

Council, 25 May 2017

Fitness to Practise Report

Executive summary and recommendations

Introduction

This report provides the Council with an update into the work of the Fitness to Practise Directorate completed in 2016-17 and the work completed in March 2017. Further information regarding the FTP cases received and considered in the 2016-17 reporting year will be included in the FTP Annual Report. This is due to be considered by Council at its meeting in September 2017.

Areas from the report to highlight are as follows:

- There has been a 6% increase in the number of new cases received in 2016-17 compared to 2015-16. 2259 new cases compared to 2127.
- The number of cases closed as not meeting the Standard of Acceptance was 1854 in 2016-17 compared to 1661 in the previous year, an increase of 12%.
- 142 Interim Order applications have been considered this year compared to 89 in the previous year, an increase of 60%.
- The average case to answer rate for the year was 71%. This compares with 63% in the previous year although 17 % fewer cases have been considered by ICPs in 2016-17.
- There has been a 15% reduction in the number of open pre ICP cases at the end of this year compared to the previous year.
- 57 cases were concluded at final hearing in March. 445 cases were concluded at final hearing in 2016-17, an increase of 39% compared to the previous year.
- Mean and median length of time to conclude a case from receipt to final hearing is 26 and 22 months compared to 26 and 24 months in February. The average length of time for the year 2016-17 is slightly higher than the previous year which reflects the focus on ensuring that our older cases are heard and concluded.
- The Court of Appeal dismissed the PSA's appeal in respect of the case of Benedict Doree.

- The Health and Care Professions Tribunal Service (HCPTS) became fully operational on 28 April 2017.

Decision

The Council is asked to discuss the Fitness to Practise Directorate report

Appendices

Appendix 1 Fitness to Practise Directorate Narrative Report

Appendix 2 Fitness to Practise Directorate Management Information Pack

Date of paper

10 May 2017

Fitness to Practise Directorate Report

The following provides a summary of the work completed by the Fitness to Practise directorate in 2016-17 and the work completed in March 2017.

1. Performance at a Glance

- In March we received 204 new cases, which was above the forecast of 180. In total we received 2259 new cases in 2016-17, which is a 6% increase from 2015-16 (2127).
- Social Workers continued to be the profession which received the largest number of complaints in 2016-17, making up 54% of all new allegations.
- 142 Interim Order applications have been considered this year, which is significantly higher than in 2015-16 when 89 IO applications were made.
- 175 cases were closed in March as not meeting the Standard of Acceptance. The total number of cases closed for the year 2016-17 was 1854. This compares to a 1661 cases closed in 2015-16, an increase of 12%.
- 57 cases had allegations sent to registrants for their observations in March. For the year, observations were sent for 660 cases. This compares to 756 cases in 2015-16.
- The case to answer rate in March was 78%. The average case to answer rate for the year was 71%. This compares with 63% in the previous year although fewer cases have been considered by ICPs in 2016-17.
- The number of open pre ICP cases at end of this year is 15% lower than last year when we had 1208 open at pre ICP stage as at the end of March 2016.
- The number of open cases in the post ICP stage at the end of the reporting year is 464. We have seen a continued reduction in the number of open post ICP cases during the year of approximately 5% when compared to the 516 open post ICP cases at the end of March 2016.
- 57 cases were concluded at final hearing in March. For the reporting year as a whole 445 cases were concluded at final hearing. This compares to 320 cases that concluded at a final hearing in 2015-16. An increase of 39%. This reflects the focus on progressing the older cases to conclusion.
- There has been an increase in the number of cases which are in the substantive review cycle – 241 cases at the end of March 2017 compared to 210 cases at the end of March 2016.

- There have been no significant changes to the length of time measures between February and March.

2. New Cases

- In March we received 204 new cases, which was above the forecast of 180. In total we received 2259 new cases in 2016-17, which is a 6% increase from 2015-16 (2127).
- Social Workers continued to be the profession which received the largest number of complaints in 2016-17, making up 54% of all new allegations. Paramedics were the second largest group of new referrals at 13% of the total complaints received.
- The average length of time to acknowledge a new complaint was 3 working days, which is below the 5 day service standard.
- At the end of March there were 227 cases open in CRT, which was lower than the forecast of 283 and is consistent with the number at the end of February.
- In March CRT transferred 129 cases to INV, which is significantly higher than the forecast of 63 and the highest since CRT was established.
- **ACTION:** In March we introduced new, more challenging transfer forecasts for CRT which has contributed to the increase in the number of cases being transferred to INV. We anticipate that along with the new 5 week case plans also introduced this month (see below) there will be an improvement in the number and age of cases being transferred.

3. Enquiry and Pre ICP Cases

- Allegations were sent to registrants for their observations in 57 cases in March.
- This gives a total for 2016/17 of 660, which is in line with the 9 month reforecast figure (656) and represents an increase of some 13% over 2015/16.
- **ACTION:** The number of live cases in Investigations (INV) remains lower than forecast and we shall need to monitor the impact of this on numbers of allegations going into 2017/18.
- 39 Rule 12 cases were open at the end of March, which is higher than the forecast of 22. At the end of 2015-16 there were 34 Rule 12 cases open.

- At the end of March there were 1027 open pre-ICP cases, a reduction on the February figure of around 5% and some 15% lower than the total in March 2016. This reflects high volumes of cases closed as not meeting the Standard of Acceptance.
- The age of open pre-ICP cases in March remains unchanged with a mean and median of 6 and 4 months respectively.
- For open pre-ICP cases meeting the Standard of Acceptance the mean and median are again unchanged from February at 9 and 7 months.
- **ACTION:** As noted in earlier Commentaries, work has been in progress since January to assign Red, Amber or Green actions on CMS to all cases over the optimum case timeframe (6 months) without an ICP date. This work is now completed for all cases 6 months old by the end of March and newer cases will be RAG rated as they reach the 6 month point. All such cases will have progression plans in place and these will be subject to regular review by FTP managers.

4. Cases closed that do not meet the Standard of Acceptance

- The number of cases closed in March as not meeting the Standard of Acceptance was 175.
- This has brought the total for 2016/17 to 1854, which is 6% over the forecast figure for the year and 12% higher than in 2015/16.
- The median age of cases closed against the Standard of Acceptance in March was unchanged from February and January, at 3 months.

5. Cases considered at Investigating Committee Panel

- In March 54 cases were considered at ICP making a total for the year of 626, which is around 15% lower than in 2015/16. This reflects a shift in 2016/17 towards more cases being closed as not meeting the Standard of Acceptance.
- The case to answer rate was 78% in March 2017 which is around 16% increase from February and January.
- The case to answer rate for the year as a whole was 71%, which is in line with the 9 month reforecast, but significantly higher than the 63% in 2015/16. This is again likely to reflect the fact that more cases have been closed as not meeting the Standard of Acceptance and so a greater proportion of cases considered at ICP are likely to result in a case to answer determination.

6. Interim Order Applications and Interim Order Review Hearings

- 10 Interim Order applications were made in March; orders were granted in 9 of those cases. In total, 142 IO applications have been considered this year, which is significantly higher than in 2015-16 when 89 IO applications were made.
- There were 36 IO review hearings in March, which was above the forecast of 25. At year end we have completed 21% more IO reviews than 2015-16.
- There are 72 post ICP cases with an interim order. Of these, 31 cases have a final hearing fixed before the expiry of the order.
- A further 17 cases are ready to fix, and are in the process of scheduling. The CPC and Adjudication teams are liaising to ensure these are prioritised.
- There are 19 post ICP cases that have an interim order that expires in the next six months. All of these cases are currently on course to conclude within this timescale.

7. Cases Awaiting final hearing

- We are continuing to make progress with the closure of older cases. The number of open cases has reduced again, to 464, which is lower than the forecast of 492. The number of open post ICP cases has fallen by 14% from the highest point of the year in July 2016, where there were 538 open cases awaiting a hearing.
- The mean and median of the open cases remains steady (20 and 17 months). The mean and median of those fixed (160 cases, or 35% of the open caseload) is 25 and 21 months. As they conclude over the coming months, we will start to see a divergence in the age of those at hearing stage, with those being investigated by external lawyers. We anticipate a step change around the middle of 2017-18.
- There are 304 cases without a fixed hearing, down from 314 in January. Of these, 192 cases (63%) are ready to fix, and with our scheduling team. The remaining 112 cases are with our external investigators for investigation. The mean and median time since instruction is 3 and 2 months respectively. We continue to focus efforts on rapid conclusion of the investigation, to ensure the overall length of time is measured. Currently, the cases under external investigation have a mean and median from receipt of 16 and 12 months. We anticipate that these cases will conclude in the period from June 2017 onwards, and therefore have lower total length of time to conclusion than those currently at

the hearing stage.

- **ACTION:** we continue to focus scheduling efforts on the oldest cases, those with an interim order, or those where there are multiple FTP cases. We profile the age of the cases in each of these streams, and anticipate a significant change in age and complexity of the open post ICP cases after September 2017, when the cases currently in the system are concluded.

8. Cases listed for hearing

- 160 cases (34.5% of open caseload) have a hearing fixed.
- 554 cases were listed for final hearing in 2016-17, against a forecast of 553.
- The number of adjournments/part heard./cancelled is on forecast with 109 against 111 planned. The adjournment rate was variable in the year, with lower rates in the last quarter, due to better pre-hearing quality checks.
- The number of adjourned cases has halved from 98 in September 2016, to 41 at the end of March. Of these, only 21 do not have a fixed resuming date. The median time from the adjournment is 2 months, and within the optimum case time for re-listing.

9. Preliminary Hearing Issues

- We listed 126 preliminary meetings in the last year, over forecast (94 cases). This was to target and get directions on oldest and most complicated cases. The rate of preliminary hearings will remain higher than forecast in the first quarter of 2017-18 for the same reasons.

10. Final hearings

- We listed 608 final hearings in the last 12 months, against a forecast of 553. The reason for the increase against forecast was a deliberate push to relist those that had not concluded due to adjournment, cancellation or going part heard.

11. Review Hearings

- The review activity is on forecast (217 versus 213 planned).
- The review activity will be high for the first half of 2017-18.
- 97 reviewable orders were imposed by final hearing panels in Sept-March. In the same period in the previous year, 49 reviewable orders were imposed. This

increase in volume, coupled with the decrease in duration means more intense review activity.

- **ACTION:** we have started a systematic review of Conditions of Practise and Suspension Orders with a view to reducing the number of open cases. In parallel, we are looking at ways of supporting Panels and those presenting cases.

12. Restoration Applications

- The number of restoration hearings conducted this year remains low, with 6 hearings in 2016-17, compared to 7 in the previous year. 8 restorations were forecast within the year.
- There are currently 7 open restoration cases, of which 4 have a fixed hearing date.
- The level of restoration therefore remains low, and there are no concerns about managing these cases.

