

Current approach

- 2.1 The current approach to registration performance reporting involves the following.
 - A narrative Chief Executive's report is considered at each Council meeting (six times a year), which incorporates registration performance. This report highlights key areas of organisational performance and provides updates about internal or external developments or activities.

An overall HCPC performance report is considered by the Council four times a year (March, July, September and December meetings) which incorporates registration performance. This includes a consistent data set and commentary (regular and by exception) on performance.

- The Operations Directorate work plan which includes registration performance is also considered by Council each year and includes short summaries of activity and performance in the last year.
- Regular reports on registration performance in some areas are made on a less frequent basis to both Council and ETC – for example, annual reports are considered on Continuing Professional Development (CPD) and International Professional Equivalence is reported on when appropriate.

• This is in addition to regular reporting on registration performance at monthly meetings of the Executive Management Team (EMT).

3. Developing registration management reporting to ETC

In addition to the ETC's previous discussion and feedback, the Executive has also reviewed the approaches to committees of other regulators and referred to the dataset we provide to the Professional Standards Authority (PSA) on a quarterly basis as part of the annual performance review.¹

New approach

The proposed performance report for ETC would consist of the following.

- 3.1 **Management Commentary.** The commentary would focus on highlighting and explaining key areas of the performance data and their impact on the registration department. A short description of any significant activities in the Registration Department which in the Executive's opinion should be brought to the ETC's attention would also be included.
- 3.2 Appendix 1 provides an initial example of the new performance report, including key areas which would be included routinely in the commentary and those which may be covered in exception. Future reports will focus on providing:
 - Any trends / significant variances in registration numbers compared to forecast and their impact.
 - Any trends / significant variances in application numbers compared to forecast and their impact.
 - Any trends / significant variances in processing times compared to service standards and their impact.
 - Any trends / significant variances in call and emailing handling volumes and times, compared to (the) previous reporting period(s) and service standards and their impact.
 - Any significant trends in registration appeal volumes, processing times or outcomes and their impact.
- 3.3 **Performance data.** The proposed data provided in Appendix 1 will enable the ETC to focus on the data which best enables the ETC to perform its role, in monitoring and assessing overall registration performance.

New registration annual report

The annual registration report would be published to provide registrants and stakeholders with an overview of the work undertaken by the Registration Department. The table contained in Appendix 2 provides an initial proposal of the data that would be included in an annual report. The Committee is invited to

¹ In each of the regulators' performance review reports, the PSA includes a set of 'key comparators' drawn from the quarterly data set each regulator has to return.

review appendix 2 and identify the data that they consider as most relevant and critical to an annual report. The chosen data will then be developed further (where appropriate) and an annual report will be presented at the end of the next financial year (2018/19).

Appendix 1 - Registration Department Performance Report– Management Commentary

This report provides an update about the work of the Registration Department for November and December 2017.

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1. Executive summary

1.1. Registration

- All of the department's service standards were achieved for the period November and December 2017.
- 164 less UK telephone calls were received compared to the same period two years ago.
- 1,983 UK applications were received during the period which is 130 (or 7%) more compared to the same period last year, and 106 (or 5.6%) more than forecast.
- 460 international applications were registered which is 52 (or 12.7%) more compared to the same period last year, and 33.8% fewer than forecast.
- Recruitment is ongoing for 8 existing vacant roles in the registration department.
- 297 paper renewals forms were received which is 724 (or 70.9%) fewer than the same period in 2015, demonstrating further that our decision to remove the sending of the renewal form has had the desired impact.

2. General

2.1. UK telephone calls

- 16,852 telephone calls were received through the UK call queues. This is 164 (or 1%) less than the same period two years ago, and 161 (or 0.9%) fewer than forecast.
- 95% of calls were answered compared to 98.5% during the same period two years ago.

2.2. International telephone calls

- 1,210 telephone calls were received through the international call queue. This is 16 (or 1.3%) fewer than the same period last year.
- 99% of calls were answered compared to 98.5% during the same period last year.

