

Education and Training Committee, 7 June 2018

Education annual data set: 2016-17 academic year

Executive summary and recommendations

Introduction

We recently published '[Supporting an environment of change: Education annual report for 2016-17](#)'¹ in April this year. A more thematic approach was adopted to this publication, with the report focusing on a number of key trends from the 2016-17 academic year. The report also reviewed our policy and stakeholder engagement activities which supported and furthered our statutory remit in the area of education. The change in approach was intended to provide the education stakeholders with more relevant, interesting content around our education outcomes. This compares to previous reports which focused more on detailed operational outcomes across a range of quality assurance processes.

Whilst we expect our education stakeholders to be more engaged with us as a result, we do recognise the Committee should still receive detailed information regarding the outcomes of our work on at least an annual basis. This should assist the Committee in continuing to identify trends which are relevant to their remit in providing oversight and direction to our statutory education function.

This paper highlights key trends we have identified from a review our operational processes. This review is based on the full data set used to form the basis of our annual report. The data set is included as Appendix 1.

Decision

None.

Background information

- Education annual report 2015-16 – <http://www.hcpc-uk.org/assets/documents/100054E1Educationannualreport2016.pdf>

¹ Supporting an environment for change: Education annual report for 2016-17 - <http://hcpc-resources-uk.org/>

- Education annual report 2014-15 – <http://www.hpc-uk.org/assets/documents/10004FF1Educationannualreport2015.pdf>

Resource implications

None.

Financial implications

None.

Date of paper

25 May 2018

Education annual data set: 2016-17 academic year

1 The data set

1.1 The education annual data set includes data regarding the following areas of our work:

- Approved programmes at academic year end
- Approval process
- Major change process
- Annual monitoring process
- Concerns process
- Overall case numbers at year end

1.2 All figures gathered for each section relate to work where we carried out an assessment of a programme in the 2016-17 academic year. This means we have adjusted all final outcomes to include those which were finalised in the following academic year (due to timing of the assessment carried out). Most sources of data count assessments carried out on an individual programme basis (rather than at case level).

1.3 We have highlighted the pertinent points within each process, rather than addressing each result included in the full data set in Appendix 1.

2 Approved programmes at academic year end

2.1 We have included a breakdown of approved programmes by academic level of qualification for the first time. So, whilst no comparative data is accessible, it is the case this year that post graduate programmes made up just under 45 per cent of all approved programmes. This is in part driven by an increase in social work programmes delivered at this level, and a small increase in training towards an integrated masters and doctorate for some allied health professions. This result also includes a number of programmes delivered at level 7 for prescribing training.

Approved undergraduate awards	56.1%
Approved postgraduate awards	43.9%

2.2 Our overall rate of new programme generation continues to be balanced by programme closures, meaning the overall number of approval programmes has remained consistent over the last three academic years. This means our workload through the monitoring processes should continue to remain

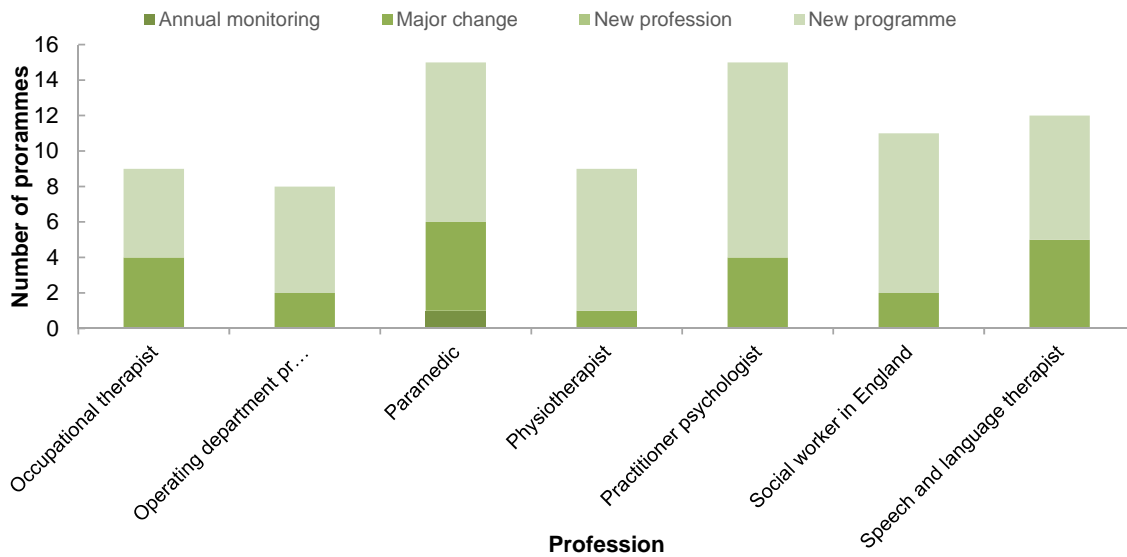
consistent as new programmes meet our monitoring requirements for the first time.

3 Approval process

Reasons for visiting programmes

3.1 We visited programmes from 13 of the 16 professions we regulate, and the most visited profession was not social workers in England. This highlights a key trend around the nature of programme approval being linked more to sector changes and initiatives, rather than overall programme numbers.

Number of programmes visited, by profession and reason for visit (top 7)



3.2 Paramedic and practitioner psychologist programmes were the most visited, accounting for around a quarter of all visits. Paramedic programmes continue to generate new programme provision, primarily at degree level, in keeping with the shift towards this level of training². Significant changes to existing paramedic programmes which led to a visit, usually related to increases in learner cohort numbers and frequency, to support workforce demand. It is unclear why practitioner psychologists generated a higher than expect rate of new programmes (particularly in relation to health and sport and exercise psychologist training) this year, as there appear to be no obvious sources of new funding.

² Threshold level of qualification for entry to the Register for paramedics - <http://www.hpc-uk.org/assets/documents/100056F2Enc02-Thresholdlevelofqualificationforparamedics.pdf>

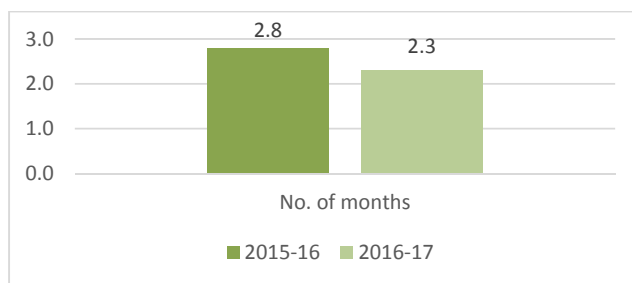
Conditions we placed on approval

- 3.3 As highlighted in our published report, we continue to see the majority of conditions placed on approval are related to practice-based learning, an overall rise of 17 per cent since 2013-14. We have worked with education providers over a number of years to inform them of our standards in this area. This includes seminars around this topic and further guidance disseminated on a regular basis.
- 3.4 We are generally seeing an increase in collaborative provision, and provision proposed for approval to us without education providers necessarily spending the time needed to develop and finalise practice-based learning arrangements to meet our standards. These two factors (although not exhaustive) are likely contributors to this outcome.

Time taken to complete the approval process

- 3.5 We aim to complete the post-visit process within three months of the visit concluding. This year, 33 per cent of programmes completed the process within this timeframe, which is broadly consistent with the previous year. However, it most pertinent to highlight that the majority of programmes completed the process within a four month timeframe, repeating the outcome from last year.

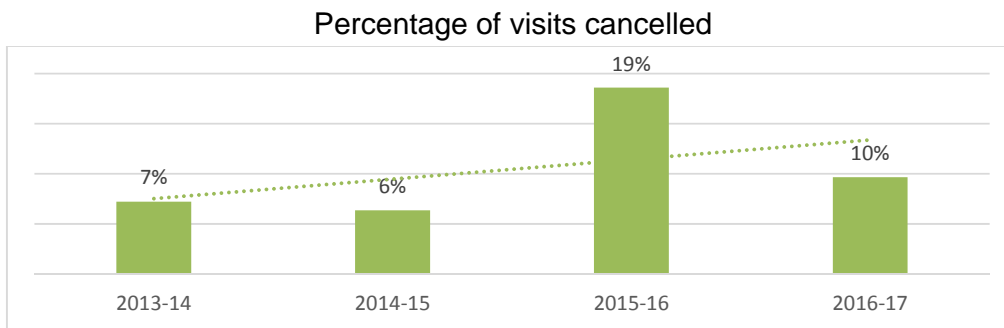
Average time between visit date and conditions deadline



- 3.6 This is mainly due to education providers needing on average around 2.3 months to respond to provide their first response to any conditions we place on approval. This continues a trend seen in recent years whereby the number and complexity of conditions we place on approval has directly impacted on how long it takes for education providers to reach a final outcome. Despite this, we have continued to produce visitors' reports consistently within our 28 day target, averaging 21 days to produce these.

Cancelled visits

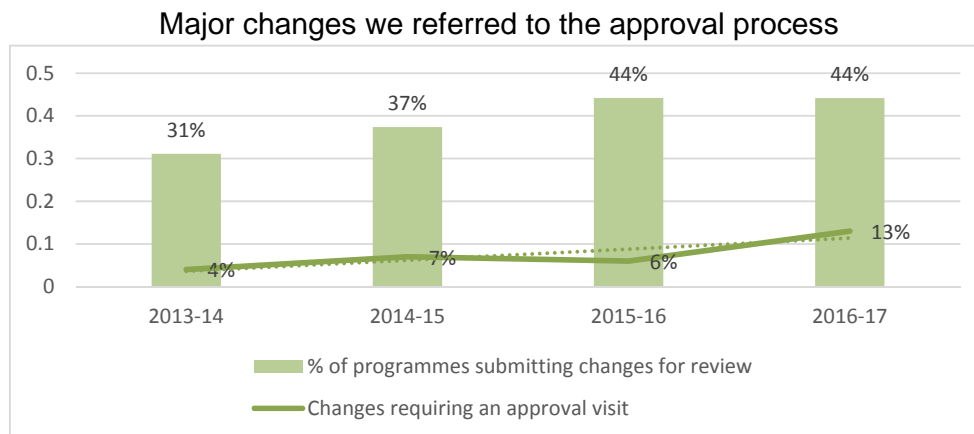
3.7 Whilst we cancelled less visits in this academic year, this result continues an upward trend. This year we also had a higher proportion of programmes withdrawn at or after visit had taken place. This usually occurs when the education provider has decided not to meet conditions we have placed on approval. Depending on when the cancellation takes place, we may incur more costs for partner fees, travel, accommodation, notwithstanding the employee costs associated with scheduling, and visitor panel and education provider support.



4 Major change process

Major change notifications

4.1 Whilst the number of major change notifications we received remained consistent to last year (as a proportion of all approved programmes), we referred more to our approval process for assessment. This trend was highlighted in our annual report, and is a useful indicator of the nature and extent of changes being made within the training routes for our professions.