13. Tribunal Centre Usage

- We continue to make best use of 405 Kennington Road(KR) rooms for hearings, with the last two month of the year operating at above 100% room occupancy. This is achieved by flexibly using the ICP room to hold smaller hearings, rather than going to external venues.
- The overall occupancy rate for the year was 92% which is exactly on forecast for the year.
- The internal auditors (Grant Thornton) have concluded their review of the utilisation of 405KR in March, and will report to Audit Committee in June.

14. Challenges to Fitness to Practise Decisions

- No new appeals by registrants have been received in March. One case remains open with a hearing listed for 20 June 2017. One appeal brought by a registrant was heard by the High Court in March. The appeal was dismissed and the HCPC was awarded costs.
- No new cases have been referred by the Professional Standards Authority (PSA) to the High Court under Section 29(4) of the National Health Service Reformation and Health Care Professions Act 2002.

- The Court of Appeal dismissed the appeal brought by the PSA in relation to the decision of the High Court to dismiss their original appeal of the Conduct and Competence Committee's decision in the matter of Doree.
- Responses have been issued in relation to three matters where we had been notified of an intention to seek a judicial review.

15. Overall length of time position

Pre-ICP cases

- In March 2017, 175 cases were closed as they did not meet the Standard of Acceptance. The total for this financial year is 1854, which is almost a 12% increase on last year (1661 cases closed). The average mean and median length of time for the year from receipt to closure at the Standards of Acceptance was five and four months, which is a slight reduction on the previous two years where it was six and five months. The number of cases open at this stage of the process has declined further in March and was at 714 at the end of 2016-2017. This compares with 921 as at end of the previous year.

ICP cases

- 626 cases were concluded by the ICP in 2016-17, which is slightly lower than the previous year. The ICP requested further information in 27 cases this year, which is lower than the previous year when 48 cases required further information. Whilst the average mean and median length of time from the point the case was assessed as meeting the Standard of Acceptance to conclusion at the ICP was slightly higher in March 2017 (eight and five months respectively), the average for this year remained at six and four months, which is the same as in the previous year.

Post-ICP Cases

- At the end of March 2017 there were 464 cases opened at the post ICP stage, the lowest this year. This is almost 10% lower than the previous year. The mean and median age, since receipt, of the open post ICP cases remains steady at 20 and 17 months respectively. The age of open post ICP cases since referral from ICP also remains steady at mean of 9 months and median of 7 months.

Hearings

- March 2017 saw the highest number of concluded cases at final hearing so far this year, with 57 cases. In total, 445 hearings have concluded at a final hearing during this financial year, which is an increase of 39% on the previous year. The average length of time from receipt to closure of the cases at hearings for 2016-17 is 25 months mean and 22 months median. This is slightly higher from the previous year when the mean was 23 months and the median was 21 months

and is reflective of the focus on ensuring that our older cases are heard and concluded.

Overall

- Overall, March 2017 has seen the highest number of closed cases, with a total of 243 cases closed at the different stages of the process. The total number of cases closed during this financial year is 2481, which is about 11% more than in 2015-16 and 40% more than in 2014-15. The average length of time for this financial year is nine months mean and five months median. This is slightly lower than in the last two financial years when these measures were nine and seven months respectively.

16. Case Progression

- In the enquiry stage, 66 cases (9.3%) are older than 52 weeks from receipt, 8 cases (1.1%) are older than 104 weeks, and 4 cases (0.6%) are older than 156 weeks from receipt. There are no changes in proportions since February 2017 summary and the numbers of cases outside of the optimum case times are small and have complex reasons for not progressing.
- In the preICP stage, 67 cases (24.3%) are older than 52 weeks from receipt, 14 cases (5.1%) are older than 104 weeks from receipt, and 6 cases (2.1%) are older than 156 weeks from receipt. There are no significant changes in proportions since February 2017.
- In Post ICP stage, 335 cases (72.1%) are older than 52 weeks from receipt, 121 cases (26.1%) are older than 104 weeks, and 47 cases (10.1%) are older than 156 weeks from receipt. These proportions remain stable when compared to previous months, having reduced in the last six months.

17. Cases against optimum case length

- At the end of March the proportion of open pre-ICP cases no older than 5 months was 63%, which is a marginal improvement on February's 61% but still some way short of the 75% target.
- The proportion of cases being transferred to Investigations within 8 weeks of receipt was unchanged from February at 60%, against a target of 90%. This is despite an increase in the number of cases being transferred.
- Regarding the measure for length of time from receipt to pre-ICP closure, 57% of cases closed as not meeting the Standard of Acceptance were no older than 4 months compared to a target of 75%.

- For length of time between sending the allegation to the registrant for their observations and consideration of the case by an ICP, 85% were within the 3 months optimum (target 90%).
- **ACTION:** We will continue to monitor case progression against the optimum timeframes and will be able to offer more detailed analysis as we move into the new year and the realigned structure is fully embedded.
- **ACTION:** In March CRT introduced a new, more challenging monthly transfer forecast as well as case plans for cases meeting the 5 week stage. The aim of the plan is to improve the progression of cases to closure or transfer before the 8 week point by focusing on the next actions required on the case. We anticipate that this will help improve performance against the optimum case length as more cases are transferred before the 8 week point as appropriate.
- **ACTION:** We will be delivering training to the CRT team in April on transfer points in order to clarify the criteria and timeframes for referring cases to INV.

18. Forecast length of time position

- There are 160 final hearings listed (35% of the open post ICP caseload). The anticipated mean and median age from receipt is 25 and 21 months respectively. This is higher than the mean and median for the open caseload, demonstrating that we have successfully targeted the older and more complex hearings.
- 21 of these 160 cases had previously been part heard or adjourned. The mean time since the previous panel concluded is 2 months. The mean and median age from receipt of cases that were adjourned is 31 and 28 months, demonstrating the impact of adjournments on the overall caseload times.
- The mean and median time of all open post ICP cases is 20 and 17 months respectively. There has been no change in this overall measure throughout the year, though there is a decrease in the number of cases in the oldest groups, due to targeted hearing scheduling.
- The mean and median age of cases since receipt that are ready to list for a hearing, but do not yet have a fixed hearing date is 18 and 14 months. Scheduling activity suggests that these cases are likely to conclude at a younger age than the ones currently at the hearing stage.
- Similarly, those that are still being investigated by our external lawyers are younger still (mean and median 16 and 12 months respectively).
- The throughput of cases in post ICP stage has changed since the CPC team went live, with 237 (51%) of the open cases having been referred from ICP since

September 2016. This demonstrates that the actions being taken are progressing and concluding the cases, and some of the length of time of the cases has been accrued in earlier parts of the process.

- **ACTION:** we are seeing changes in the ages of cases about to conclude at final hearing; awaiting listing; having a concluded hearing; and those referred for an external hearing. We continue to target these cases, and anticipate the situation to continue through the first six months of 2017-18 budget year.

19. Health and Character Declarations

- 36 new Health and Character Declaration cases were received in March. At year end we had received 710 new Declaration cases against a forecast of 701. This is a 2% increase on the number of new Declarations received in 2015-16.
- At the end of March the number of open Declaration cases was 67, which is slightly lower than the forecast of 70. This is, however, noticeably higher than the 37 Declaration cases open at the end of 2015-16. This can be attributed in part to the large number of cases received during the social worker renewal period this year.
- The number of cases over one month old since receipt at the end of March was 30. This is the lowest since November 2016 and a little below the average of 33 cases.
- The average time taken to acknowledge receipt of new Declaration cases was 4 days, which is better than the service standard of 5 days.
- **ACTION:** We will be working with the Registrations department during April to refine the process for managing Declaration referrals between the two teams. This is with the aim of improving the flow of referrals to the Case Officer team, thereby allowing us to more effectively plan resources within the team.

20. Protection of Title Cases

- In March we received 21 new Protection of Title cases, which is lower than the forecast of 39. Year to date we have received 236 cases which is significantly lower than the forecast of 326. The number of Protection of Title cases received this year is 32% lower than the number received in 2015-16.
- 43 Protection of Title cases were open at the end of March, which is the highest the open caseload has been since Protection of Title cases were transferred to the Case Officer team in September 2016. However, this is lower than the number of open cases at the end of 2015-16 at 81.

- The average time taken to acknowledge receipt of new Protection of Title cases was 10 working days, which is above the 5 day service standard and an increase from last month.
- The increase in the number of open cases and acknowledgement times is due to high levels of annual leave on the team, which meant that we were a Case Officer short for the month, and the remaining members of the team prioritising Health & Character Declaration cases.
- At the end of March 19 Protection of Title cases were older than one month since receipt, which is an increase from February (10) but in line with the year to date average of 20.
- The proportion of Protection of Title cases closed within 60 days was 83% in March. This is significantly higher than February (48%) and is the highest since this measure was introduced in November.
- **ACTION:** We will continue to monitor Case Officer resource to ensure that we have sufficient resource to support all areas of work.

ACTION: Over the next two months we will be reviewing the 60 day service standard following 6 months since its implementation to ensure this is an appropriate measure for monitoring and improving the timely management of cases. We will also be implementing an internal review stage for Protection of Title cases to ensure management oversight of all cases where there may be barriers to case progression within the service standard.

21. Miscellaneous Cases

- 62 new Miscellaneous cases were received in March, which is below the forecast of 87. At year end we have received 817 cases which is significantly below the year forecast of 969 cases. The year end figure is, however, in line with the number of miscellaneous cases received in 2015-16 (821).
- At the end of March the number of open Miscellaneous cases was 55, which is below the forecast of 64, but in line with the average for the year to date.
- The time taken to acknowledge receipt of new cases in March was 8 working days, which is above the 5 day service standard and the highest since we started recording against the service standard in October 2016. This is due to high levels of annual leave on the team, which meant that we were a Case Officer short for the month, and the remaining members of the team prioritising Health & Character Declaration cases.

- The number of open cases over one month old was consistent with February at 17 cases, and lower than the year to date average of 20.
- **ACTION:** We will continue to monitor Case Officer resources to ensure that we can provide sufficient cover during periods of staff absence, and consistently meet our service standards across all workstreams.

22. Employee Training

- A full programme of training has been provided to staff during 2016-17. The training programme included sessions on risk assessments and interim orders, allegation drafting, fast effective reading, Mind customer case and mental health and assertiveness and managing difficult people. This year's programme concluded in March 2017 with workshops on applying the Standard of Acceptance and the design and commencement of intensive inductions programmes for new Case Managers joining the FTP team.