2.3. UK applications

- 1,983 UK applications were received during the period which is 130 (or 7%) more compared to the same period last year, and 106 (or 5.6%) more than forecast.
- 2,181 UK applications were registered which is 279 (or 14.7%) more than forecast.
- All UK applications were processed within 4 working days.

2.4. International applications

- A total of 755 new international / EMR applications were received which is 137 (or 15.3%) fewer than forecast.
- 68 more international / EMR applications were received compared to the same period last year, which represents a 9.9% increase.
- 460 applications were registered which is 52 (or 12.7%) more compared to the same period last year, and 33.8% fewer than forecast.
- On average, all European Mutual Recognition (EMR) applications were processed in 54 working days and Non-EMR applications in 53 working days.

2.5. Visiting European health professional declarations

• 15 declarations were received during the period which is 34 (or 69.4%) fewer compared to the same period last year. At the end of this period there were 103 registered visiting European health professionals, which is 154 (or 59.9%) fewer than the same period last year and 75 fewer than forecast.

2.6. European Professional Card (EPC)

- 3 EPC applications were received from those who are registered with HCPC (or have approved UK qualifications) and wish to practise in another European state on a temporary and occasional basis
- 20 EPC applications from physiotherapists were received who qualified in other European states who want to establish themselves in the UK and use the protected title.
- 4 EPC application was received from visiting physiotherapists, who wish to practise in the UK on a temporary and occasional basis.

• 4 EPC applications have been added to the Register of visiting professionals.

2.7. Readmission applications

• All readmission applications were processed within 3 working days.

2.8. UK emails

• A total of 5,754 emails were received which is 195 (or 3.5%) more than the same period in 2015. All emails were answered on average within 2 working days.

2.9. International emails

• A total of 3,057 emails were received which is 336 (or 12.3%) more compared to the same period last year.

2.10. Continuing Professional Development (CPD) audit

- A total of 836 registrants were selected for audit of their CPD.
- A total of 478 CPD profiles were received during this period and all profiles were acknowledged within 4 working days. All complete CPD profiles were being processed within 60 working days of receipt.
- 820 profiles were assessed during the 5 assessment days held in November and December of which 31 profiles were assessed electronically.

2.11. Registration renewals

- The renewal window for biomedical scientists closed on 30 November 2017.
- A total of 95.1% of biomedical scientists renewed their registration, 98.3% of biomedical scientists used the online system, which is 4.4% more than the same period two years ago.
- 297 paper renewals forms were received which is 724 (or 70.9%) fewer than the same period in 2015, demonstrating further that our decision to remove the sending of the renewal form has had the desired impact.
- The renewal window for radiographers opened on 1 December 2017.

• At the time of writing (12 January 2018) a total of 48.1% of radiographers have successfully renewed their registration in line with their current renewal cycle (1 December 2017 – 29 February 2018).

2.12. Postal correspondence

• On average, postal correspondence was processed within 3 working days of receipt.

2.13. Registration appeals

- 5 new registration appeals were received, which is 5 (or 50%) fewer than forecast.
- Registration Appeal Panels considered a total of 9 appeals, determining that the appeal should be allowed in 6 cases, dismissed in 2 cases and a substitute decision in 1 case. The date of the panels were 14 November 2017 and 4 December 2017

2.14. Employees

- An assessment day was held during on 29 November 2017, following which 3 candidates were offered registration advisor roles.
- At the time of writing this report (12 Jan 2018) recruitment is ongoing for the following roles:
 - 4 x registration advisors
 - 1 x apprentice.
 - 1 x 3 month FTC registration quality assurance manager
 - 1 x 12 month FTC registration quality assurance advisor
 - 1 x registration manager
- Training continues to be provided to employees as part of our multi-skilling training programme.