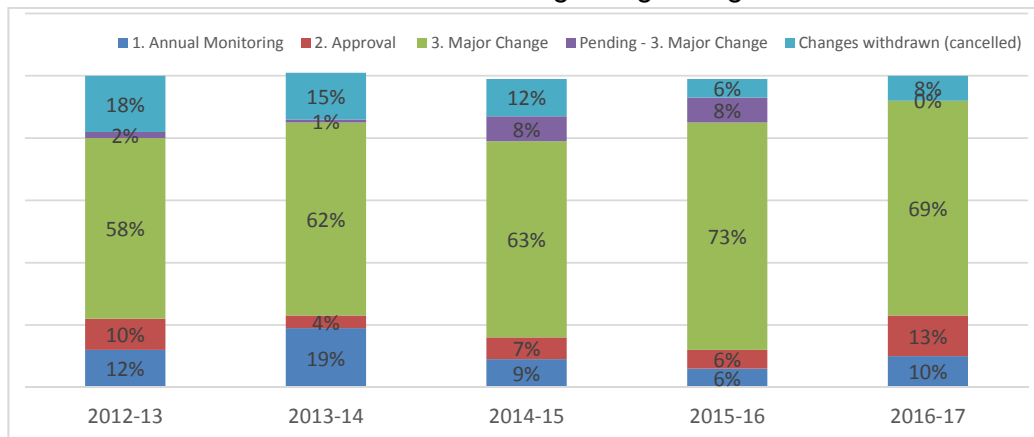


4.2 The types of changes driving this outcome (and the submission of changes generally) are varied across the professions but, for the purposes of this report, can broadly be summarised as being related to one or more of the following:

- Changes to meet workforce demand for some professions
- Reduction in overall time needed to train learners
- Degree apprenticeship training models
- Funding for integrated masters training models
- Funding initiatives driven by government departments

4.3 This trend has obvious impacts on our overall approval activity for existing programmes and will need to continue to be accounted for in resource planning. However, it should be also be noted that we referred almost 80% of all other changes to our major change and annual monitoring processes (as illustrated by graph below). In this regard, our open-ended approval approach still seems to be providing a cost-effective way of focusing on the assessment of significant change in a proportionate way.

Executive recommendations made regarding change notifications



Time taken to complete notification and full major change process

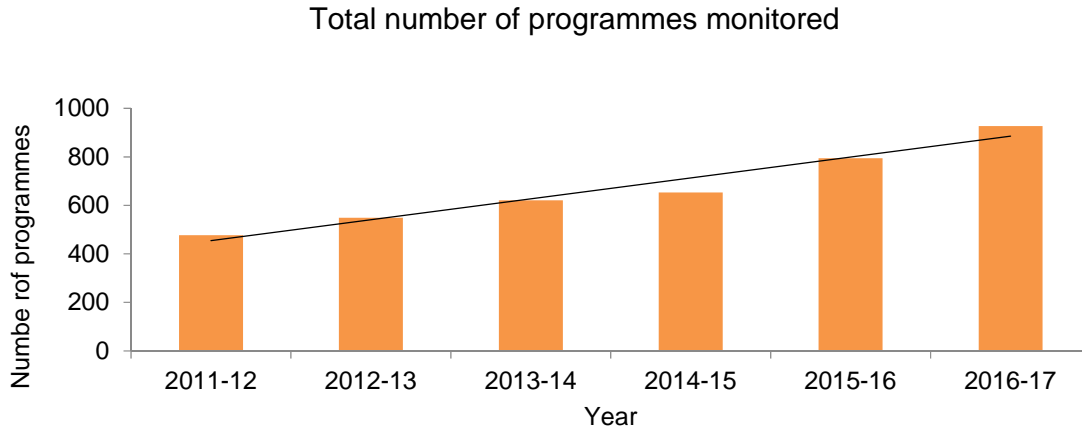
Process stage	2016-17	5 yearly average
Notification forms (referred to annual monitoring or approval process)	1.8	1.7
Complete the full major change process	10.4	11.1

4.4 We continue to meet our stated timescales for how long education providers should expect to receive an outcome based on our five yearly averages. As per our discussions in 4.1-4.2, this result is being achieved amidst an

environment whereby the number and complexity of changes being reviewed is increasing.

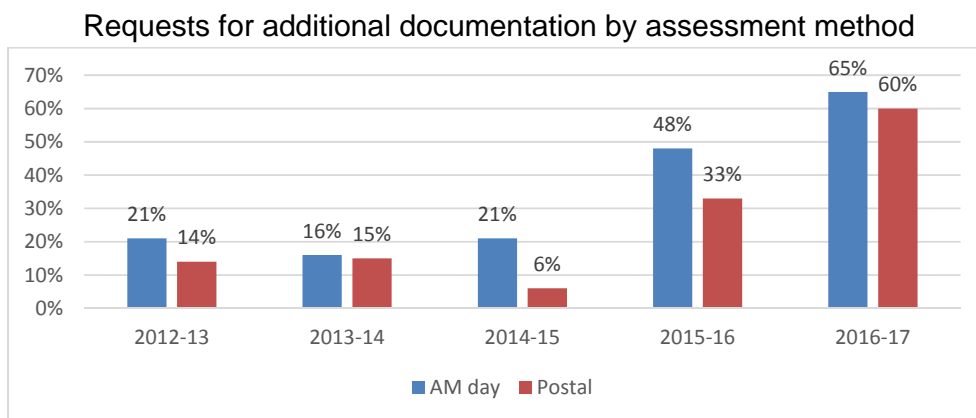
5 Annual monitoring process

Number of programmes we monitored



5.1 Whilst the number of overall approved programmes has remained fairly consistent (see paragraph 2.2), we have seen a steady rise in the overall number of programmes monitored (14 per cent over the last five years). This is in the main due to the on-boarding of social work programmes and the resultant effect of them moving into monitoring over a number of years. We expect this upward trend to stabilise significantly in the next two academic years.

When we require additional documentation to be submitted



5.2 Over the past two years, we have worked to address a disparity in outcomes within this annual monitoring process based on our method of assessment:

assessment day vs postal assessment. We have managed to achieve consistency in this area this year, following further training and guidance for both executives and visitors, and more effective back office systems to manage this process.

- 5.3 As a side, the increase in additional documentation requests relates primarily to our assessment of the service user and carer standard through this process, and the introduction of social work programmes to this process for the first time. We expect this trend to continue with the rollout of the revised SETs and a broadening of the evidence base³ for annual monitoring in future academic years.

6 Programme concerns process

- 6.1 The number of programmes subject to a concern being raised and investigated continue to remain low. Further awareness work around this process needs to be considered to ensure individuals are aware of it, particularly when such a process may be of use to them to manage issues in a timely manner.
- 6.2 Whilst this is the case, it is worth noting the process itself once instigated appears to be effective in allowing for a range of outcomes to be reached. This year we reached five outcomes, four of which required no further action. We changed our approach to where possible try and resolve any issues rather than referring to another process to deal with. This has allowed for more timely outcomes from our perspective, but also for those raising concerns and education providers who are subject to them.
- 6.3 We also held a directed visit which lead to withdrawal of programme approval. This demonstrates the process provides the Committee with clear scope around how best to address matters being brought to its attention.

7 Case workload

Average no. of cases resolved per month	104
Average no. of action cases (minus AM) per month	119

- 7.1 We use cases internally to manage the quality assurance processes. They provide a useful picture of overall workload within the Department from year to year. Whilst we have no comparative data from previous years, our intention is to provide this in future. This year, we resolved around 104 cases on average

³ Annual monitoring: broadening the evidence base - <http://www.hpc-uk.org/assets/documents/10005527Enc04-Annualmonitoringproposalstobroadentheevidencebase.pdf>

each month. This often relates to the completion of final actions within a case, including informing the education provider of their final assessment outcome (and other internal administrative actions related to our structured and unstructured data).

- 7.2 Focusing on case resolution provides a better indicator of activity on a monthly basis, as looking only an active cases means the data is skewed by annual monitoring. When adjustments are made for this, the average active caseload is consistent with the number of cases resolved per month. The actual month by month figures highlights where out peak period normally occurs, which is between January-June each academic year.

Appendix 1 – Approved programme data

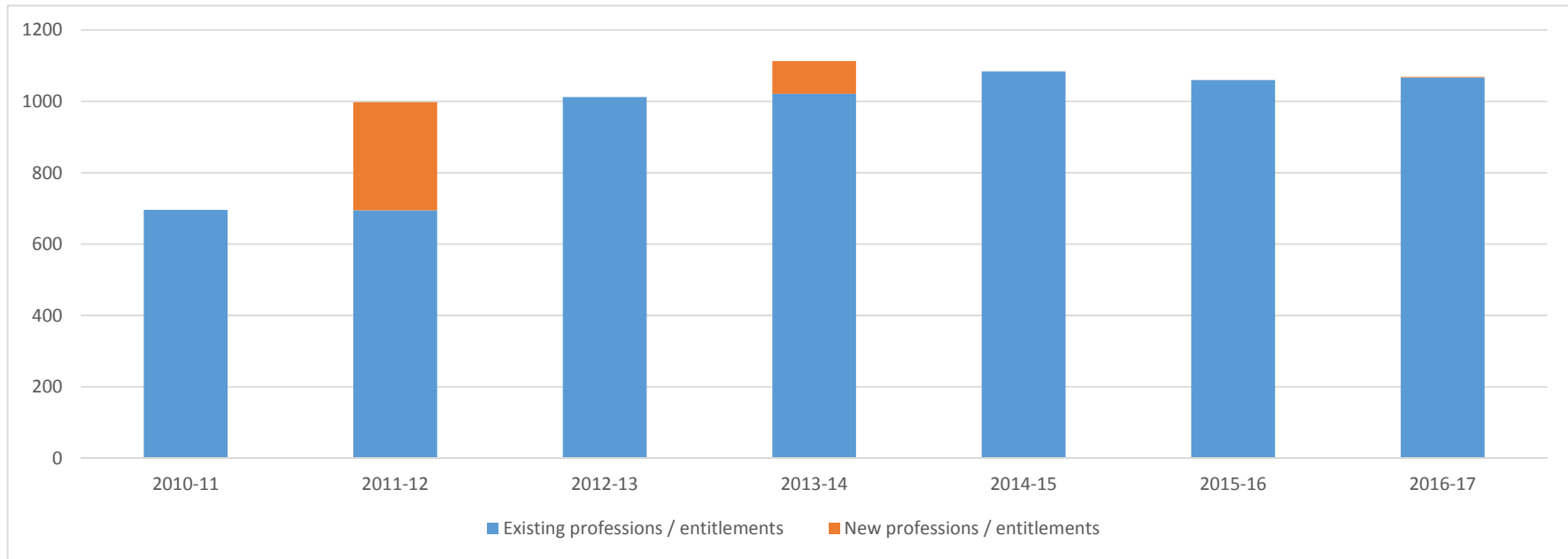
Approved programmes (as of 31 August)

	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17
Pre-registration							
Arts therapist	30	33	33	34	33	29	28
Biomedical scientist	67	67	69	67	65	62	64
Chiropodist / podiatrist	21	21	21	23	23	19	18
Clinical scientist	1	3	3	3	3	3	3
Dietitian	36	36	35	32	32	32	33
Hearing aid dispenser	14	18	22	23	23	20	18
Occupational therapist	78	71	73	80	73	70	72
Operating department practitioner	41	43	45	46	42	38	36
Orthoptist	2	3	3	3	3	3	3
Paramedic	56	57	59	60	72	78	76
Physiotherapist	73	68	71	73	70	71	75
Practitioner psychologist	102	100	97	97	97	101	104
Prosthetist / orthotist	3	3	3	3	3	2	2
Radiographer	53	57	56	55	52	54	57
Social worker in England		266	270	276	256	253	251
Speech and language therapist	34	34	35	37	36	34	36

	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17	2017-18
Post-registraion							
Approved mental health professional		37	36	34	36	32	33
Independent prescribing				92	93	96	98
Local anaesthesia	3	5	4	4	4	4	
Podiatric surgery							2
Prescription-only medicines - administration, sale & supply (combined)	8	7	7	9	9	7	10
Supplementary prescribing	74	69	70	62	59	52	50

Total approved programmes	696	998	1012	1113	1084	1060	1069
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Existing and new programmes (new programmes minus closed programmes)