23. Project and Workplan activity

Health and Care Professions Tribunal Service

- The project to establish the Health and Care Professions Tribunal Service (HCPTS) has been delivered on time, with the HCPTS becoming fully operational on 28 April 2017. The key deliverables of the project included:
 - Creating a new HCPTS website with sections including: About us, Attending a hearing; Hearings and Decisions; Legislation, Participant information and a Virtual tour of the Tribunal Centre
 - Recruiting and establishing the Tribunal Advisory Committee (TAC)
 - Launching a new dedicated freephone number and email address
 - Updating brochures and guidance, including Practice Notes
 - Extensive programme of external and internal communication activities.
- The Tribunal Advisory Committee has been appointed and has had a two day induction. The first meeting of the committee is 31 May 2017. The Committee is developing its programme of work to support the appointment, training and support of Panel Members, and the ongoing review of Practice Notes.

Fitness to Practise

Management Information Pack

John Barwick, Acting Director of Fitness to Practise

2016/17

01 April 2017

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Glossary	Headline description	Further information
Adjournments	An adjournment can be either a temporary pause in a hearing or a complete re-scheduling of that hearing.	The decision to adjourn is a decision for the Panel or the Panel Chair, taken at any time after the 14 day limit for postponements has passed or once proceedings have begun or are part heard. An adjournment request may be made by any party involved in Fitness to Practise proceedings.
ADM (Adjudication Manager)	The Adjudication Manager is the member of staff responsible for overseeing the work of the Hearings and Scheduling teams.	
Admission	Admission is the process through which an HCPC-registrant is added to the HCPC's professional register for the first time.	
Allegations	These are drafted by the Case Manager before the case is considered by an Investigating Committee Panel. The Investigating Committee Panel can amend these allegations if they wish based on their review of the information before them.	The allegations are what the Registrant is asked to comment on in their observations. The allegations are also used by the external investigators to investigate and present the case in a public hearing.
Allegations made: Investigating Panel (chart)	This chart shows the number and age of cases from the time the case met the Standard of Acceptance until the Final Hearing decision. Only cases that have concluded at a Final Hearing are included.	
Cancellations	A hearing or meeting does not proceed due to being administratively cancelled	
Case Preparation and Conclusion	Case Preparation and Conclusion is the section of the HCPC's Fitness to Practise Department which manages cases that have been considered by an Investigating Committee Panel and where a Case to Answer decision has been reached. The Case Preparation and Conclusion section also manages cases which have been considered at a Final Hearing and that are in the review cycle (see below).	
Case Reception and Triage	Case Reception and Triage is the section of the HCPC's Fitness to Practise Department which investigates reception and triage cases (see below).	
Case to answer rate	The rate of cases that an Investigating Committee Panel find that meet the realistic prospect test.	The Case to Answer rate is the total number of cases referred for external investigation divided by the total number of cases concluded at the Investigating Committee Panel stage. It does not include cases that require further information gathering by the Case Manager.
Closed Pre-ICP	A case that does not meet the Standard of Acceptance can be closed without sending it to an Investigating Committee Panel.	Cases that are closed pre-Investigating Committee Panel are those where requests have been made for information to meet the allegation, but have not been provided, or where the case does not relate to HCPC fitness to practise standards.
Complaints	Complaints about FTP decisions, processes or service are logged centrally and reviewed by the Executive Management Team. In FTP, a central logging and response process exists to ensure the 15 working day service standard is met and issues with complaints are escalated appropriately.	Issues from review of complaints are reviewed and fed into the training, guidance or improvement work streams in FTP
Complex Cases	Complex cases are cases that are investigated by the Complex Case Team. These cases tend to be either high profile or they involve a more complex factual matrix.	
CCC (Conduct and Competence Committee)	CCC is the acronym used for Conduct and Competence Committee.	
CO (Case Officer)	Case Officers are administrative members of staff employed by the Fitness to Practise Department who manage miscellaneous cases and undertake a variety of other duties.	

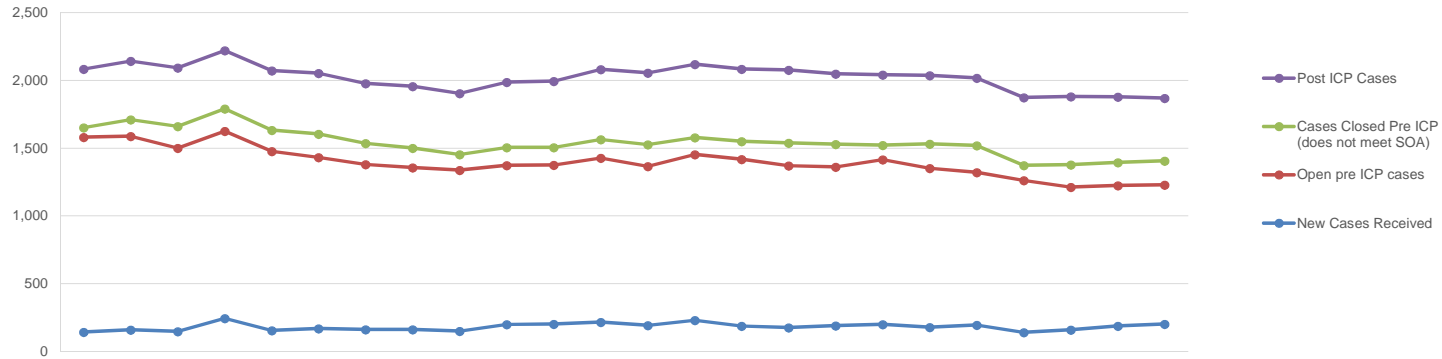
Consent	The HCPC's consent process is a means by which the HCPC and an HCPC Registrant who is the subject of an allegation made by the HCPC can seek to conclude a case without the need for a contested hearing by putting before a Panel an Order of the kind which the Panel would have been likely to make in any event. Consent to sanction (Caution Order, Conditions of Practice, or Suspension Order) in which a Registrant agrees to be made subject to a sanction, and voluntary removal, in which a Registrant agrees to erasure from the HCPC's professional register are examples of the consent process.	
CM (Case Manager)	Case Managers are members of staff employed by the Fitness to Practise Department to investigate fitness to practise concerns raised in relation to registrants of the HCPC. Case Managers will work within Case Reception and Triage, Investigations, and Case Preparation and Conclusion.	
CSO (Case Support Officer)	Case Support Officers are administrative members of staff employed by the Fitness to Practise Department who assist Case Managers with a variety of administrative tasks.	
Enquiry cases	These cases are identifiable to an HCPC Registrant but do not currently meet the HCPC's Standard of Acceptance.	Cases are anticipated to meet the Standard of Acceptance within 4 months of receipt of the original complaint.
External Legal Provider	The HCPC currently instructs two principle law firms to provide its legal services, Bircham Dyson Bell and Kingsley Napley.	
Final Hearing	This is a hearing heard by an HCPC Practice Committee at which a determination is made as to whether an HCPC registrant's current fitness to practise is impaired and, if so, what sanction, if any, is necessary for the protection of the public.	
Forecast	This is the number of cases that are expected and budgeted for in the annual budget planning process.	There is a re-forecast at 3, 6, and 9 months, of the budget year to ensure any changes in activity are resourced or re-planned.
Full year effect (FYE)	This is the summary of activity in any completed year, described from 1 April to 31 March	
Further Information at ICP	These cases have been considered by the Investigating Committee Panel, but are considered to have insufficient detail to make a Case to Answer decision.	The Case Manager requests further information and the case is returned to a future Investigating Committee Panel.
GSCC transfer cases	These cases were transferred on 1 August 2012 on the closure of the General Social Care Council	These cases are analysed and presented separately in the management commentary from those relating to social workers in England which were received after 1 August 2012 directly by HCPC
HC (Health Committee)	HC is the acronym used for Health Committee.	
Health and Character Declarations	If a Registrant declares an issue with their physical or mental health, or a caution or conviction, FTP can investigate and constitute a meeting to decide if the issue is compatible with being on HCPC register.	HCPC team members have administrative rights to close cases in line with the policy. Those cases that require review by a Panel go to the Investigating Committee.
High Court activity	Professional Standards Authority have the power to appeal a decision made by an HCPC panel. Registrants also have the right to appeal a Panel decision or to request a judicial review of our processes or decisions.	These cases are presented by external lawyers at the High Court. HCPC can re-hear cases under certain circumstances.
HO (Hearings Officer)	Hearings Officers are hearings clerks who facilitate the smooth operation of hearings, monitor the conduct of hearings, and undertake a variety of administrative duties on behalf of the Adjudications Department.	
ICP	The Investigating Committee is one of the committees that consider cases. The Investigating Committee Panel decide if the realistic prospect test is met on the information in front of it, and refers cases for further (external) investigation.	The Investigating Committee Panel is not a public hearing and it uses the information contained in the papers prepared by the Case Manager to reach its decision.

Interim Order Application hearing	An Interim Order Application hearing is a hearing at which the HCPC makes an application to an HCPC Practice Committee to impose an Interim Order (see below) on an HCPC Registrant on any relevant combination of the grounds that it would protect the public, otherwise be in the public interest, or be in the Registrant's own interest.	
Interim Order Review hearing	An Interim Order Review hearing is a hearing at which an Interim Order (see above) is reviewed by an HCPC Practice Committee. The first review takes place 6 months after the Order's imposition. Subsequent reviews then take place every 3 months until the expiry or revocation of the Order. Interim Orders are typically imposed for a duration of 18 months but can be imposed for shorter durations. If an Interim Order is due to expire, the HCPC can apply to the High Court for that Order to be extended.	
Interim Orders	Interim Orders can be applied for when there is considered to be significant risk to the public or the registrant from their alleged impairment of fitness to practise. An Order can be applied for at any stage.	
Internal measuring tools	A range of time based measures for cases at each of the stages in the process are used to ensure that cases are progressing as expected, or that complex cases have the appropriate escalation actions.	Our case management system generates weekly and monthly reports to ensure any cases that are outside the service standards can be identified.
Investigation Cases	Investigation cases are cases that are investigated at the intermediate stage of the Fitness to Practise Department's investigation process by the Investigations Teams.	
Judicial Review	This a procedure by which a Court can review an administrative action by a public body and in England and Wales secure a Declaration, Order, or Award.	
Length of time	Cases are measured from a number of points in their lifetime. These measures have service standards associated with them, and are used to trigger escalation activity for cases that exceed them. Information is taken from the Case Management System	Measures are taken from: receipt of the original complaint, the time the case meets the standard of acceptance, the time the case has been sent to the Registrant for observations; the date of the final Investigating Committee Panel; the conclusion of the external investigation; the point at which the case is ready and then fixed for a final hearing; the conclusion of the final hearing.
Length of time Age of Post ICP (chart)	This chart shows the number and age of cases, measured from the Investigating Committee Panel decision of Case to Answer. These cases have been to Investigating Committee Panel.	
Length of time Cases Inv Committee (excluding further information) - (chart)	This chart shows the number and age of cases measured from the Standard of Acceptance for Investigating Committee Panel cases that have concluded.	These cases may be closed No Case to Answer, or sent for investigation by external lawyers. Cases where an Investigating Committee Panel asked for more information are excluded.
Length of time: Allegations made to conclusion of final hearing (chart)	This chart shows the number and age of cases that have concluded at a Final Hearing. The measure is the time taken from the meeting of the Standard of Acceptance to the conclusion of the Final Hearing.	
Length of time Investigating Panel - Case Concluded (chart)	This chart shows the time taken from the Investigating Committee Panel decision to the conclusion of the Final Hearing.	
Length of time Open PreICP (chart)	This chart shows the number of cases and their age from the Standard of Acceptance. These cases have not been considered by an Investigating Committee Panel yet.	
Mean and median average	The mean is the average of the data. The median is the middle point of the range of the data	