ETC 08/18



International applications workflow process at end of each month April 2016 - March 2018

Registration Department

219

353







	2016									2017												2018			15/16	16/17	17/18
	Apr	Мау	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	FYE	FYE	YTD
Arts therapists	0	1	3	7	5	2	5	0	2	9	0	0	1	1	4	1	2	2	3	1	0				21	34	15
Bio. scientists	34	37	33	32	33	26	19	11	26	30	11	38	18	22	26	13	18	17	29	35	11				417	330	189
Chirops/pods	9	5	3	2	4	5	7	3	4	4	0	3	4	8	5	3	4	2	4	2	0				74	49	32
CI Scientists	9	13	11	11	7	3	11	5	4	7	5	10	7	4	9	9	4	4	9	10	3				99	96	59
Dietitians	44	26	25	21	19	18	12	8	14	17	5	27	20	32	24	8	21	3	19	17	10				288	236	154
Hearing aid disps	3	2	2	1	8	1	3	0	5	5	2	3	3	2	4	1	1	2	43	2	3				41	35	61
OTs	36	32	31	26	45	27	35	23	38	61	13	33	19	26	36	10	24	12	4	35	14				491	400	180
ODPs	2	2	4	0	0	0	2	3	0	2	2	2	1	3	2	2	0	1	0	3	1				11	19	13
Orthoptists	4	0	0	0	2	0	1	1	0	0	0	0	0	0	3	0	0	0	0	1	0				4	8	4
Paramedics	31	44	51	29	36	34	28	35	61	71	30	57	16	28	32	3	20	8	19	31	46				559	507	203
Physiotherapists	207	150	177	117	140	113	99	69	92	187	64	128	108	132	106	78	117	52	112	96	76				1,581	1,543	877
Pract psychs	58	31	40	39	40	33	24	21	23	29	15	26	19	37	30	28	18	9	28	37	15				398	379	221
Prosth/orthotists	2	0	0	1	1	0	2	1	2	1	1	3	2	4	2	1	1	2	0	1	2				16	14	15
Radiographers	92	69	93	75	88	72	61	45	69	118	41	80	73	87	83	39	72	27	81	126	58				955	903	646
Social workers	79	87	88	100	76	53	37	38	57	80	33	48	49	70	58	43	44	20	61	62	30				747	776	437
SLTs	20	22	16	19	18	9	15	13	14	28	7	16	12	17	12	10	5	5	15	18	9				289	197	103
Total	630	521	577	480	522	396	361	276	411	649	229	474	352	473	436	249	351	166	427	477	278				5,991	5,526	3,209
Forecast	602	557	491	572	594	455	623	267	620	571	546	378	523	458	516	497	550	447	521	411	481	523	536	562	5,625	6,276	6,025







	2016 2017																					2018			15/16	16/17	17/18
	Apr	Мау	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	Мау	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	FYE	FYE	YTD
Arts therapists	0	0	4	1	0	0	2	0	0	0	3	2	3	1	3	1	0	0	0	1	0				7	12	9
Bio.scientists	22	33	22	11	23	22	17	11	28	21	18	19	15	22	25	19	10	15	7	3	38				213	247	154
Chirops/pods	5	4	1	0	9	2	2	0	2	6	6	4	2	3	4	2	7	2	2	3	5				37	41	30
CI scientists	0	5	3	5	2	4	3	2	3	7	3	3	3	6	7	2	2	0	8	3	2				34	40	33
Dietitians	6	10	6	41	16	19	6	11	4	21	12	17	12	13	8	29	23	11	17	8	7				107	169	128
Hearing aid disps	2	0	0	1	6	3	1	2	2	1	0	4	2	5	3	2	1	1	1	0	0				21	22	15
OTs	25	32	32	27	33	27	20	33	6	32	31	45	23	32	17	20	16	8	3	50	22				255	343	191
ODPs	1	1	0	0	0	0	0	1	0	2	0	1	0	2	1	0	2	1	0	0	2				12	6	8
Orthoptists	0	0	0	1	0	0	0	0	0	1	0	0	0	0	0	0	0	1	0	1	1				2	2	3
Paramedics	22	28	21	24	14	31	17	12	20	57	48	32	29	30	23	19	9	42	13	18	5				424	326	188
Physiotherapists	10	208	133	102	103	125	106	55	37	75	85	110	42	128	136	78	92	78	70	60	35				695	1,149	719
Pract psychs	17	9	26	11	18	15	19	9	10	18	15	15	3	6	49	9	13	13	14	23	10				130	182	140
Prosth/orthotists	1	0	1	0	3	0	3	0	2	0	2	3	1	1	4	4	2	0	2	0	0				8	15	14
Radiographers	45	105	67	33	59	62	57	30	21	54	53	105	54	59	77	52	57	39	56	43	36				425	691	473
Social workers	28	52	50	29	35	51	36	8	83	38	53	51	46	32	45	36	31	33	28	19	52				334	514	322
SLTs	9	16	14	8	10	17	16	12	4	13	15	12	11	20	13	9	4	4	16	9	4				167	146	90
Total	193	503	380	294	331	378	305	186	222	346	344	423	246	360	415	282	269	248	237	241	219				2,871	3,905	2,517
Forecast	111	240	216	202	106	224	252	179	416	330	259	163	220	408	321	336	288	317	302	333	362	490	423	489	3,150	2,698	4,289