	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17
Existing professions / entitlements	696	695	1012	1021	1084	1060	1067
New professions / entitlements	0	303	0	92	0	0	2
Total number of approved programmes	696	998	1012	1113	1084	1060	1069

Approved programmes per region

	2015-16	2016-17
England	923	927
N. Ireland	17	16
Scotland	75	85
Wales	45	41
Total number of approved programmes	1060	1069

Qualifications at AY end 16/17 (active - all except proposed)

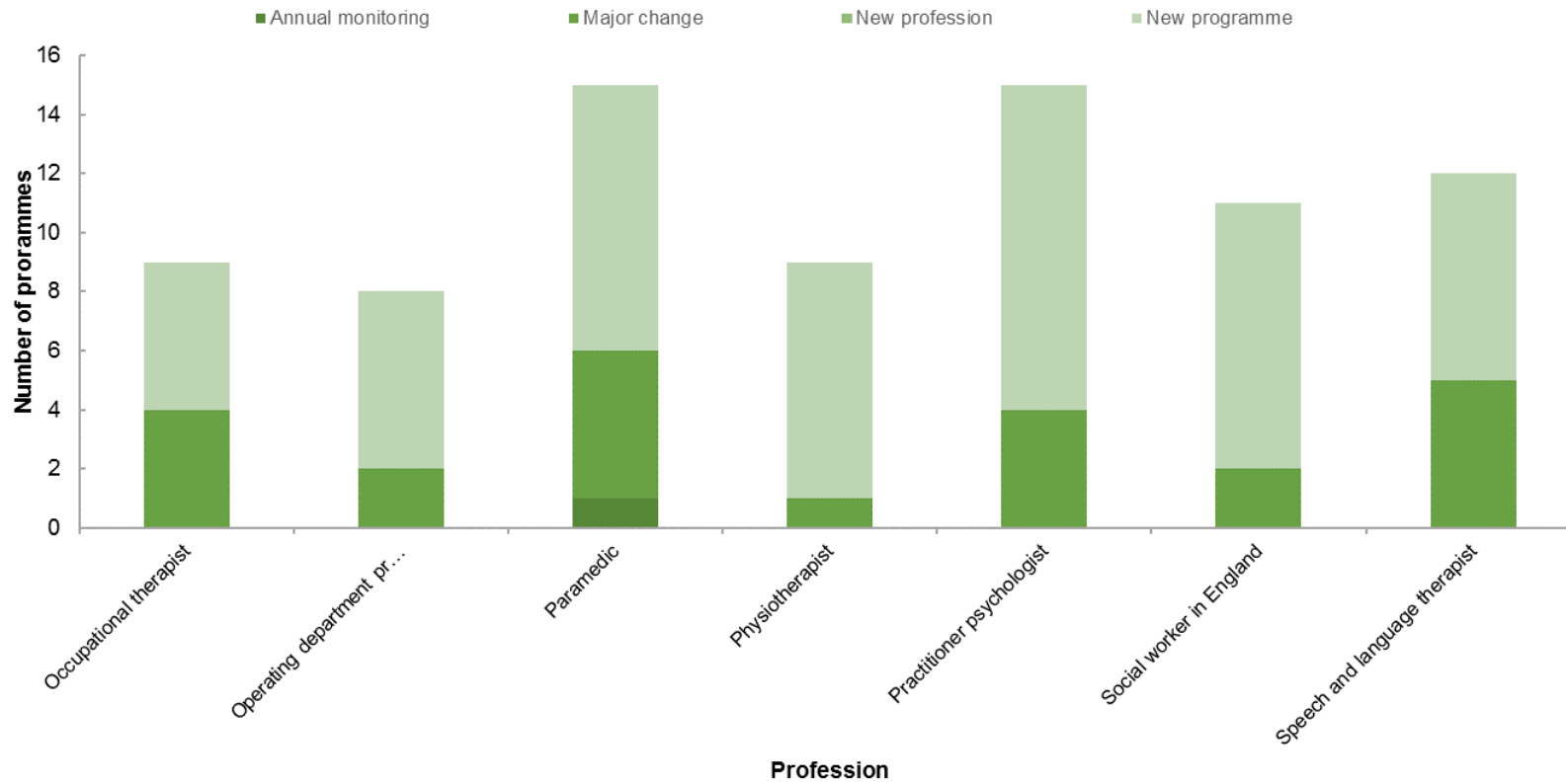
	2016-17	%
BA (Bachelor of Art)	1	0.1%
BA (Hons) (Bachelor of Art with Honours)	84	7.9%
BSc (Bachelor of Science)	8	0.7%
BSc (Hons) (Bachelor of Science with Honours)	327	30.6%
Cert (Certificate)	27	2.5%
CertHE (Certificate of Higher Education)	6	0.6%
DipHE (Diploma of Higher Education)	41	3.8%
Doctorate	104	9.7%
FD (Foundation Degree)	23	2.2%
GCert (Graduate Certificate)	83	7.8%
MA (Master of Art)	92	8.6%
MSc (Master of Science)	94	8.8%
PGCert (Postgraduate Certificate)	68	6.4%
PGDip (Postgraduate Diploma)	111	10.4%

Appendix 1 – Approval – Reason for visit

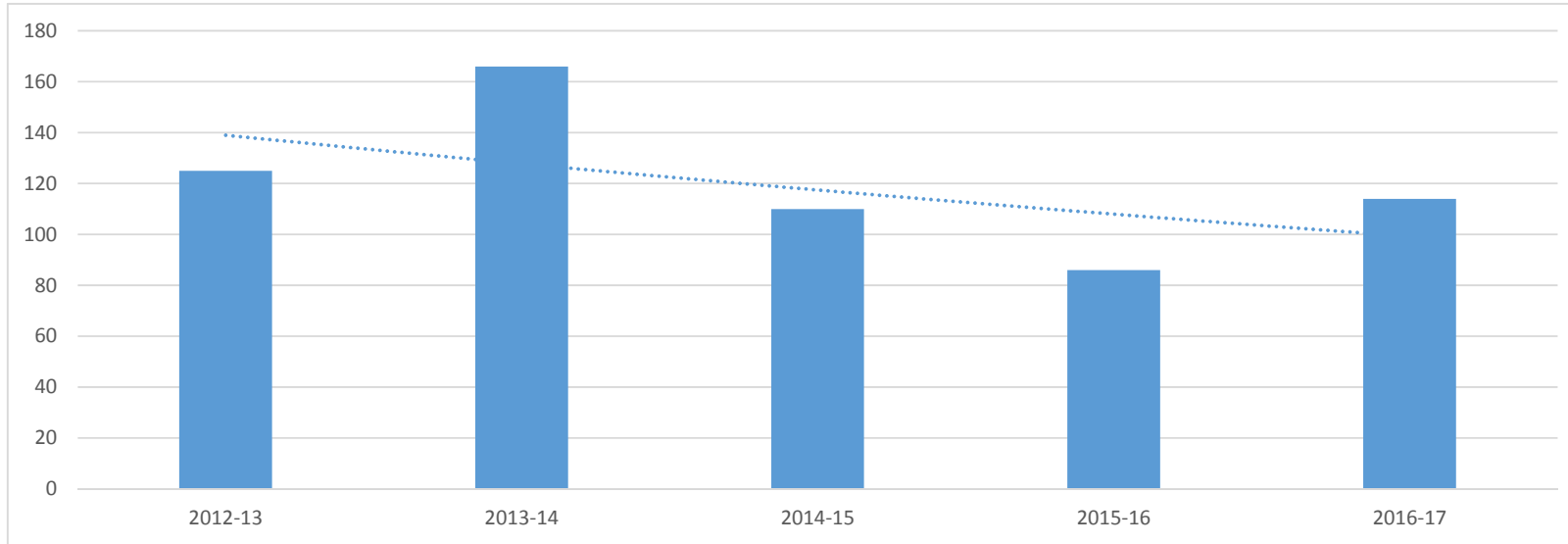
Number of programmes visited, by profession and reason for visit

Profession / entitlement	2015-16							2016-17					
	Annual monitoring	Major change	New profession	New programme	New education provider	New programme / benchmark	QAA sub benchmark	Total	Annual monitoring	Major change	New profession	New programme	Total
AMHP	0	0	0	2	0	N/A		2	0	0	0	2	2
Arts therapist	0	0	0	0	0	N/A		0	0	0	0	1	1
Biomedical scientist	0	1	0	1	0	N/A		2	0	1	0	4	5
Chiropodist / podiatrist	2	0	0	0	0	N/A		2	0	2	0	1	3
Clinical scientist	0	0	0	0	0	N/A		0	0	0	0	1	1
Dietitian	0	0	0	0	0	N/A		0	0	5	0	1	6
Hearing aid dispenser	0	0	0	3	0	N/A		3	0	1	0	0	1
Independent prescribing	0	1	0	2	0	N/A		3	0	1	0	5	6
Local anaesthesia	0	0	0	0	0	N/A		0	N/A	N/A	N/A	N/A	0
Occupational therapist	2	3	0	1	0	N/A		6	0	4	0	5	9
Operating department pr...	0	1	0	2	0	N/A		3	0	2	0	6	8
Orthoptist	0	0	0	0	0	N/A		0	0	0	0	0	0
Paramedic	0	12	0	16	0	N/A		28	1	5	0	9	15
Physiotherapist	0	1	0	3	0	N/A		4	0	1	0	8	9
Podiatric surgery	0	0	5	0	0	N/A		5	0	0	0	2	2
Practitioner psychologist	0	1	0	0	0	N/A		1	0	4	0	11	15
Prescription-only medicines	0	0	0	0	0	N/A		0	0	2	0	0	2
Prosthetist / orthotist	0	0	0	0	0	N/A		0	0	0	0	0	0
Radiographer	0	0	0	3	0	N/A		3	0	2	0	3	5
Social worker in England	0	2	0	21	0	N/A		23	0	2	0	9	11
Speech and language therapist	0	0	0	0	0	N/A		0	0	5	0	7	12
Supplementary prescribing	0	1	0	0	0	N/A		1	0	1	0	0	1
Total	4	23	5	54	0	N/A		86	1	38	0	75	114

Number of programmes visited, by profession and reason for visit (top 7)