Obs (Observations)	When a case meets the Standard of Acceptance, it is prepared to go to an Investigating Committee Panel. At the point of reaching the Standard of Acceptance, the Registrant is informed that there is a case against them, and invited to give their observations (Obs) on the complaint made against them.	Any response that comes back after the 28 day period allowed for the Registrant's response go to the Investigating Committee Panel
Part Heard	A case starts its hearing activity but does not conclude in the allotted time.	Further hearing time is arranged by the Scheduling Team liaising with all parties.
Post ICP cases	These cases have been considered by an Investigating Committee Panel and have a Case to Answer decision	The cases may be with external investigators (30%), Ready to Fix (40%), or have a confirmed Final Hearing date that is in the future.
Postponements	Postponements are an administrative action which may be taken on behalf of an HCPC Practice Committee by the HCPC's Head of Adjudication at any time up to 14 days before the date on which a hearing is due to begin to delay the convening of a hearing.	
Pre ICP cases	These cases have met the HCPC's Standard of Acceptance but have not yet been considered by an Investigating Committee Panel.	These cases may have obs returned, or still be in the process of creating the allegations on which the Registrant is asked to provide Observations. ICP dates are generally arranged up to two months in advance. Cases are expected to have been to Investigating Committee Panel for a decision within 8 months of receipt of the original complaint.
Preliminary meetings	Can be used to manage aspects of the case, such as witness orders, use of the time available, or admissibility of evidence.	Preliminary meetings can be held virtually or in person.
Profession	There are 16 professions regulated by the HCPC.	The management commentary breaks down the trends in new complaints and also outcomes of key decision stages by profession.
Protection of Title	If an individual uses one of the protected titles described in the HCPC's Order a prosecution can be pursued.	
PSA Learning Points	Professional Standards Authority review all Final Hearing decisions to ensure consistency and also that HCPC is not unduly lenient. They have the power to appeal these decisions. A monthly learning points letter is sent to HCPC containing their observations.	We review all learning points and respond to PSA with our views, including whether we agree with their opinion. We share these responses with our panels for individual learning, and also use case studies in our induction and refresher training courses.
RAG rating	This is the process whereby every case that is open for over 6 months without a future ICP date (including cases previously considered at ICP and further investigation requested) should be assigned a red, amber or green rating by applying the relevant action to the case with an associated case progression plan.	
Ready to Fix (RTF)	A case where the external investigation has concluded and can be scheduled for a Final Hearing is described as Ready to Fix	The Scheduling Team at HCPC take RTF cases and liaise with all parties before fixing or confirming the date and location of the hearings. We assume approximately 30% of all post ICP cases will be RTF at any stage.
RTF DNS (Ready to Fix - Do Not Schedule)	This is a designation used where an external legal provider has determined that the external investigation has concluded but that it would not be appropriate for a Scheduling Team to schedule a Final Hearing.	
Realistic Prospect Test	This is set out in the Standard of Acceptance policy and describes the likelihood of a Registrant's fitness to practise being found impaired.	It is a core component of the Standard of Acceptance policy.
Reception and Triage Cases	Reception and triage cases are cases that are investigated at the earliest stage of the Fitness to Practise Department's investigation process by the Case Reception and Triage section.	
Registration Appeals	Registrants who are refused entry to HCPC register can appeal.	Panels are arranged to consider the appeal.

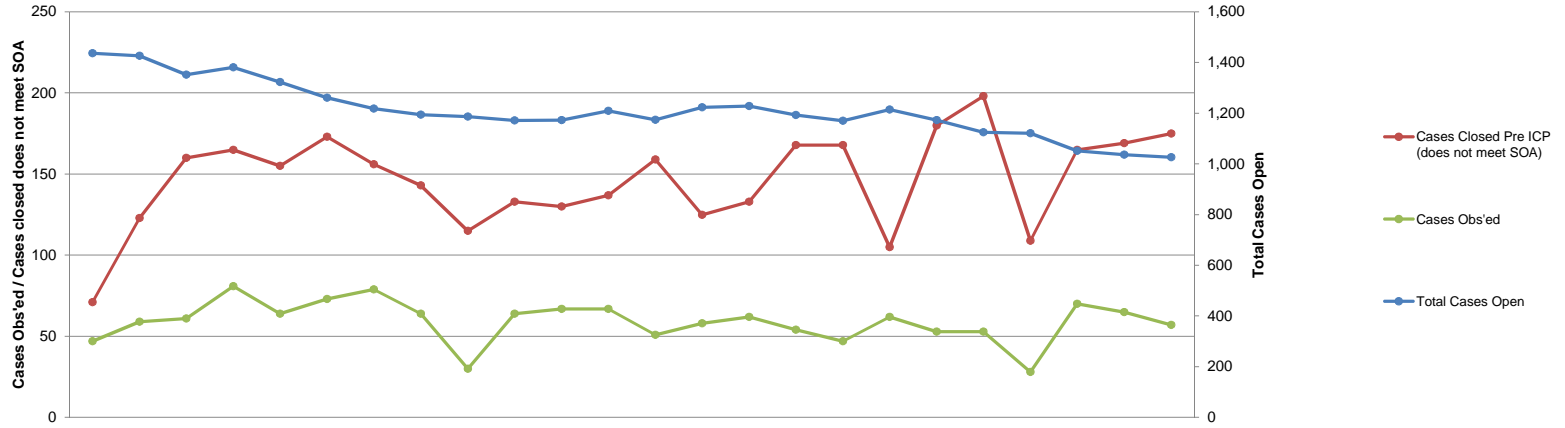
Re-admission	A Registrant is re-admitted to the HCPC's Register after they were formerly registered with the HCPC as a registered professional, their registration has lapsed for an indeterminate period, and they have re-joined the Register.	
Renewal	Renewal refers to the renewal of a Registrant's registration with the HCPC. The renewal cycle at the HCPC takes place every 2 years and the period in which an HCPC Registrant may renew their registration lasts for a duration of 3 months.	
Representation	Registrants may be represented by a union. Representation may happen at any stage in the process.	The management commentary reviews the outcome of decisions at Investigating Committee and at Final Hearing against whether the Registrant was engaged with the process. The Registrant may represent themselves, by represented by others, or there may be no engagement from the Registrant or a representative.
Review Cycle	This is the cycle in which Interim Orders and Substantive Orders are reviewed. In the case of Interim Orders, the review cycle begins when an Interim Order is imposed at an Interim Order Application hearing and ends at a Final Hearing. In the case of Substantive Orders, the review cycle begins at a Final Hearing and ends at a Substantive Order Review when a Substantive Order is revoked.	
Review of substantive decisions	Cases where a Suspension or Conditions of Practice Order is applied at a Final Hearing must be reviewed by an independent prior to the Order expiring.	These panels can continue the original Order, vary it, or allow it to expire. Following a period of suspension Panels can strike a Registrant off the register.
Rule 12 cases	Rule 12 is a designation that is typically applied to cases where there is limited anticipated case activity due to the existence of an on-going Police investigation. The designation allows the Fitness to Practise Department to more accurately monitor case progression and distinguish between cases that can be expeditiously progressed and those cases which cannot be progressed because any progression is dependent on a third-party investigation.	
SO (Scheduling Officer)	Scheduling Officers liaise with the parties involved in hearings to arrange appropriate dates for Interim Order Application hearings, Interim Order Review hearings, Substantive Order Review hearings, and Final Hearings.	
Source of complaint	These are categorised as: Article 22(6)/Anonymous; Employer; Other; Other Registrant; Police; Professional body; Public; Self-referral	The management commentary breaks down the trends in these different sources, and helps to guide FTP guidance development work.
Standard of Acceptance (SOA)	This is set out in Council's policy	
Year to date (YTD)	This is the summary of the information in the period 1 April to 31 March in the current budget year	
405	405 Kennington Road is the location of the Health and Care Professions Tribunal Service.	

Fitness to Practise (FTP) Overview



	2015												2016												2017												15/16	16/17 Forecast				
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Year End 15/16	Original Forecast	3 month re-forecast	6 month re-forecast	9 month re-forecast	YTD Total
New Cases Received	144	162	149	245	155	171	163	163	152	202	203	218	193	231	189	178	191	202	180	197	143	162	189	204	193	231	189	178	191	202	180	197	143	162	189	204	2,127	2,212	2,414	2,308	2,244	2,259
Open pre ICP cases	1,437	1,427	1,353	1,382	1,323	1,261	1,218	1,195	1,187	1,172	1,173	1,210	1,175	1,224	1,229	1,193	1,171	1,215	1,173	1,125	1,121	1,052	1,037	1,027	1,175	1,224	1,229	1,193	1,171	1,215	1,173	1,125	1,121	1,052	1,037	1,027	1,176	1,214	1,264	1,188	1,087	1,027
Cases Closed Pre ICP (does not meet SOA)	71	123	160	165	155	173	156	143	115	133	130	137	159	125	133	168	168	105	180	198	109	165	169	175	159	125	133	168	168	105	180	198	109	165	169	175	1,661	1,455	1,633	1,664	1,746	1,854
% of Ongoing Cases Closed Pre ICP	5	9	12	12	12	14	13	12	10	11	11	11	14	10	11	14	14	9	15	18	10	16	16	17	14	10	11	14	14	9	15	18	10	16	16	17	N/A	N/A	N/A	N/A	16	17
Cases Considered at ICP	66	70	61	64	67	80	54	74	52	77	71	51	75	58	44	54	52	61	48	59	44	64	40	54	75	58	44	54	52	61	48	59	44	64	40	54	787	766	739	700	675	653
% Case to Answer (out of cases concluded)	42	58	51	59	67	65	70	66	76	71	66	66	73	63	67	77	71	69	58	75	83	67	68	78	73	63	67	77	71	69	58	75	83	67	68	78	63	58	61	67	71	71
Cases Concluded at Final Hearing	30	22	26	31	28	32	25	25	29	23	23	26	32	36	32	29	46	35	29	45	28	35	41	57	32	36	32	29	46	35	29	45	28	35	41	57	320	465	433	435	444	445
Post ICP Cases	432	431	432	429	439	448	440	457	450	481	488	516	530	539	533	538	518	519	503	498	501	503	485	464	530	539	533	538	518	519	503	498	501	503	485	464	516	491	526	529	492	464
Cases in Review Cycle	234	233	235	235	236	226	229	226	219	219	219	210	202	203	205	199	206	209	213	226	225	226	235	241	202	203	205	199	206	209	213	226	225	226	235	241	210	210	210	210	207	241