Register of visiting European health professionals under EU Directive 2005/36/EC April 2016 - March 2018



European Professional Card (EPC) incoming applications April 2016 - March 2018







Registration Department

UK applications workflow process at end of each month April 2016 - March 2018

	2016						2017															2018	1 [15/16	16/17	17/18		
Current status	Apr	Мау	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	Мау	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar		FYE	FYE	YTD
Minimum info	975	985	1,068	1,317	1,211	1,207	1,256	1,198	1,207	1,000	1,128	1,111	1,142	1,148	1,191	1,267	1,327	1,341	1,371	1,257	1,269					1,041	1,111	1,269
At scrutiny	1	1	1	2	1	1	5	1	2	1	1	4	1	1	4	7	4	7	3	2	2					1	4	2
Pending reg fee	6	6	6	12	8	9	12	17	14	10	10	12	14	13	13	15	14	14	16	14	10					8	12	10
Total	982	992	1,075	1,331	1,220	1,217	1,273	1,216	1,223	1,011	1,139	1,127	1,157	1,162	1,208	1,289	1,345	1,362	1,390	1,273	1,281	0	0	0		1,050	1,127	1,281



Registration Department



	2016								:	2017											2	2018			15/16	16/17	17/18
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	Мау	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	FYE	FYE	YTD
Arts therapists	14	16	7	23	68	55	50	30	18	23	18	10	14	8	8	41	68	37	53	28	13				287	332	270
Bio. scientists	49	40	54	95	148	70	83	63	50	76	58	48	42	36	70	115	92	69	82	70	76				775	834	652
Chirops/pods	6	0	54	146	82	36	28	6	7	7	2	2	2	2	68	134	55	34	25	9	2				356	376	331
CI Scientists	26	13	10	11	14	8	11	24	75	51	24	27	26	20	18	20	13	18	27	101	46				285	294	289
Dietitians	34	7	40	132	82	24	27	12	5	8	2	11	3	8	48	153	86	30	22	10	1				419	384	361
Hearing aid disps	15	5	7	25	58	34	37	24	17	25	21	9	13	11	15	41	46	39	40	31	18				271	277	254
OTs	51	25	42	360	442	173	201	105	55	69	57	58	39	41	81	351	375	174	225	116	45				1,642	1,638	1,447
ODPs	35	14	23	30	132	168	120	35	14	31	7	18	40	25	25	58	114	203	124	43	23				673	627	655
Orthoptists	0	1	5	21	28	8	7	2	2	2	0	0	0	0	1	16	26	9	5	7	1				62	76	65
Paramedics	108	44	62	146	192	303	178	80	70	174	104	85	87	126	64	198	275	326	235	233	129				1,398	1,546	1,673
Physiotherapists	38	17	86	588	510	145	153	72	57	72	60	59	54	14	141	640	430	170	159	70	43				1,840	1,857	1,721
Pract psychs	43	35	33	45	66	124	344	173	60	83	35	35	51	32	43	45	63	123	364	137	86				1,027	1,076	944
Prosth/orthotists	0	0	5	24	14	5	0	2	1	0	2	1	0	0	7	21	8	1	3	2	1				50	54	43
Radiographers	18	9	152	664	286	120	44	40	22	17	17	22	14	7	198	621	219	142	77	31	9				1,366	1,411	1,318
Social workers	229	136	93	524	957	700	655	418	326	289	159	186	247	260	230	586	735	576	916	452	273				5,239	4,672	4,275
SLTs	27	19	17	131	178	61	120	38	20	50	25	50	13	12	24	148	140	90	103	53	22				778	736	605
Total	693	381	690	2,965	3,257	2,034	2,058	1,124	799	977	591	621	645	602	1,041	3,188	2,745	2,041	2,460	1,393	788				16,468	16,190	14,903
Forecast	741	588	1,043	3,760	2,311	2,205	2,470	1,131	759	601	496	770	678	407	691	2,903	3,253	2,114	2,135	1,153	749	824	618	668		16,875	16,193