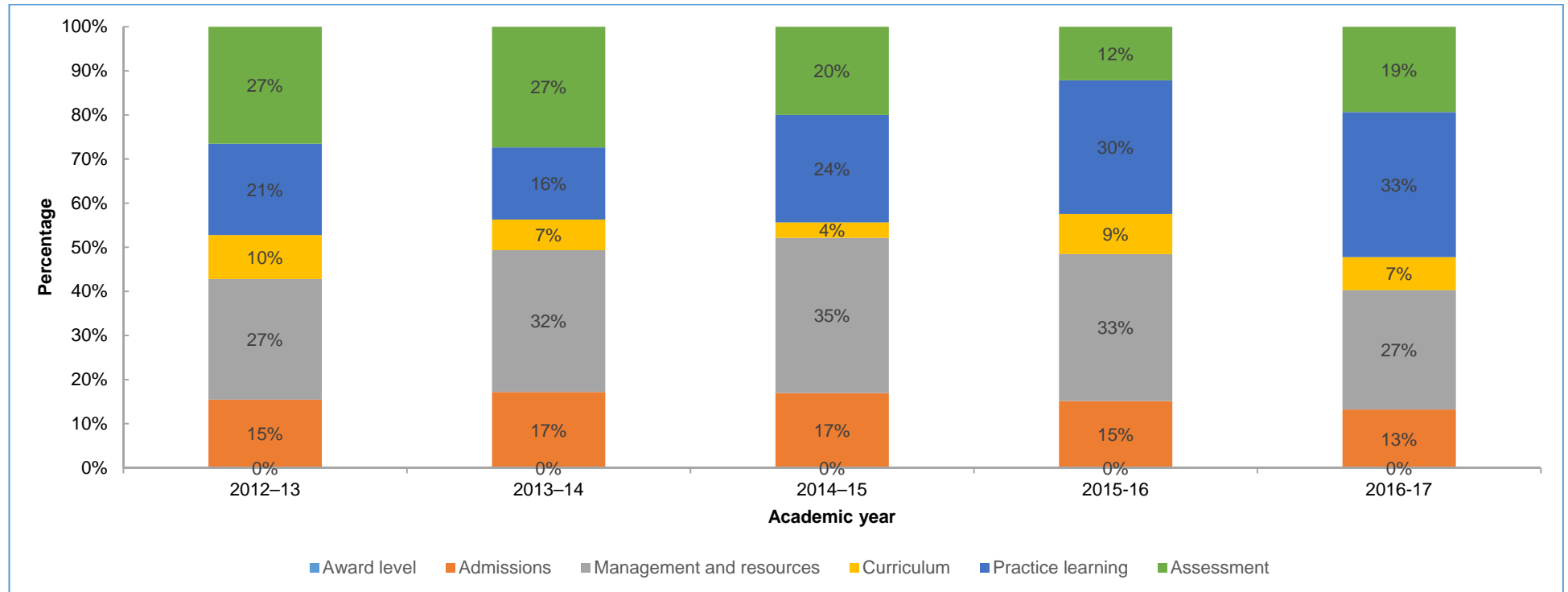
Programmes visited over the last five years



2012-13	125
2013-14	166
2014-15	110
2015-16	86
2016-17	114

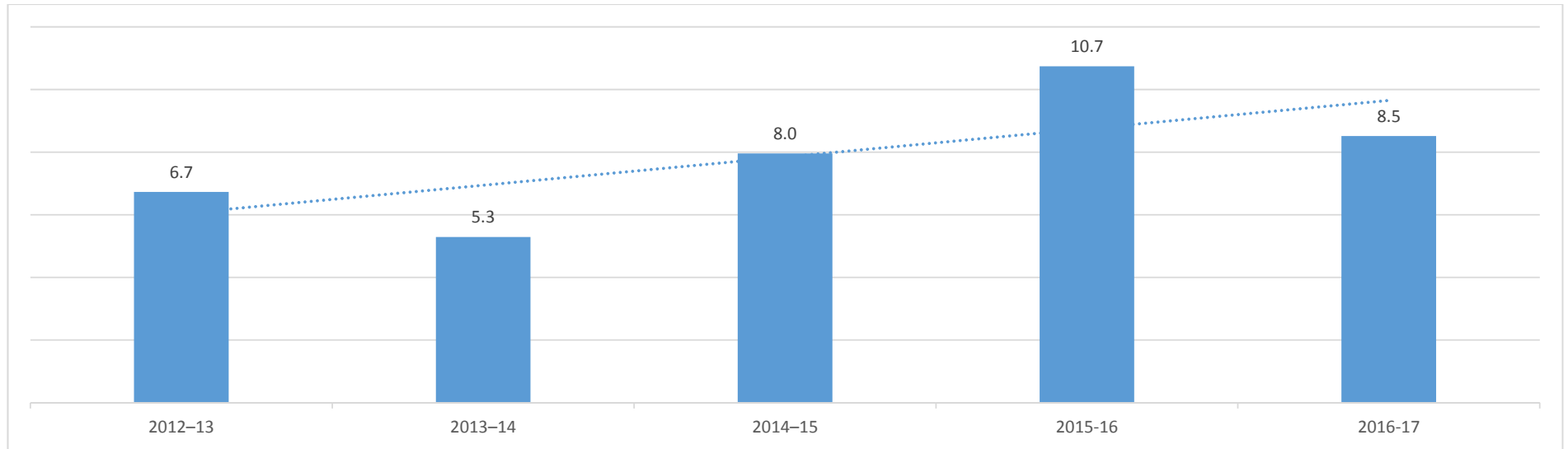
Appendix 1 – Approval – Conditions

Conditions applied following an approval visit



Progs	125			166			100			62			105		
	2012-13			2013-14			2014-15			2015-16			2016-17		
	Total	Average	%	Total	Average	%	Total	Average	%	Total	Average	%	Total	Average	%
SET 1	0	0.0	0%	0	0.0	0%	0	0.0	0%	0	0.0	0%	0	0.0	0%
SET 2	130	1.0	15%	151	0.9	17%	135	1.4	17%	99	1.6	15%	118	1.1	13%
SET 3	230	1.8	27%	282	1.7	32%	280	2.8	35%	225	3.6	34%	242	2.3	27%
SET 4	84	0.7	10%	61	0.4	7%	28	0.3	4%	59	1.0	9%	67	0.6	7%
SET 5	174	1.4	21%	144	0.9	16%	194	1.9	24%	201	3.2	30%	294	2.8	33%
SET 6	223	1.8	27%	240	1.4	27%	159	1.6	20%	82	1.3	12%	173	1.6	19%
Total	841	6.7		878	5.3		796	8.0		666	10.7		894	8.5	

Average conditions per programme



Appendix 1 – Approval – Outcomes

Summary of outcomes following completion of approval visit

Decision	2015-16	2016-17
Approval of programme without any conditions	5	7
Approval of programme subject to all conditions being met	52	97
Further visits required as part of approval of programme subject to all conditions being met		1
Non-approval of new programme	0	0
Withdrawal of approval from a currently approved programme	0	0
Pending	5	0
Total	62	105

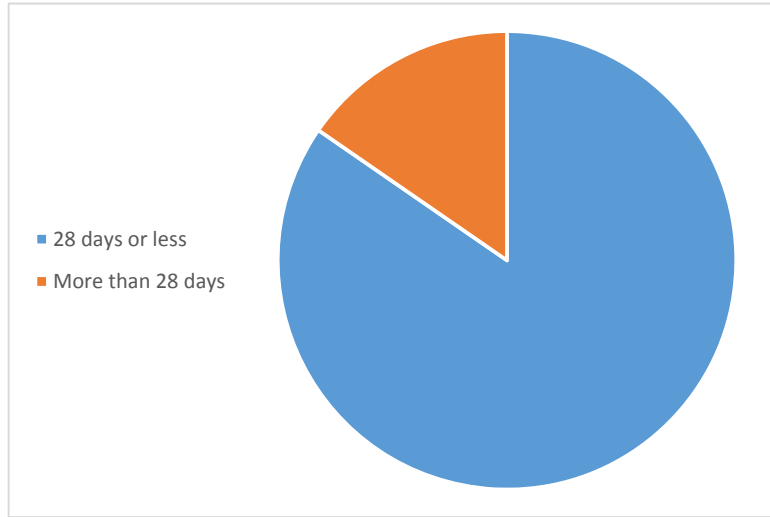
Summary of final outcomes following completion of approval process (excludes cases where education provider cancelled after the visit was held)

Decision	Number	Percentage	New programmes	Existing programmes
Approval of a programme without any conditions	96	93%	63	33
Approval of a programme subject to all conditions being met	7	7%	1	6
Further visits required as part of approval of programme subject to all conditions being met	0*	0%	0	0
Non-approval of new programme	0	0%	0	0
Withdrawal of approval from a currently approved programme	0	0%	0	0
	103	100%	64	39

*Programme withdrawn from approval process during post-visit stage

Appendix 1 – Approval – Time

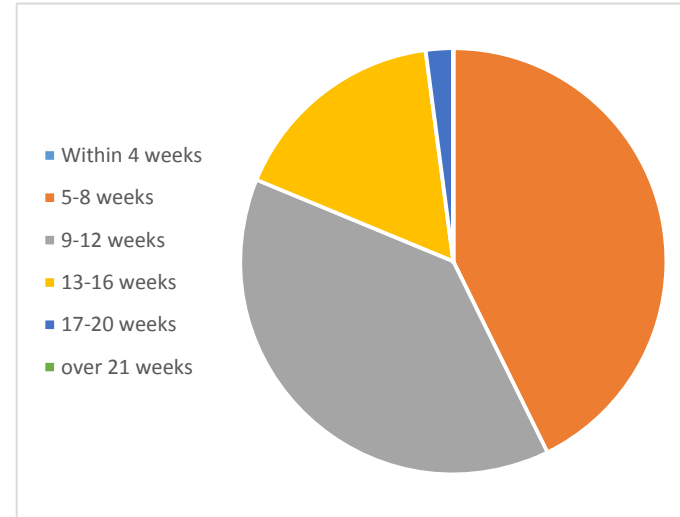
Number of days taken to produce Visitors' reports



0-7	7	7%
8-14	13	13%
15-21	34	33%
22-28	34	33%
29+	16	15%

28 days or less	88	85%
More than 28 days	16	15%

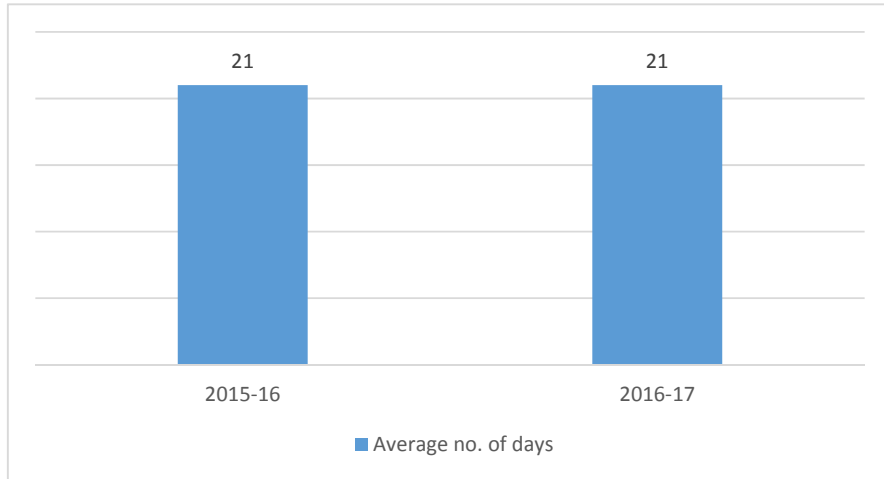
Weeks from visit date to first conditions deadline



	No conditions to meet	7	N/A
0-28	Within 4 weeks	0	0%
29-56	5-8 weeks	41	43%
57-84	9-12 weeks	37	39%
85-112	13-16 weeks	16	17%
113-140	17-20 weeks	2	2%
141-224	over 21 weeks	0	0%