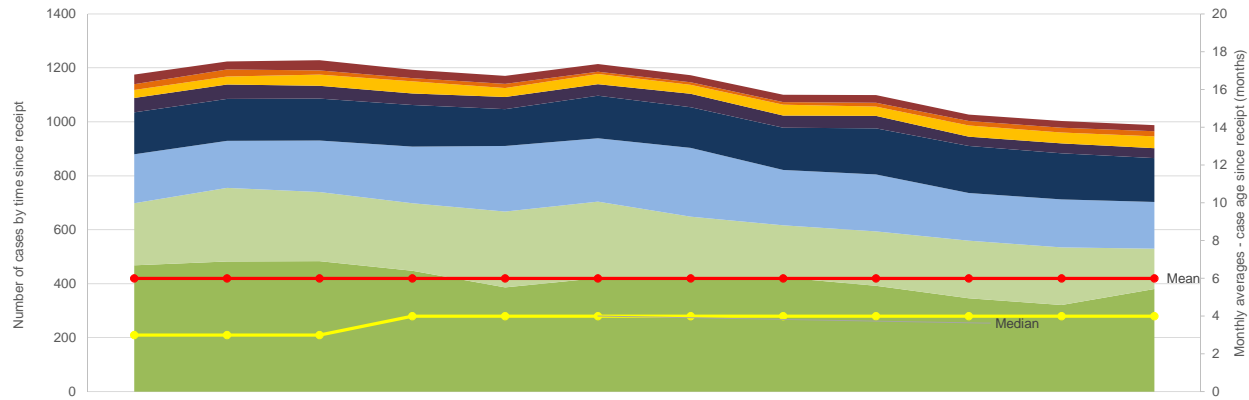
Pre Investigating Committee Panel (ICP) case information



	2015				2016								2017											
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar
Cases Received	144	162	149	245	155	171	163	163	152	202	203	218	193	231	189	178	191	202	180	197	143	162	189	204
Cases Open (excl Rule 12)	1,437	1,427	1,353	1,371	1,306	1,238	1,193	1,165	1,159	1,151	1,140	1,178	1,142	1,191	1,204	1,170	1,148	1,192	1,150	1,101	1,099	1,027	1,003	988
Reception and Triage Cases Open	Not previously reported in this format - introduced in line with realignment																			109	196	244	223	227
Rule 12 open	N/A	N/A	N/A	11	17	23	25	30	28	21	33	32	33	33	25	23	23	23	23	24	22	25	34	39
Investigations Cases Open	Not previously reported in this format - introduced in line with realignment																			838	763	647	637	640
Complex Cases Open	Not previously reported in this format - introduced in line with realignment																			140	137	122	131	118
Total Cases Open	1,437	1,427	1,353	1,382	1,323	1,261	1,218	1,195	1,187	1,172	1,173	1,210	1,175	1,224	1,229	1,193	1,171	1,215	1,173	1,125	1,121	1,052	1,037	1,027
Cases Closed Pre ICP (does not meet SOA)	71	123	160	165	155	173	156	143	115	133	130	137	159	125	133	168	168	105	180	198	109	165	169	175
% of Cases Closed no ICP	5	9	12	12	12	14	13	12	10	11	11	11	14	10	11	14	14	9	15	18	10	16	16	17
Cases Obs'ed	47	59	61	81	64	73	79	64	30	64	67	67	51	58	62	54	47	62	53	53	28	70	65	57
% of allegations Obs'ed	18	24	24	31	25	32	31	22	11	25	28	26	21	25	25	22	19	25	21	21	12	30	24	21

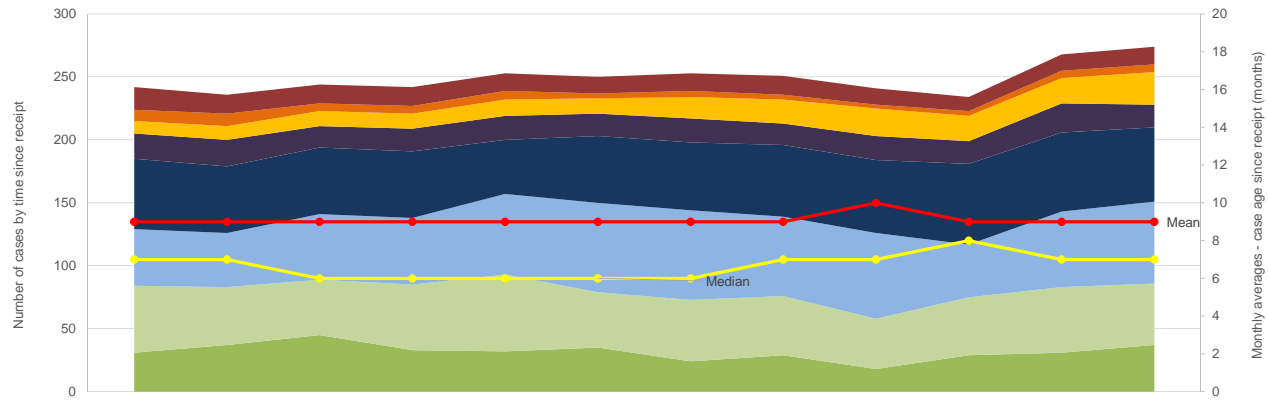
12/13	13/14	14/15	15/16	16/17
FYE	FYE	FYE	FYE	YTD
1,653	2,069	2,170	2,127	2,259
857	1,162	1,441	1,176	988
				227
N/A	N/A	N/A	32	24
				640
				118
857	1,162	1,441	1,208	1,027
736	1,080	1,042	1,661	1,854
N/A	N/A	N/A	N/A	N/A
596	802	839	756	660
N/A	N/A	N/A	N/A	N/A

Length of time: Age of Open pre Investigating Committee Panel (ICP) cases including Enquiries and those which met the Standards of Acceptance (SOA) since receipt



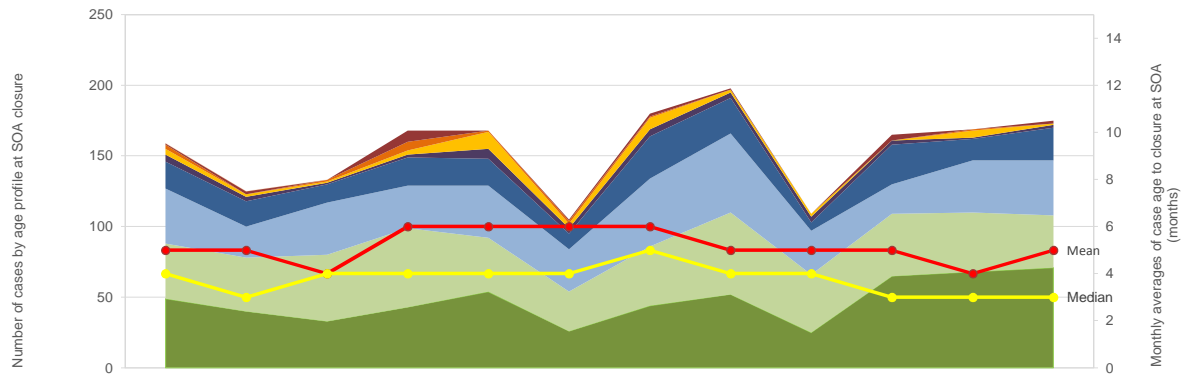
	2016										2017		
Age Profile (number of cases)	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	
0 to 2 months	468	483	484	448	386	421	420	423	392	346	321	380	
3 to 4 months	230	272	256	251	282	283	229	194	202	214	214	150	
5 to 7 months	182	175	191	210	243	235	255	205	211	176	178	173	
8 to 12 months	156	155	156	154	136	158	150	156	171	175	171	163	
13 to 15 months	53	54	47	42	45	43	50	46	46	34	36	37	
16 to 20 months	29	29	41	46	34	38	34	40	35	42	41	44	
21 to 24 months	22	27	16	11	15	8	9	9	14	17	18	19	
> 24 months	35	29	38	31	30	29	26	28	28	23	24	22	
Total	1,175	1,224	1,229	1,193	1,171	1,213	1,173	1,101	1,099	1,027	1,003	988	
Mean	6	6	6	6	6	6	6	6	6	6	6	6	
Median	3	3	3	4	4	4	4	4	4	4	4	4	
Age Profile (percentage of cases)													
0 to 2 months	40%	39%	39%	38%	33%	35%	36%	38%	36%	34%	32%	38%	
3 to 4 months	20%	22%	21%	21%	24%	23%	20%	18%	18%	21%	21%	15%	
5 to 7 months	15%	14%	16%	18%	21%	19%	22%	19%	19%	17%	18%	18%	
8 to 12 months	13%	13%	13%	13%	12%	13%	13%	14%	16%	17%	17%	16%	
13 to 15 months	5%	4%	4%	4%	4%	4%	4%	4%	4%	3%	4%	4%	
16 to 20 months	2%	2%	3%	4%	3%	3%	3%	4%	3%	4%	4%	4%	
21 to 24 months	2%	2%	1%	1%	1%	1%	1%	1%	1%	2%	2%	2%	
> 24 months	3%	2%	3%	3%	3%	2%	2%	3%	3%	2%	2%	2%	

Length of time: Age of Open pre Investigating Committee Panel (ICP) cases, where Standard of Acceptance (SOA) was met, since receipt