New UK Registrations April 2016 - March 2018



1,392 1,165 1,615 4,375 3,047 2,690 3,235 1,463 1,336 1,276 1,024 1,153 1,236 1,029 1,515 3,573 3,837 2,463 2,527 1,454 1,315 1,391 1,187 1,206

Application Types Received April 2016 - March 2018

Registration Department

Forecast

23,683

23,771

22,733



Registration Department



898

815 1,012 3,239 3,541 2,431 2,437 1,486 1,111 1,314 1,041 1,157

Forecast

852

828 1,259 3,962 2,417 2,429 2,722

1,310 1,175

931

755 933

20,482

20,364

19,573

Renewal Information April 2016 - March 2018





Registration Department





Continuing Professional Development process April 2016 - March 2018



Registration Telephone Information April 2016 - March 2018

Registration Department



UK and international emails received at end of each month April 2016 - March 2018



Number of registrants with supplementary prescribing rights April 2016 - March 2018





Number of registrants with independent prescribing rights April 2016 - March 2018



Number of registrants with independent prescribing rights April 2016 - March 2018



Registration Appeals Received April 2016 - March 2018

	2016				2017								2018											16/17	17/18	
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	FYE	YTD
EM R (1)	4	2	1	0	4	2	0	3	5	4	1	2	2	1	1	3	2	3	1	1	1				28	15
Non-EMR (2)	1	2	1	0	0	5	0	2	0	1	1	2	1	5	4	1	3	1	0	0	1				15	16
Visitors (3)	0	0	0	0	0	0	0	1	1	0	0	0	0	0	0	0	0	0	0	0	0				2	0
UK (4)	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0				1	0
Returners to																									r	r 1
practice (5)	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				1	0
CPD (6)	0	0	0	0	0	0	0	0	0	0	0	0	0	1	2	1	2	4	1	0	1				0	12
Health and																									r -	
Character																										
declarations (7)	0	0	3	0	0	1	0	1	2	1	1	0	0	0	0	0	0	4	2	1	0				9	7
Total	5	4	6	0	4	8	1	7	8	6	3	4	3	7	7	5	7	12	4	2	3				56	50
Forecast	4	6	3	5	4	4	5	5	4	5	6	6	5	4	5	5	4	8	5	6	4	4	6	6	57	62



Registration Appeal Decisions April 2016 - March 2018



Appendix 2: Data to be included in the annual registration report

This table outlines the data to be included in the annual registration report.

Overall registration figures:

- Number of registrants by profession
- New registrants (broken down by grandparenting, international and UK, compared to forecast)

International

- International applications workflow (includes numbers at scrutiny, numbers pending registration fee)
- International applications received (by profession)
- Register of visiting European health professionals
- European professional card incoming and outgoing applications

UK

- UK applications workflow (includes numbers at scrutiny, numbers pending registration fee)
- UK applications received (by profession)

Renewals

- Percentage of professions renewed
- Percentage of professions renewed online

CPD

• CPD process (includes number selected for audit, received profiles and assessed profiles)

Registration telephone call and email workload

- Registration telephone information (includes received calls against forecasts, answered calls, abandonment rate)
- UK and international emails received

Registration appeals

- Registration appeals received (by route to registration / category)
- Registration appeal decisions
- Registration appeal status