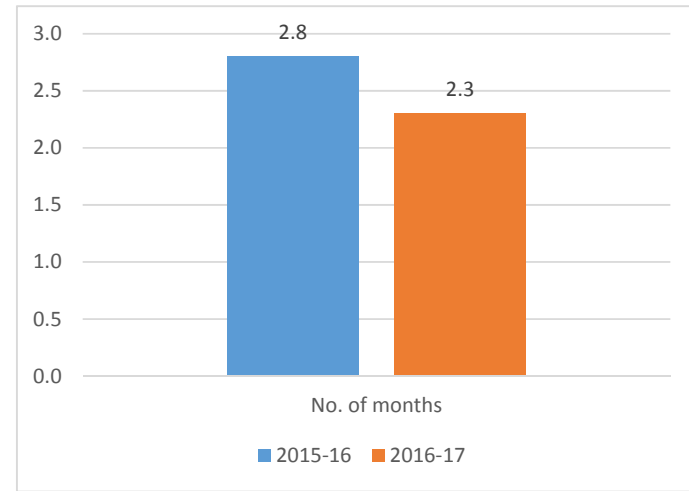
96

Average days taken to produce visitors reports



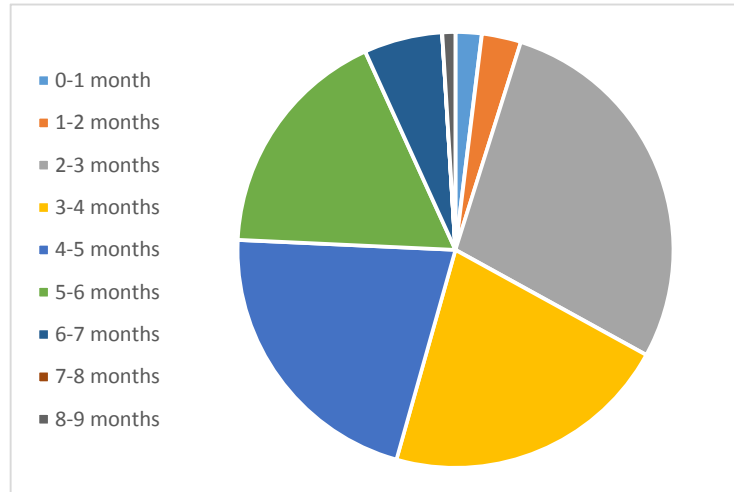
	2015-16	2016-17
Average no. of days	21	21

Average time between visit date and conditions deadline

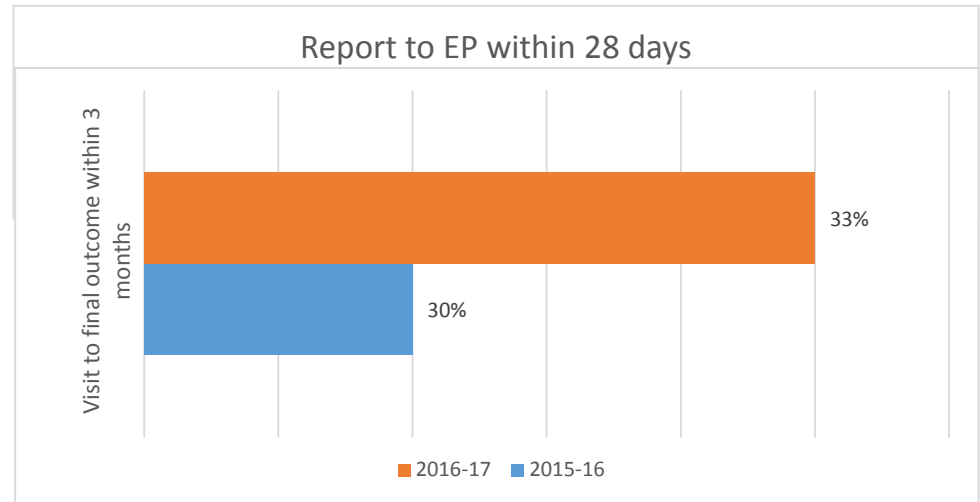


	2015-16	2016-17
No. of weeks	11.0	9.4
No. of months	2.8	2.3

From visit date to final decision reached



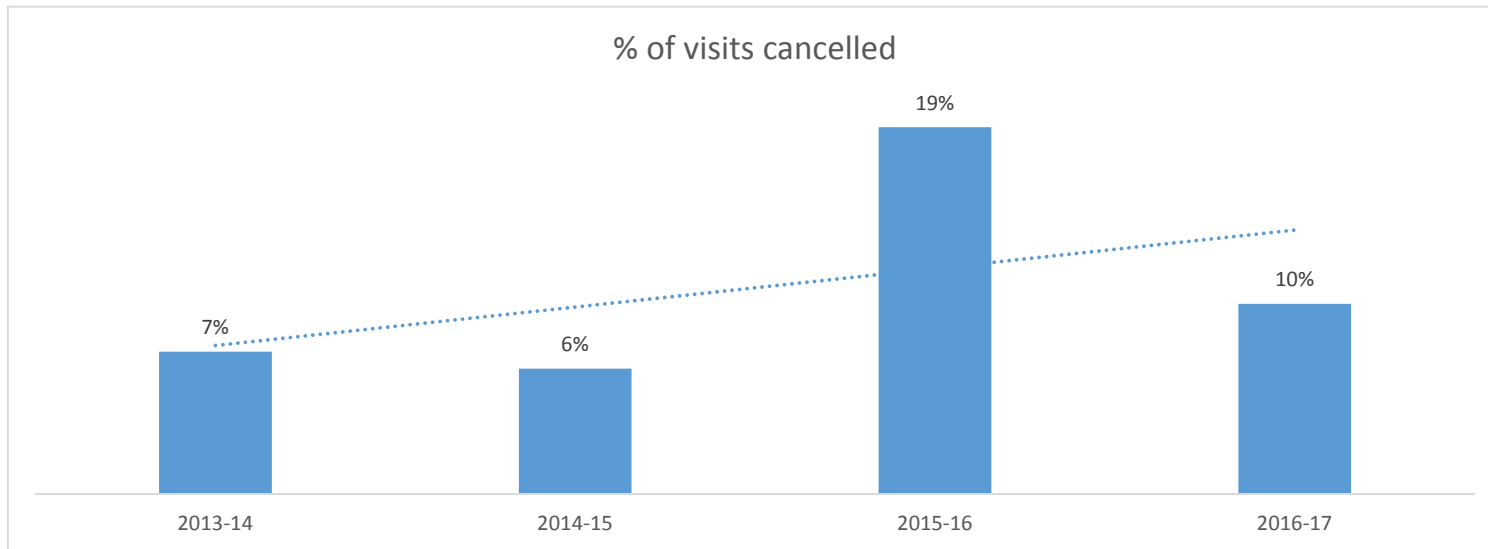
Number meeting service level agreements (SLA's)



0-28	0-1 month	2	2%
29-56	1-2 months	3	3%
57-84	2-3 months	29	28%
85-112	3-4 months	22	21%
113-140	4-5 months	22	21%
141-168	5-6 months	18	17%
169-196	6-7 months	6	6%
197-224	7-8 months	0	0%
225-280	8-9 months	1	1%

	2015-16	2016-17
Report to EP within 28 days	97%	85%
Visit date to conditions deadline - within 3 months	76%	81%
Visit date to conditions deadline - within 4 months	86%	98%
Visit date to EP informed of outcome - within 3 months	30%	33%
Visit date to EP informed of outcome - within 4 months	50%	54%
Visit date to EP informed of outcome - within 5 months	84%	76%
Visit date to EP informed of outcome - within 6 months	94%	93%

Appendix 1 – Approval – Cancellations



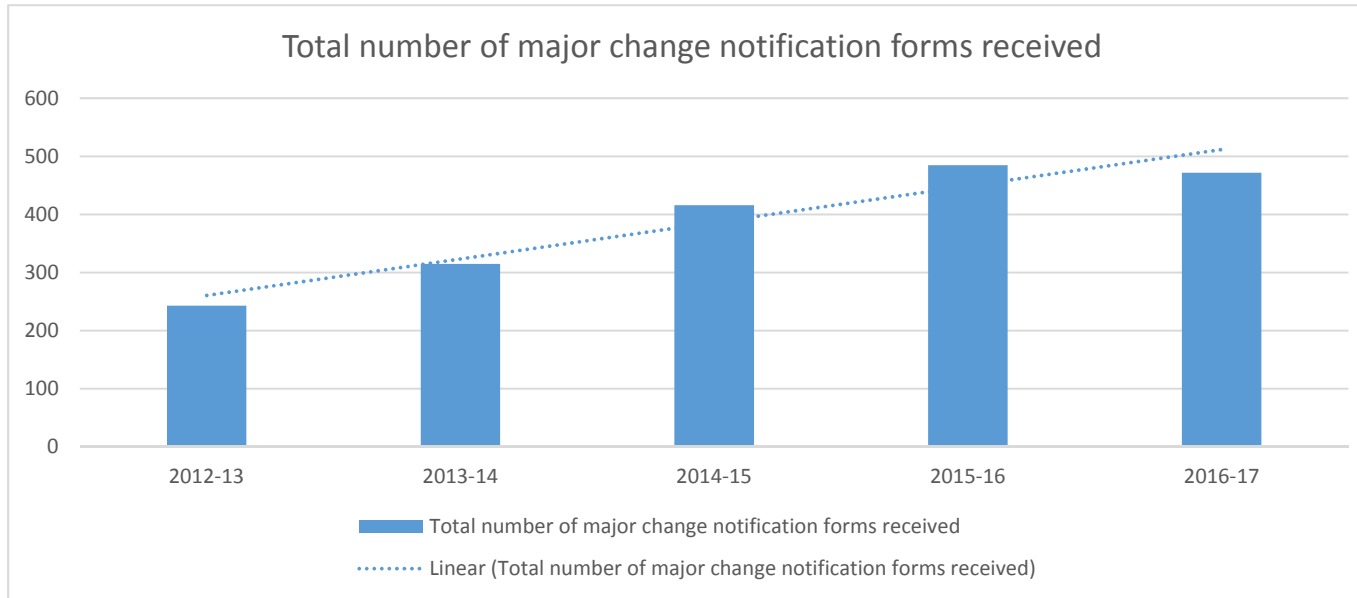
Who cancelled visit	2013-14	2014-15	2015-16	2016-17
Joint decision	0	0	1	1
Initiated by education provider	12	7	14	8
Initiated by the HCPC	0	0	1	2
Total	12	7	16	11
Total programmes scheduled for visit	166	110	86	114

When visit were cancelled	2013-14	2014-15	2015-16	2016-17
Before the visit - %	9	4	11	7
At the visit or after visit - %	1	2	0	2
After the visit - report sent to education provider - %	2	1	2	2

	2013-14	2014-15	2015-16	2016-17
% of visits cancelled	7%	6%	19%	10%
Before the visit	5%	4%	13%	6%
At the visit or after visit	1%	2%	0%	2%
After the visit - report sent to education provider	1%	1%	2%	2%

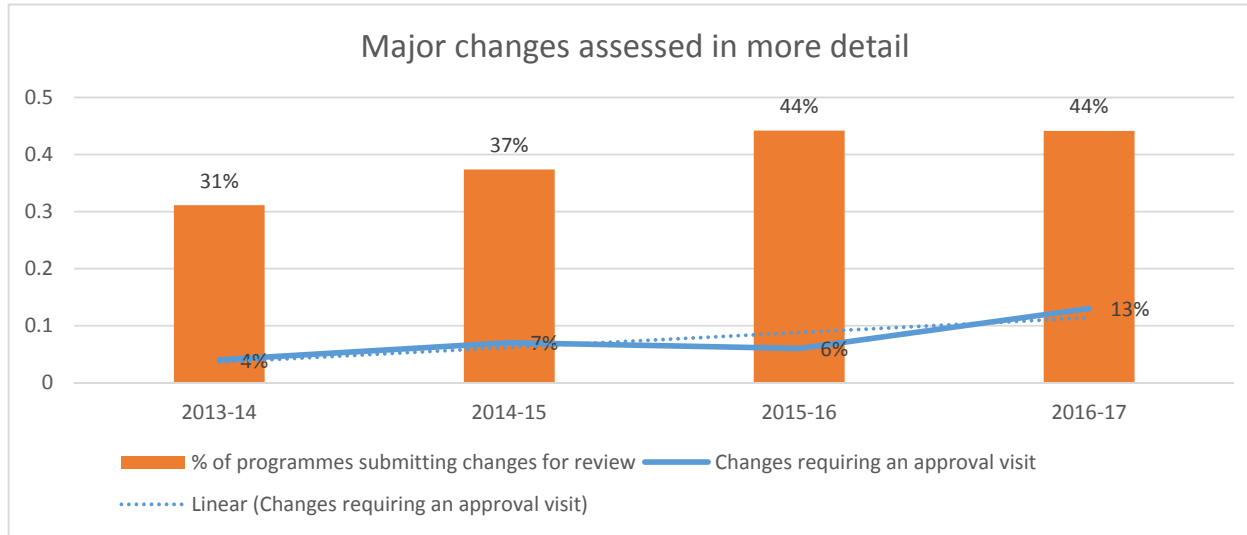
Appendix 1 – Major change - Notifications