	2016										2017		
Age Profile (number of cases)	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	
0 to 2 months	31	37	45	33	32	35	24	29	18	29	31	37	
3 to 4 months	53	46	44	52	61	44	49	47	40	46	52	49	
5 to 7 months	45	43	52	53	64	71	71	63	68	42	60	65	
8 to 12 months	56	53	53	53	43	53	54	57	58	64	63	59	
13 to 15 months	20	21	17	18	19	18	19	17	19	18	23	18	
16 to 20 months	10	11	12	12	13	12	17	19	22	20	20	26	
21 to 24 months	9	10	6	6	7	4	5	4	3	4	6	6	
> 24 months	18	15	15	15	14	13	14	15	13	11	13	14	
Total	242	236	244	242	253	250	253	251	241	234	268	274	
Mean	9	9	9	9	9	9	9	9	10	9	9	9	
Median	7	7	6	6	6	6	6	7	7	8	7	7	
Age Profile (percentage of cases)													
0 to 2 months	13%	16%	18%	14%	13%	14%	9%	12%	7%	12%	12%	14%	
3 to 4 months	22%	19%	18%	21%	24%	18%	19%	19%	17%	20%	19%	18%	
5 to 7 months	19%	18%	21%	22%	25%	28%	28%	25%	28%	18%	22%	24%	
8 to 12 months	23%	22%	22%	22%	17%	21%	21%	23%	24%	27%	24%	22%	
13 to 15 months	8%	9%	7%	7%	8%	7%	8%	7%	8%	8%	9%	7%	
16 to 20 months	4%	5%	5%	5%	5%	5%	7%	8%	9%	9%	7%	9%	
21 to 24 months	4%	4%	2%	2%	3%	2%	2%	2%	1%	2%	2%	2%	
> 24 months	7%	6%	6%	6%	6%	5%	6%	6%	5%	5%	5%	5%	

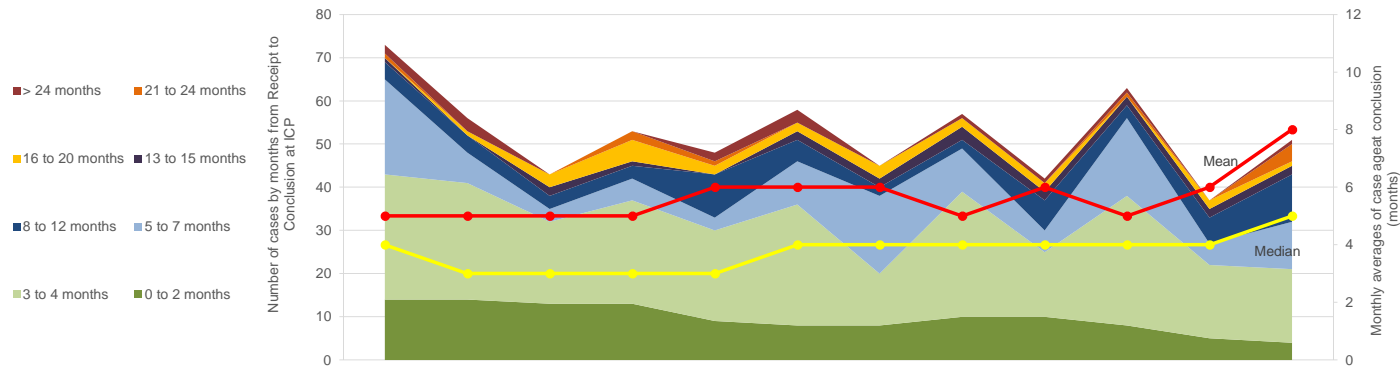
Length of time: Receipt to Closure at Standards of Acceptance (SOA)



	2016												2017		
Age Profile (number of cases)	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar			
0 to 2 months	49	40	33	43	54	26	44	52	25	65	68	71			
3 to 4 months	39	38	47	56	38	28	42	58	41	44	42	37			
5 to 7 months	39	22	37	30	37	30	48	56	31	21	37	39			
8 to 12 months	19	18	13	20	19	11	30	25	6	28	15	23			
13 to 15 months	5	3	1	2	7	4	5	4	4	3	1	2			
16 to 20 months	4	2	1	3	12	3	8	2	2	0	5	1			
21 to 24 months	3	0	1	6	1	2	1	0	0	0	1	0			
> 24 months	1	2	0	8	0	1	2	1	0	4	0	2			
Total	159	125	133	168	168	105	180	198	109	165	169	175			
Mean	5	5	4	6	6	6	6	5	5	5	4	5			
Median	4	3	4	4	4	4	5	4	4	3	3	3			
Age Profile (percentage of cases)															
0 to 2 months	31%	32%	25%	26%	32%	25%	24%	26%	23%	39%	40%	41%			
3 to 4 months	25%	30%	35%	33%	23%	27%	23%	29%	38%	27%	25%	21%			
5 to 7 months	25%	18%	28%	18%	22%	29%	27%	28%	28%	13%	22%	22%			
8 to 12 months	12%	14%	10%	12%	11%	10%	17%	13%	6%	17%	9%	13%			
13 to 15 months	3%	2%	1%	1%	4%	4%	3%	2%	4%	2%	1%	1%			
16 to 20 months	3%	2%	1%	2%	7%	3%	4%	1%	2%	0%	3%	1%			
21 to 24 months	2%	0%	1%	4%	1%	2%	1%	0%	0%	0%	1%	0%			
> 24 months	1%	2%	0%	5%	0%	1%	1%	1%	0%	2%	0%	1%			

12/13	13/14	14/15	15/16	16/17
FYE	FYE	FYE	FYE	YTD
296	294	199	367	570
208	303	305	378	510
140	287	265	418	427
61	160	194	355	227
17	18	37	69	41
12	11	27	54	43
1	2	6	11	15
1	5	9	9	21
736	1,080	1,042	1,661	1,854
4	5	6	6	5
3	4	5	5	4
40%	27%	19%	22%	31%
28%	28%	29%	23%	28%
19%	27%	25%	25%	23%
8%	15%	19%	21%	12%
2%	2%	4%	4%	2%
2%	1%	3%	3%	2%
0%	0%	1%	1%	1%
0%	0%	1%	1%	1%

Length of time: Standards of Acceptance (SOA) to Conclusion at Investigating Committee Panel (ICP)



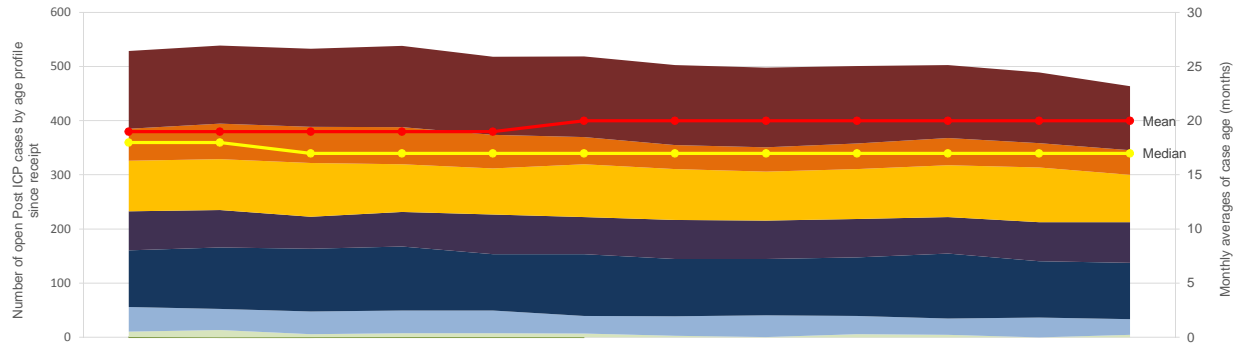
Age Profile (number of cases)	2016						2017					
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar
0 to 2 months	14	14	13	13	9	8	8	10	10	8	5	4
3 to 4 months	29	27	19	24	21	28	12	29	15	30	17	17
5 to 7 months	22	7	3	5	3	10	18	10	5	18	5	11
8 to 12 months	4	4	3	3	10	5	2	2	7	3	6	11
13 to 15 months	1	0	2	1	0	2	2	3	2	2	2	2
16 to 20 months	0	1	3	5	2	2	3	2	2	0	2	1
21 to 24 months	1	0	0	2	1	0	0	0	0	1	0	4
> 24 months	2	3	0	0	2	3	0	1	1	1	0	1
Total	73	56	43	53	48	58	45	57	42	63	37	51
Mean	5	5	5	5	6	6	6	5	6	5	6	8
Median	4	3	3	3	3	4	4	4	4	4	4	5

14/15	15/16	16/17
FYE*	FYE*	YTD
178	180	116
344	263	268
159	145	117
85	75	60
21	29	19
11	25	23
7	12	9
3	10	14
808	739	626
5	6	6
3	4	4

Age Profile (percentage of cases)												
0 to 2 months	19%	25%	30%	25%	19%	14%	18%	18%	24%	13%	14%	8%
3 to 4 months	40%	48%	44%	45%	44%	48%	27%	51%	36%	48%	46%	33%
5 to 7 months	30%	13%	7%	9%	6%	17%	40%	18%	12%	29%	14%	22%
8 to 12 months	5%	7%	7%	6%	21%	9%	4%	4%	17%	5%	16%	22%
13 to 15 months	1%	0%	5%	2%	0%	3%	4%	5%	5%	3%	5%	4%
16 to 20 months	0%	2%	7%	9%	4%	3%	7%	4%	5%	0%	5%	2%
21 to 24 months	1%	0%	0%	4%	2%	0%	0%	0%	0%	2%	0%	8%
> 24 months	3%	5%	0%	0%	4%	5%	0%	2%	2%	2%	0%	2%

FYE	FYE	YTD
22%	24%	19%
43%	36%	43%
20%	20%	19%
11%	10%	10%
3%	4%	3%
1%	3%	4%
1%	2%	1%
0%	1%	2%

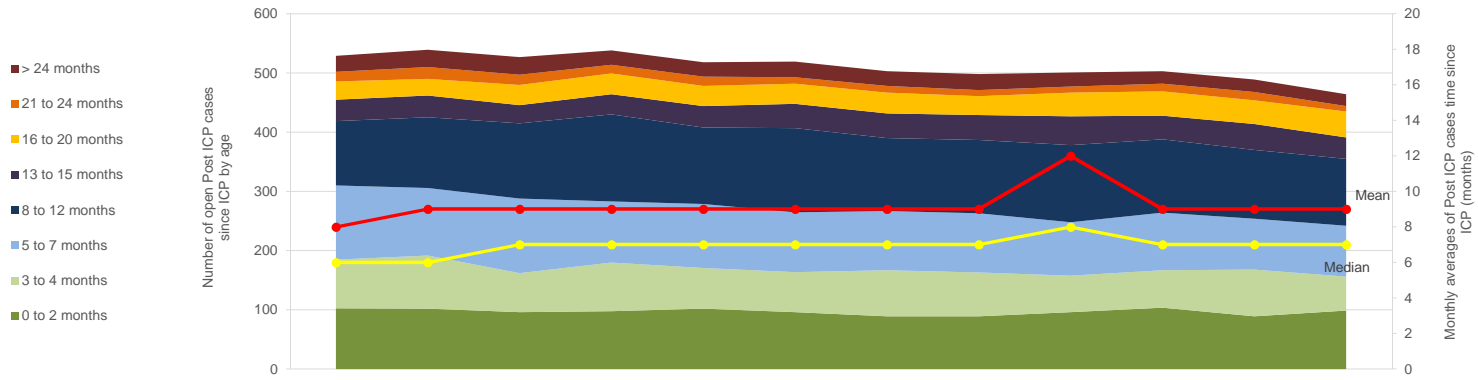
Length of time: Age of Open Post Investigating Committee Panel (ICP) cases since receipt