Number of major change notifications received compared over the last five years



	2012-13	2013-14	2014-15	2015-16	2016-17
Total number of major change notification forms received	243	315	416	485	472
Notification forms withdrawn (cancelled)	47	48	50	30	37

Notifications received and referred to a visit, compared to overall approval programmes



	2013-14	2014-15	2015-16	2016-17
Changes requiring an approval visit	13	31	30	62
Changes requiring an approval visit	4%	7%	6%	13%
No. of programmes submitting changes for review	315	416	479	472
No of approved programmes (overall)	1012	1113	1084	1069
% of programmes submitting changes for review	31%	37%	44%	44%

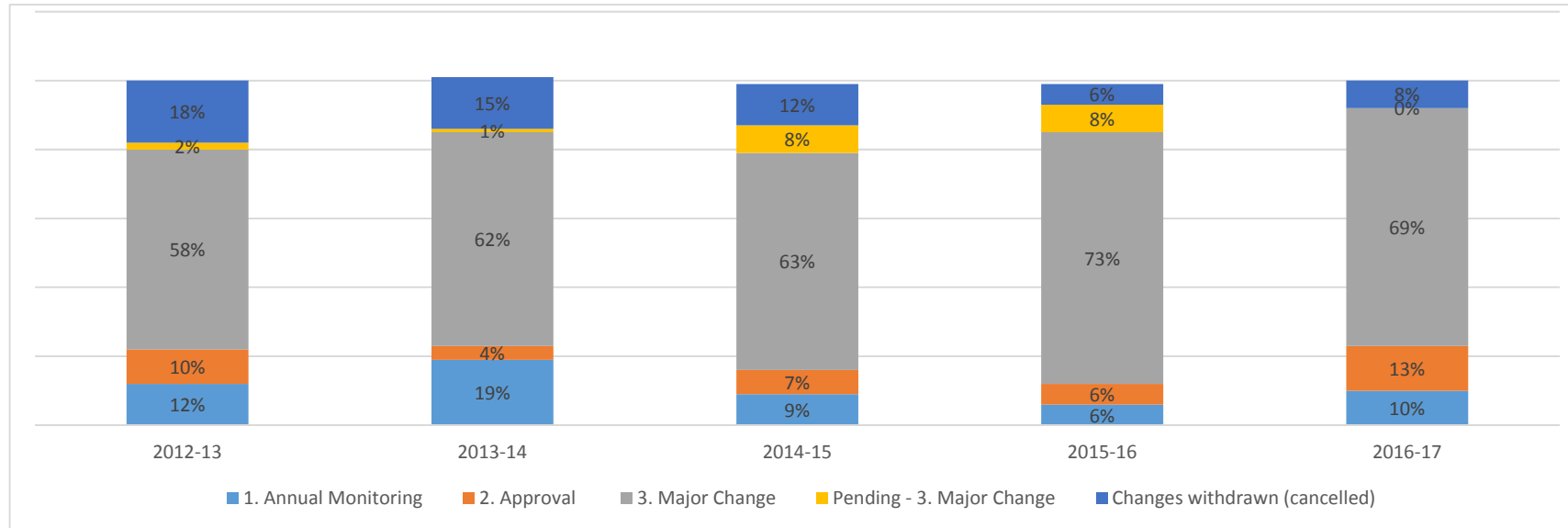
	2013-14	2014-15	2015-16	2016-17
Changes requiring an approval visit	4%	7%	6%	13%
% of programmes submitting changes for review	31%	37%	44%	44%

Breakdown of major change notification forms received - by profession and entitlement

Profession	Number of notifications	%
Arts therapists	15	3.20%
Biomedical scientists	23	4.90%
Chiropodists / podiatrists	9	1.90%
Clinical scientists	3	0.60%
Dietitians	14	3.00%
Hearing aid dispensers	7	1.50%
Occupational therapists	48	10.20%
Operating department pra...	23	4.90%
Orthoptists	2	0.40%
Paramedics	32	6.80%
Physiotherapists	43	9.10%
Practitioner psychologists	35	7.40%
Prosthetists / orthotists	0	0.00%
Radiographers	30	6.40%
Social workers in England	119	25.20%
Speech and language therapists	16	3.40%
Approved mental health pro...	8	1.70%
Independent prescribing	22	4.70%
Prescription only medicine - administration, sale & supply (combined)	6	1.30%
Supplementary prescribing	17	3.60%
	472	100%

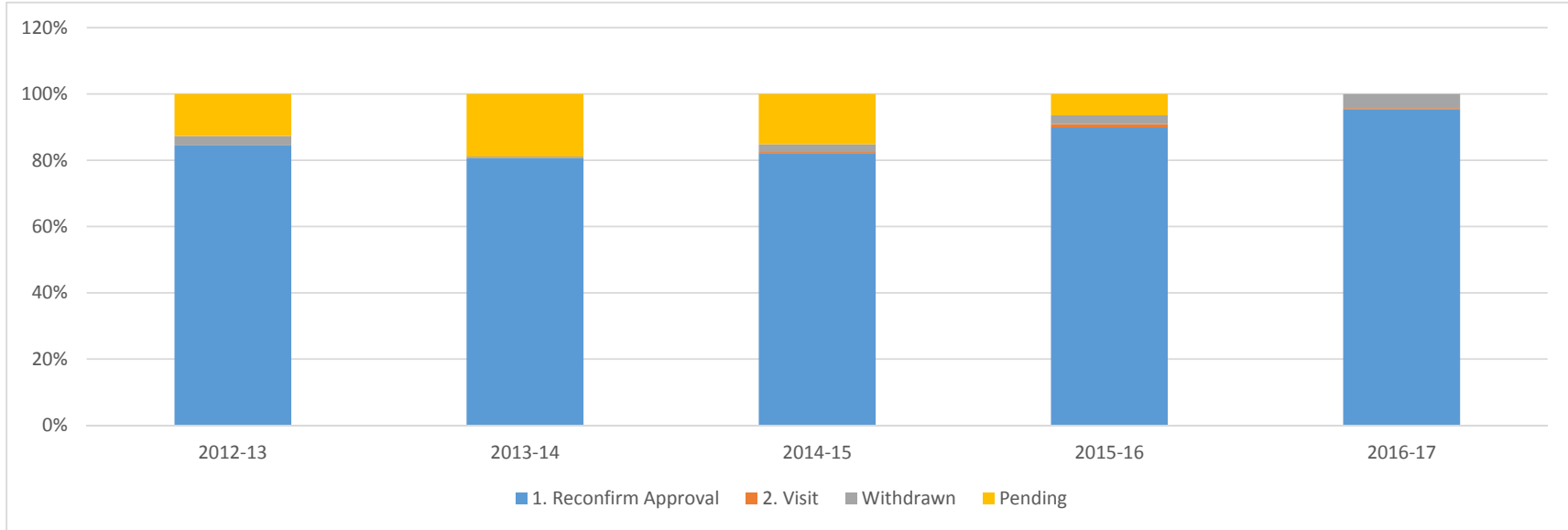
Appendix 1 – Major change – Decisions

Major change notifications - by Education Department recommendation



	2012-13	2012-13	2013-14	2013-14	2014-15	2014-15	2015-16	2015-16	2016-17	2016-17
1. Annual Monitoring	30	12%	59	19%	39	9%	29	6%	47	10%
2. Approval	25	10%	13	4%	31	7%	30	6%	62	13%
3. Major Change	141	58%	194	62%	264	63%	351	73%	326	69%
Pending - 3. Major Change	4	2%	2	1%	32	8%	39	8%	0	0%
Changes withdrawn (cancelled)	43	18%	47	15%	50	12%	30	6%	37	8%
	243		315		416		479		472	

Major change notifications considered through major change process - by visitor recommendation



Outcome	2012-13	2012-13	2013-14	2013-14	2014-15	2014-15	2015-16	2015-16	2016-17	2016-17
1. Reconfirm Approval	120	85%	158	81%	249	82%	366	90%	312	95.4%
2. Visit	0	0%	0	0%	1	0%	4	1%	1	0.3%
Withdrawn	4	3%	1	1%	7	2%	11	3%	14	4.3%
Pending	18	13%	37	19%	46	15%	26	6%	0	0.0%
	142		196		303		407		327	

Appendix 1 – Major change – Time

Average time taken to consider notification forms (AM or APP recommendation) over the last 5 years

	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17
No. of weeks	5	2	1.1	1.8	1.6	1.8
No. of months	1.2	0.5	0.3	0.4	0.4	0.4

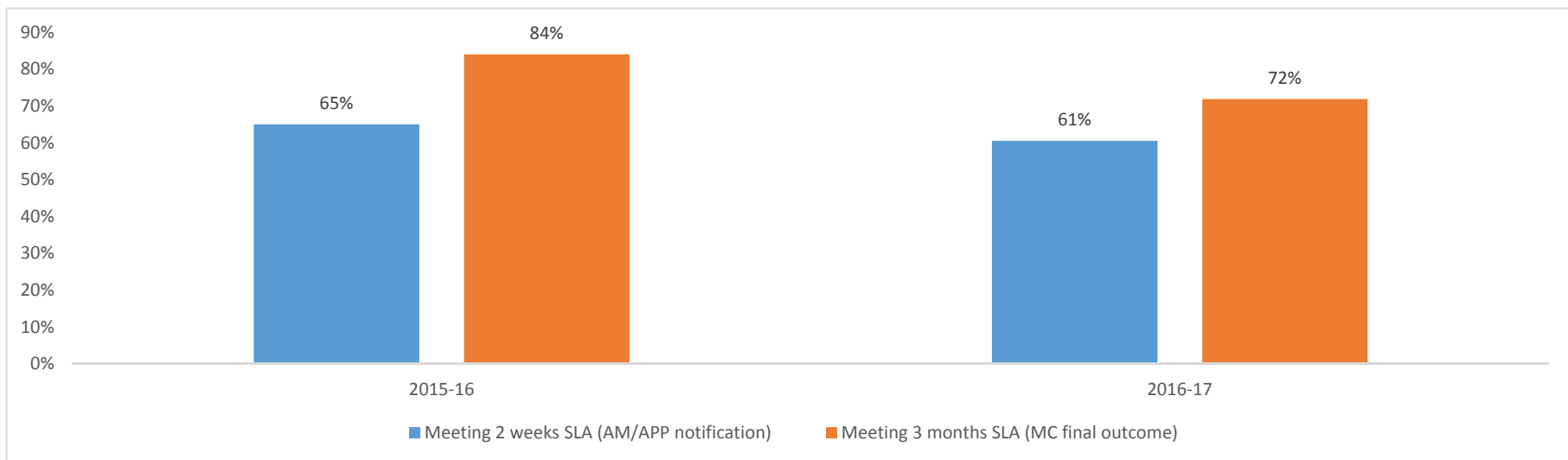
5 year average
1.7
0.4

Average time taken to complete MC process over the last 5 years

	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17
No. of weeks	12.5	11.2	11.2	13.6	8.9	10.4
No. of months	3.1	2.8	2.8	3.4	2.2	2.6