Age Profile (number of cases)	2016									2017		
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar
0 to 2 months	1	0	0	1	1	0	0	0	0	0	0	0
3 to 4 months	10	14	6	7	7	7	3	1	6	5	0	5
5 to 7 months	45	39	42	42	42	33	36	40	34	30	37	29
8 to 12 months	105	113	116	118	104	114	106	104	108	120	104	104
13 to 15 months	72	69	59	64	73	68	72	71	71	67	72	75
16 to 20 months	93	94	99	88	85	98	94	90	92	96	101	87
21 to 24 months	59	66	67	68	62	50	44	45	47	50	45	46
> 24 months	144	144	144	150	144	149	148	147	143	135	130	118
Total	529	539	533	538	518	519	503	498	501	503	489	464
Mean	19	19	19	19	19	20	20	20	20	20	20	20
Median	18	18	17	17	17	17	17	17	17	17	17	17

Age Profile (percentage of cases)	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar
0 to 2 months	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%
3 to 4 months	2%	3%	1%	1%	1%	1%	1%	0%	1%	1%	0%	1%
5 to 7 months	9%	7%	8%	8%	8%	6%	7%	8%	7%	6%	8%	6%
8 to 12 months	20%	21%	22%	22%	20%	22%	21%	21%	22%	24%	21%	22%
13 to 15 months	14%	13%	11%	12%	14%	13%	14%	14%	14%	13%	15%	16%
16 to 20 months	18%	17%	19%	16%	16%	19%	19%	18%	18%	19%	21%	19%
21 to 24 months	11%	12%	13%	13%	12%	10%	9%	9%	9%	10%	9%	10%
> 24 months	27%	27%	27%	28%	28%	29%	29%	30%	29%	27%	27%	25%

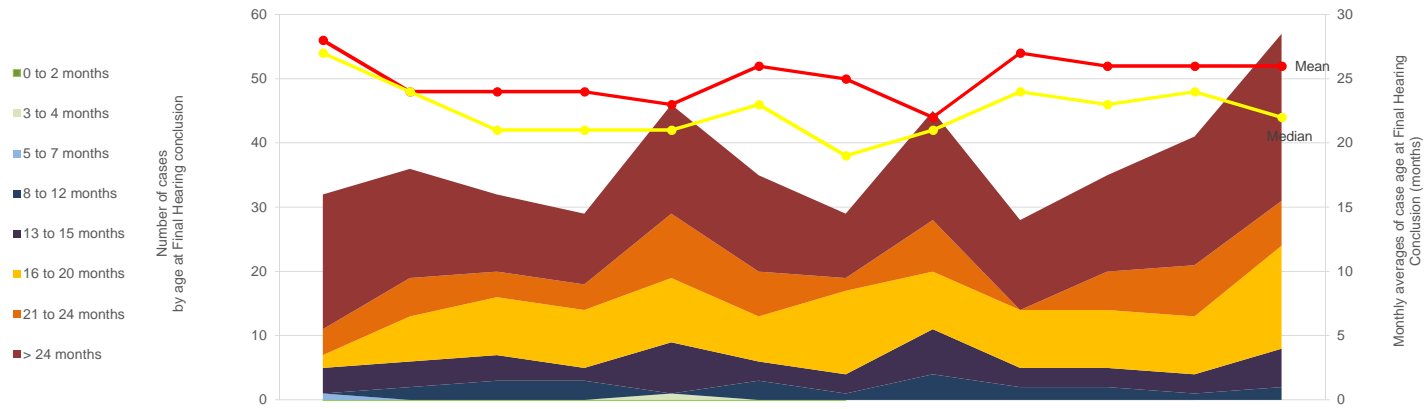
Length of time: Age of Open Post Investigating Committee Panel (ICP) cases since referral from Investigating Committee



Age Profile	2016										2017	
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar
0 to 2 months	103	102	96	98	102	96	89	89	96	104	89	99
3 to 4 months	82	90	66	82	69	68	78	74	62	63	79	57
5 to 7 months	125	114	126	103	108	101	100	100	90	97	86	86
8 to 12 months	109	119	127	147	129	142	123	124	130	124	116	113
13 to 15 months	36	37	31	34	36	41	42	42	49	40	44	36
16 to 20 months	31	28	34	35	34	34	35	32	40	41	40	44
21 to 24 months	16	20	17	15	16	11	11	10	10	13	14	9
> 24 months	27	29	30	24	24	26	25	27	24	21	21	20
Total	529	539	533	538	518	519	503	498	501	503	489	464
Mean	8	9	9	9	9	9	9	9	12	9	9	9
Median	6	6	7	7	7	7	7	7	8	7	7	7

Age Profile (percentage of cases)	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar
0 to 2 months	19%	19%	18%	18%	20%	18%	18%	18%	19%	21%	18%	21%
3 to 4 months	16%	17%	12%	15%	13%	13%	16%	15%	12%	13%	16%	12%
5 to 7 months	24%	21%	24%	19%	21%	19%	20%	20%	18%	19%	18%	19%
8 to 12 months	21%	22%	24%	27%	25%	27%	24%	25%	26%	25%	24%	24%
13 to 15 months	7%	7%	6%	6%	7%	8%	8%	8%	10%	8%	9%	8%
16 to 20 months	6%	5%	6%	7%	7%	7%	7%	6%	8%	8%	8%	9%
21 to 24 months	3%	4%	3%	3%	3%	2%	2%	2%	2%	3%	3%	2%
> 24 months	5%	5%	6%	4%	5%	5%	5%	5%	5%	4%	4%	4%

Length of Time: Receipt - Conclusion at Final Hearing



Age Profile		2016										2017		
		Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	
0 to 2 months	No	0	0	0	0	0	0	0	0	0	0	0	0	
3 to 4 months	No	0	0	0	0	1	0	0	0	0	0	0	0	
5 to 7 months	No	1	0	0	0	0	0	0	0	0	0	0	0	
8 to 12 months	No	0	2	3	3	0	3	1	4	2	1	2	2	
13 to 15 months	No	4	4	4	2	8	3	3	7	3	3	3	6	
16 to 20 months	No	2	7	9	9	10	7	13	9	9	9	16	16	
21 to 24 months	No	4	6	4	4	10	7	2	8	0	8	7	7	
> 24 months	No	21	17	12	11	17	15	10	17	14	15	20	26	
Total		32	36	32	29	46	35	29	45	28	35	41	57	
Mean		28	24	24	24	23	26	25	22	27	26	26	26	
Median		27	24	21	21	21	23	19	21	24	23	24	22	

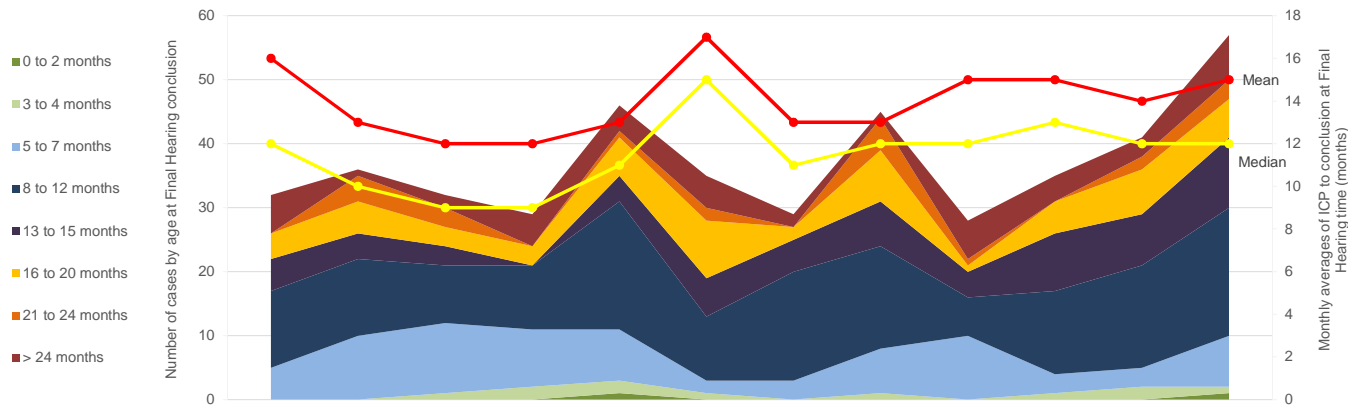
Age Profile (percentage of cases)													
0 to 2 months	%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%
3 to 4 months	%	0%	0%	0%	0%	2%	0%	0%	0%	0%	0%	0%	0%
5 to 7 months	%	3%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%
8 to 12 months	%	0%	6%	9%	10%	0%	9%	3%	9%	7%	6%	2%	4%
13 to 15 months	%	13%	11%	13%	7%	17%	9%	10%	16%	11%	9%	7%	11%
16 to 20 months	%	6%	19%	28%	31%	22%	20%	28%	45%	32%	26%	22%	28%
21 to 24 months	%	13%	17%	13%	14%	22%	20%	7%	18%	0%	17%	20%	12%
> 24 months	%	66%	47%	38%	38%	37%	43%	34%	38%	50%	43%	49%	46%

12/13 FYE*	13/14 FYE*	14/15 FYE*	15/16 FYE*	16/17 YTD
		0	0	0
		0	0	1
		1	2	1
		59	24	23
		80	44	50
		99	90	109
		53	43	66
		59	117	195
228	267	351	320	445
16	17	19	23	25
14	14	17	21	22

		0%	0%	0%
		0%	0%	0%
		0%	1%	0%
		17%	8%	5%
		23%	14%	11%
		28%	28%	24%
		15%	13%	15%
		17%	37%	44%

*Previously reported in different format

Length of Time: Investigating Committee Panel (ICP) Decision - Conclusion at Final Hearing