5 year average
11.1
2.8

Number meeting service level agreements (SLA's)

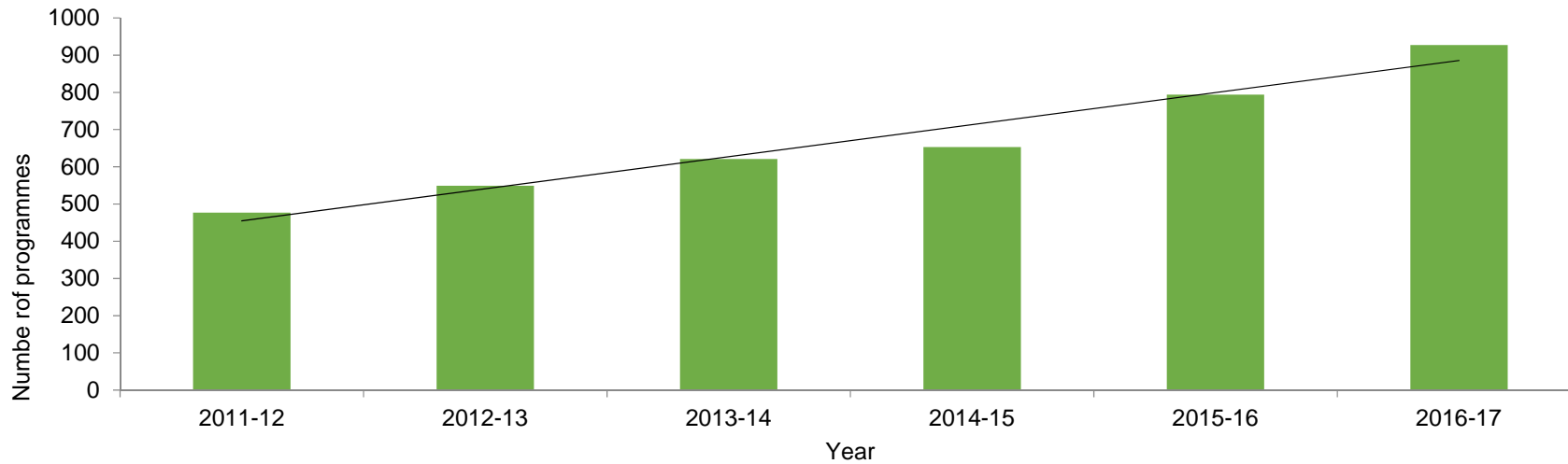


	2011-12	2012-13	2013-14	2014-15	2015-16	2016-17
Meeting 2 weeks SLA (AM/APP notification)	50%	72%	87%	81%	65%	61%
Notification after 3 weeks (AM/APP)	71%	76%	100%	87%	81%	83%
Meeting 3 months SLA (MC final outcome)	48%	61%	59%	86%	84%	72%
Notification after 4 months (MC final outcome)	79%	87%	92%	93%	96%	91%

5 year average
73%
85%
72%
92%

Appendix 1 – Annual monitoring – Programmes

Total number of programmes monitored



Year	Number of programmes	Difference (+/-)	% difference (+/-)
2011-12	477		
2012-13	549	72	13%
2013-14	621	72	12%
2014-15	653	32	5%
2015-16	794	141	18%
2016-17	927	133	14%

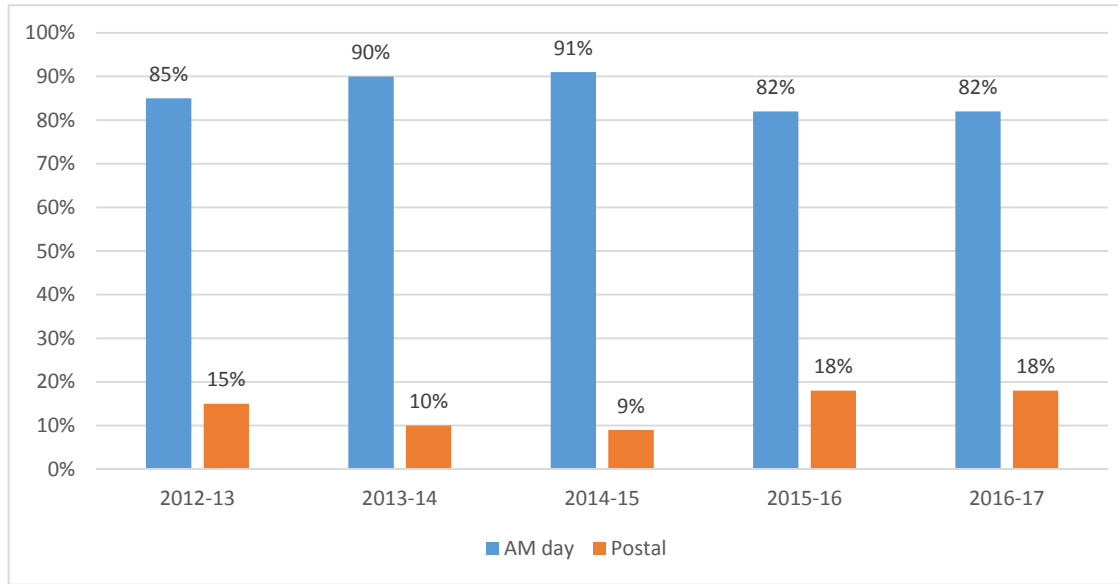
% increase over 5 years	14%
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Breakdown of annual monitoring submissions - by profession and entitlement

Professions/entitlement	Number of declarations	Number of audits	% declarations	% audits	% total received
Approved mental health professionals	5	24	1%	4%	3%
Arts therapists	21	7	5%	1%	3%
Biomedical scientists	20	38	5%	7%	6%
Chiropodists / podiatrists	9	8	2%	1%	2%
Clinical scientists	2	1	1%	0%	0%
Dietitians	18	10	5%	2%	3%
Hearing aid dispensers	6	12	2%	2%	2%
Occupational therapists	35	24	9%	4%	6%
Operating department practitioners	10	19	3%	4%	3%
Orthoptists	1	2	0%	0%	0%
Paramedics	7	36	2%	7%	5%
Physiotherapists	28	37	7%	7%	7%
Clinical psychologists	11	25	3%	5%	4%
Counselling psychologists	8	11	2%	2%	2%
Educational psychologists	4	11	1%	2%	2%
Forensic psychologists	1	4	0%	1%	1%
Health psychologists	3	13	1%	2%	2%
Occupational psychologists	1	0	0%	0%	0%
Sport psychologists	1	0	0%	0%	0%
Podiatric surgery	0	0	0%	0%	0%
Prosthetists / orthotists	1	1	0%	0%	0%
Radiographers	26	23	7%	4%	5%
Social Workers in England	79	146	20%	27%	24%
Speech and language therapists	10	19	3%	4%	3%
Supplementary prescribing	27	22	7%	4%	5%
Independent prescribing	46	44	12%	8%	10%
Prescription only medicine	6	4	2%	1%	1%
	386	541	100%	100%	100%

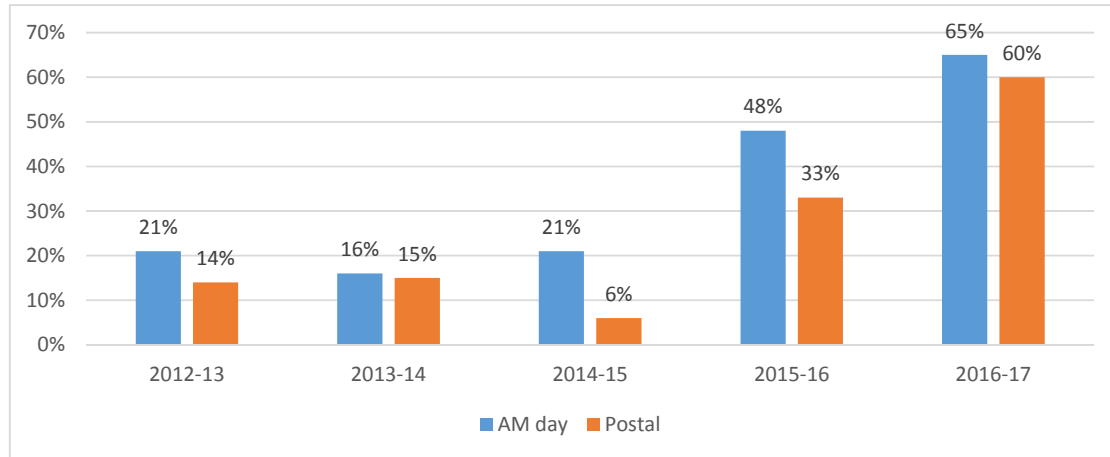
Appendix 1 – Annual monitoring – Assessment

Method of assessment



Year	Method of assessment			
	AM day		Postal	
2012-13	240	85%	44	15%
2013-14	252	90%	27	10%
2014-15	322	91%	33	9%
2015-16	306	82%	66	18%
2016-17	441	82%	100	18%

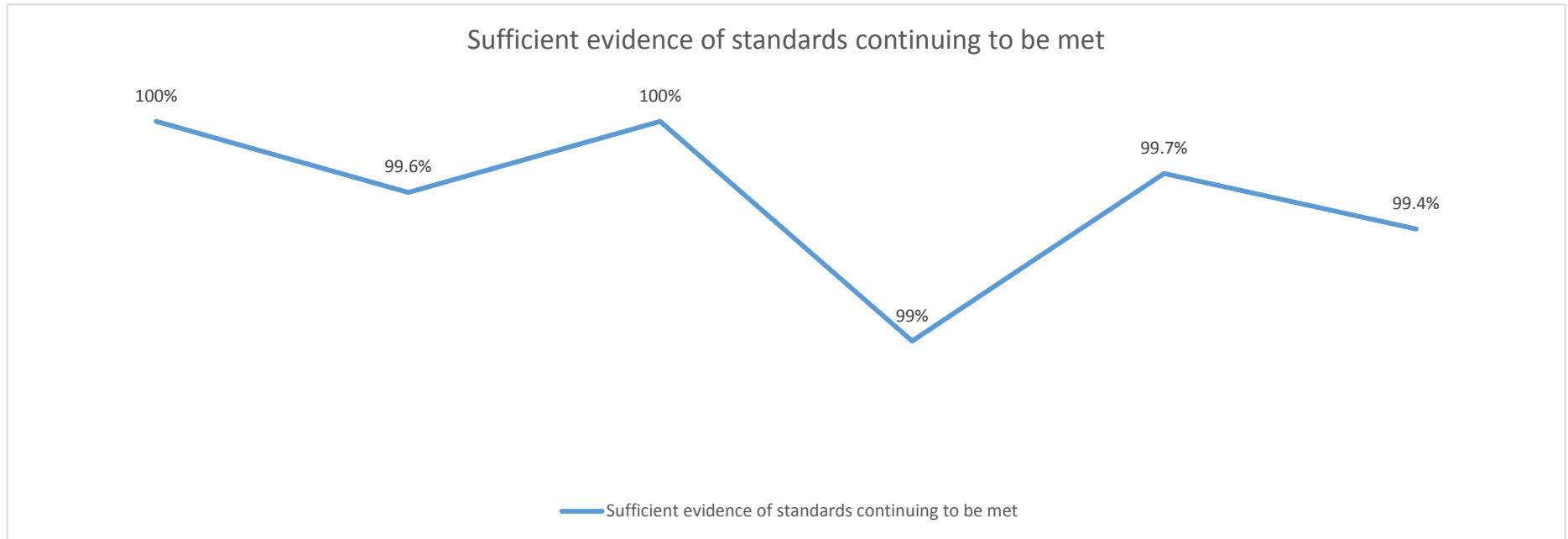
Requests for further information, by method of assessment



Year	Method of assessment							
	AM day				Postal			
	Yes		No		Yes		No	
2012-13		21%		79%		14%		86%
2013-14		16%		84%		15%		85%
2014-15		21%		79%		6%		94%
2015-16		48%		52%		33%		67%
2016-17	286	65%	155	35%	60	60%	40	40%

Appendix 1 – Annual monitoring – Outcomes

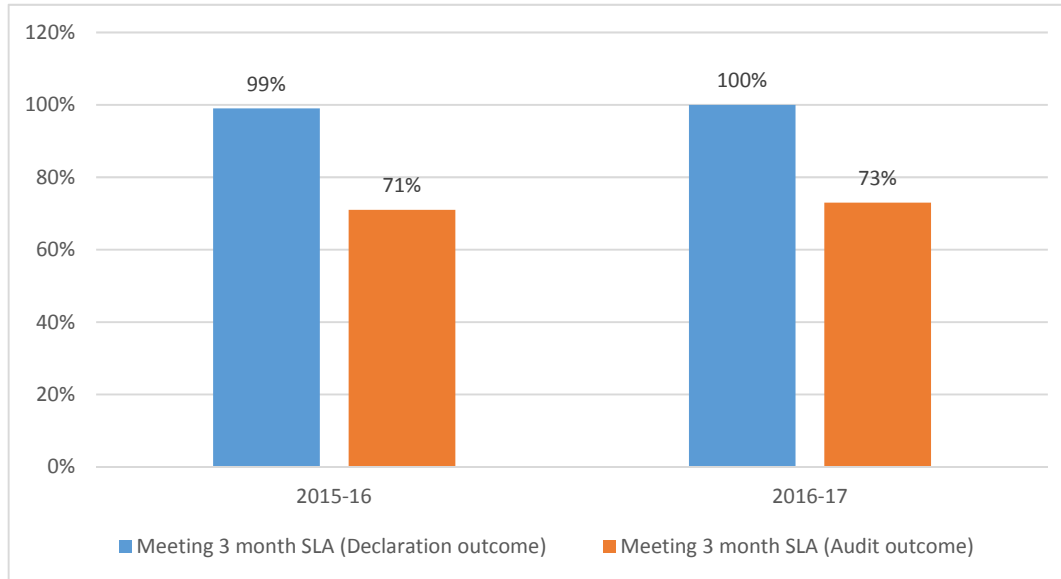
Summary of audit outcomes



Years	2011-12		2012-13		2013-14		2014-15		2015-16		2016-17	
Sufficient evidence of standards continuing to be met	215	100%	272	99.6%	273	100%	349	99%	371	99.7%	538	99.4%
Insufficient evidence of standards continuing to be met	0	0%	1	0.4%	0	0%	4	1%	1	0.3%	3	0.6%

Appendix 1 – Annual monitoring – Time

Number meeting AM service level agreements (SLA's)



	2015-16	2016-17
Meeting 1 month within SLA (Declaration outcome)	59%	45%
Meeting 2 month within SLA (Declaration outcome)	97%	81%
Meeting 3 month SLA (Declaration outcome)	99%	100%
Meeting 1 month within SLA (Audit outcome)	10%	9%
Meeting 2 month within SLA (Audit outcome)	33%	40%
Meeting 3 month SLA (Audit outcome)	71%	73%

Average
52%
89%
100%
10%
37%
72%

Average time taken to consider declaration, compared to previous years

	2015-16	2016-17	Average
No. of months	1.0	1.2	1.1

Average time taken to consider audit, compared to previous years

	2015-16	2016-17	Average
No. of months - overall	2.9	2.4	2.7
No. of months - additional documentation required	3.2	3.2	3.2
No. of months - no additional documentation required	2.2	1.9	2.1

Appendix 1 – Concerns

Concerns received

Year	No of programmes	% of all approved programmes
2014-15	5	0.5%
2015-16	6	0.6%
2016-17	9	0.8%

Review of submission

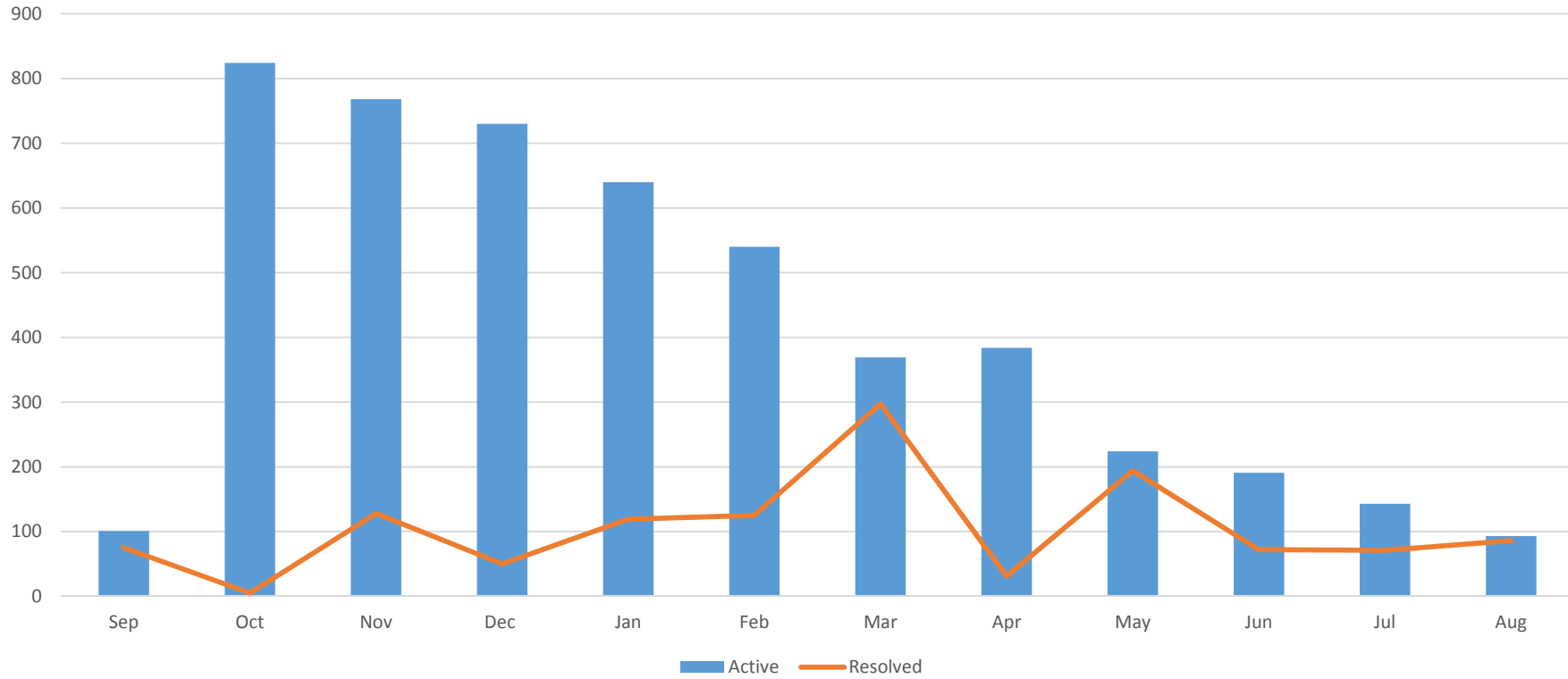
Year	Investigate concern	Do not investigate	Withdrawn
2014-15	2	3	
2015-16	3	3	
2016-17	5	3	1

Final outcome

	No further action	Refer to AM	Refer to MC	Refer to Approvals	Directed visit
2014-15	0	0	0	2	0
2015-16	3	0	0	0	0
2016-17	4	0	0	0	1

Appendix 1 – Cases

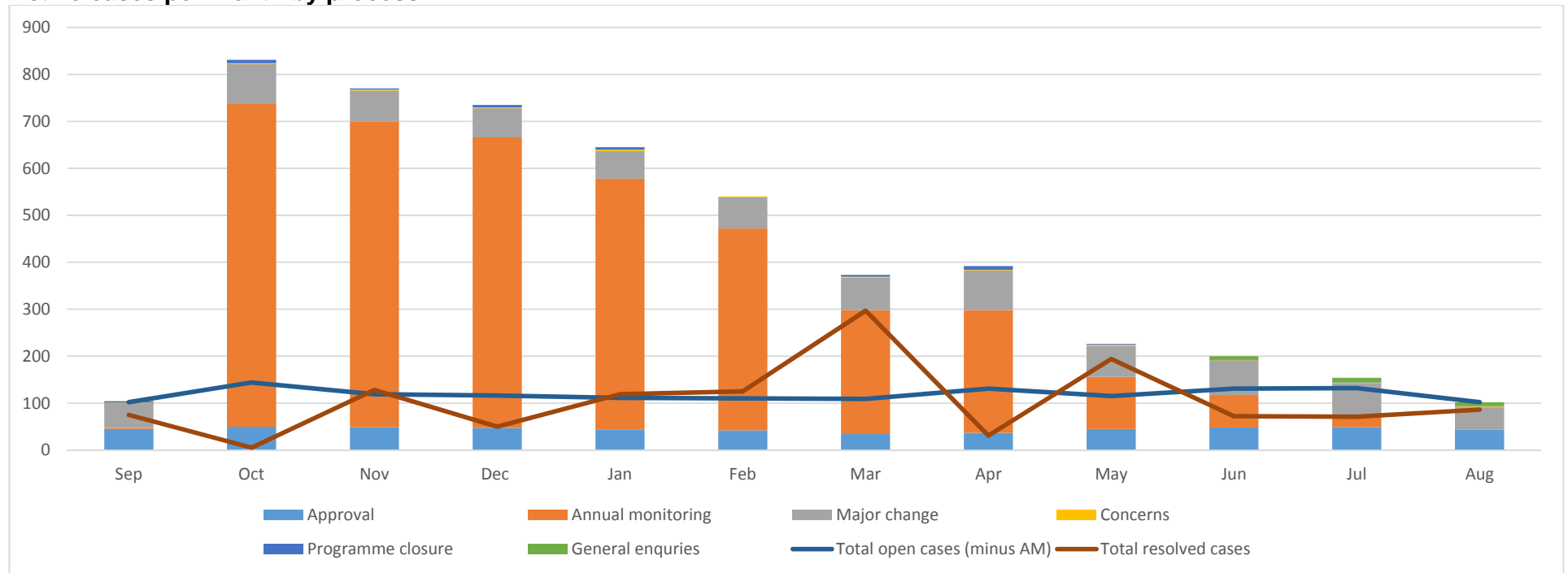
Active and resolved cases per month



	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug
Active	101	824	768	730	640	540	369	384	224	191	143	93
Resolved	75	5	128	50	119	125	297	31	194	72	71	86

Avg, cases resolved per month	104
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Active cases per month by process



	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug
Approval	45	50	49	47	44	42	34	37	45	48	49	43
Annual monitoring	2	687	651	619	534	430	264	261	111	69	22	0
Major change	53	85	66	62	59	66	69	85	66	73	71	48
Concerns	1	2	2	2	3	2	2	1	2	1	1	2
Programme closure	3	7	2	5	5	0	4	8	2	1	1	1
General enquiries										8	10	8
Total open cases (minus AM)	102	144	119	116	111	110	109	131	115	131	132	102
Total resolved cases	75	5	128	50	119	125	297	31	194	72	71	86

Average no. of active cases (minus AM) per month	119
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