Age Profile	2016						2017					
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar
0 to 2 months	0	0	0	0	1	0	0	0	0	0	0	1
3 to 4 months	0	0	1	2	2	1	0	1	0	1	2	1
5 to 7 months	5	10	11	9	8	2	3	7	10	3	3	8
8 to 12 months	12	12	9	10	20	10	17	16	6	13	16	20
13 to 15 months	5	4	3	0	4	6	5	7	4	9	8	11
16 to 20 months	4	5	3	3	6	9	2	8	1	5	7	6
21 to 24 months	0	4	3	0	1	2	0	5	1	0	2	3
> 24 months	6	1	2	5	4	5	2	1	6	4	3	7
Total	32	36	32	29	46	35	29	45	28	35	41	57
Mean	16	13	12	12	13	17	13	13	15	15	14	15
Median	12	10	9	9	11	15	11	12	12	13	12	12

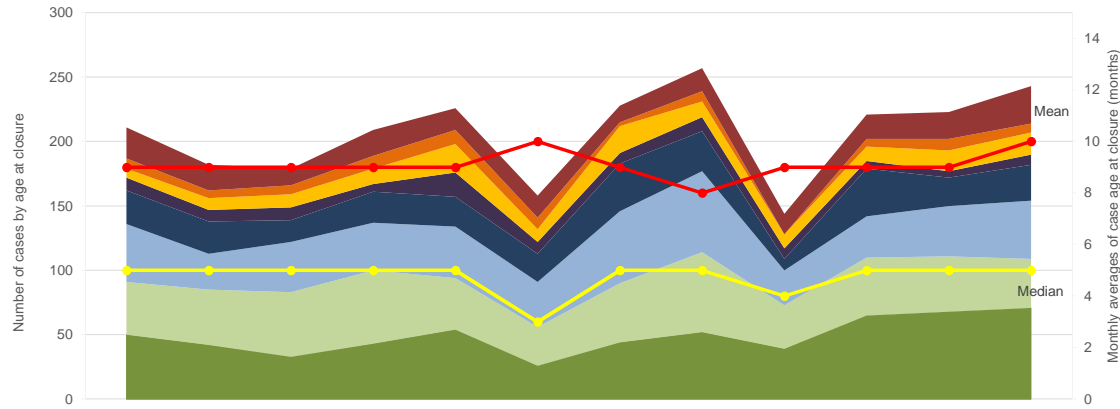
Age Profile	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar
0 to 2 months	0%	0%	0%	0%	2%	0%	0%	0%	0%	0%	0%	2%
3 to 4 months	0%	0%	3%	7%	4%	3%	0%	2%	0%	3%	5%	2%
5 to 7 months	16%	28%	34%	31%	17%	6%	10%	16%	36%	9%	7%	14%
8 to 12 months	38%	33%	28%	34%	43%	29%	59%	36%	21%	37%	39%	35%
13 to 15 months	16%	11%	9%	0%	9%	17%	17%	16%	14%	26%	20%	19%
16 to 20 months	13%	14%	9%	10%	13%	26%	7%	18%	4%	14%	17%	11%
21 to 24 months	0%	11%	9%	0%	2%	6%	0%	11%	4%	0%	5%	5%
> 24 months	19%	3%	6%	17%	9%	14%	7%	2%	21%	11%	7%	12%

12/13 FYE*	13/14 FYE*	14/15 FYE*	15/16 FYE*	16/17 YTD
		2	0	2
		6	7	11
		86	67	79
		149	140	161
		44	33	66
		37	40	59
		14	16	21
		13	17	46
228	267	351	320	445
		11	12	14
		9	10	11

12/13 FYE*	13/14 FYE*	14/15 FYE*	15/16 FYE*	16/17 YTD
		1%	0%	0%
		2%	2%	2%
		25%	21%	18%
		42%	44%	36%
		13%	10%	15%
		11%	13%	13%
		4%	5%	5%
		4%	5%	10%

*Previously reported in different format

Length of time to close cases (combined)



Age Profile	2016					2017							
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	
0 to 2 months	50	42	33	43	54	26	44	52	39	65	68	71	
3 to 4 months	41	43	50	57	40	30	46	62	34	45	43	38	
5 to 7 months	45	28	39	37	40	35	56	63	27	32	39	45	
8 to 12 months	26	25	17	24	23	22	37	31	9	37	22	28	
13 to 15 months	10	9	10	6	19	9	8	11	8	6	5	8	
16 to 20 months	7	9	10	12	22	10	21	12	11	11	16	17	
21 to 24 months	8	6	7	10	11	9	3	8	0	6	9	7	
> 24 months	24	20	13	20	17	17	13	18	16	19	21	29	
Total	211	182	179	209	226	158	228	257	144	221	223	243	
Mean	9	9	9	9	9	10	9	8	9	9	9	10	
Median	5	5	5	5	5	3	5	5	4	5	5	5	

Age Profile	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar
0 to 2 months	24%	23%	18%	21%	24%	16%	19%	20%	27%	29%	30%	29%
3 to 4 months	19%	24%	28%	27%	18%	19%	20%	24%	24%	20%	19%	16%
5 to 7 months	21%	15%	22%	18%	18%	22%	25%	25%	19%	14%	17%	19%
8 to 12 months	12%	14%	9%	11%	10%	14%	16%	12%	6%	17%	10%	12%
13 to 15 months	5%	5%	6%	3%	8%	6%	4%	4%	6%	3%	2%	3%
16 to 20 months	3%	5%	6%	6%	10%	6%	9%	5%	8%	5%	7%	7%
21 to 24 months	4%	3%	4%	5%	5%	6%	1%	3%	0%	3%	4%	3%
> 24 months	11%	11%	7%	10%	8%	11%	6%	7%	11%	9%	9%	12%

12/13 FYE*	13/14 FYE*	14/15 FYE*	15/16 FYE*	16/17 YTD
		202	365	587
		367	408	529
		395	499	486
		369	471	301
		144	139	109
		151	166	158
		72	65	84
		70	132	227
1185	1678	1770	2245	2481
9	8	9	9	9
6	5	7	7	5

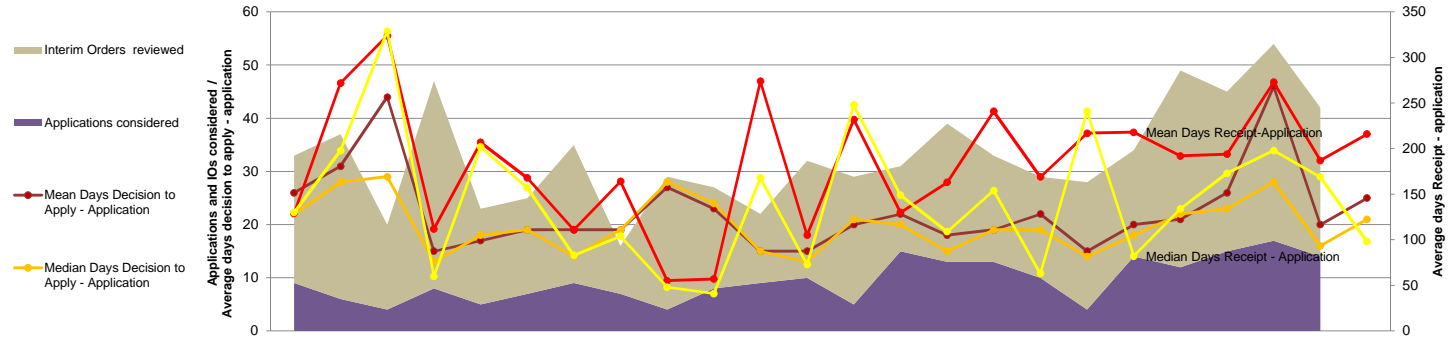
		11%	16%	24%
		21%	18%	21%
		22%	22%	20%
		21%	21%	12%
		8%	6%	4%
		9%	7%	6%
		4%	3%	3%
		4%	6%	9%

*Previously reported in different format

Cases at judicial review or High Court/Court of Sheriff stage

	2015			2016									2017									14/15 FYE	15/16 FYE	16/17 YTD				
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec				Jan	Feb	Mar	
Judicial review - received	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	1	0	1	2	0	5	1	4	
Judicial review - open	1	0	0	0	0	0	0	0	0	0	0	1	1	1	1	1	0	1	0	0	1	1	1	3	3			
High court - PSA received	0	0	0	0	0	0	0	1	0	1	1	0	0	0	0	1	0	0	0	1	0	1	0	0	5	3	1	
High court - PSA open	2	2	1	1	1	1	2	2	3	4	4	5	5	5	4	4	2	1	1	2	2	2	2	1				
High court - Registrant received	1	1	1	0	0	1	0	0	1	0	2	1	0	0	0	0	0	0	1	0	0	2	0	0	5	8	3	
High Court - Registrant open	2	1	2	2	2	3	3	3	3	2	3	4	4	4	3	3	3	2	3	2	0	2	2	1				
IO extension application at High Court	0	2	0	0	0	2	0	1	0	0	7	0	0	1	3	1	0	0	0	3	4	3	0	4	5	12	19	

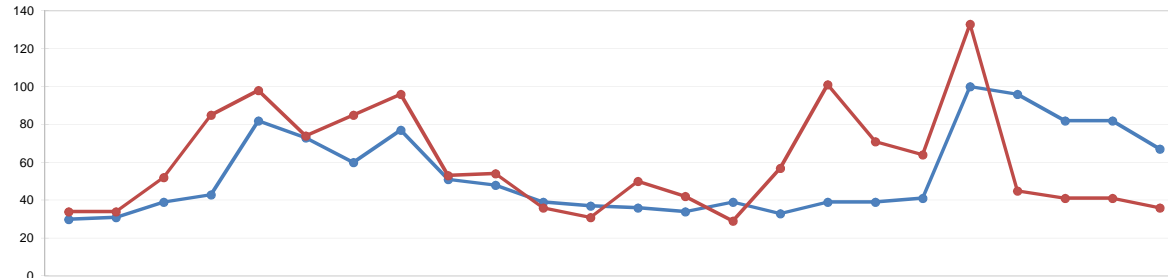
Interim Orders



	2015			2016												2017									
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	
Applications considered	9	6	4	8	5	7	9	7	4	8	9	10	5	15	13	13	10	4	14	12	15	17	14	10	
Applications granted	6	5	2	7	5	6	9	7	4	8	8	9	5	14	13	11	9	3	12	10	11	17	14	9	
Applications not granted	3	1	2	1	1	1	0	2	0	0	1	1	0	1	0	2	1	1	2	2	4	0	0	1	
Interim Orders reviewed	24	31	16	39	18	18	26	9	25	19	13	22	24	16	26	20	19	24	20	37	30	37	28	36	
Interim Orders revoked	0	1	0	0	0	2	1	1	1	0	1	0	1	2	0	1	1	0	0	2	0	0	0	1	
IO instructed for High Court extension	2	2	2	1	0	2	0	1	0	0	3	4	0	1	3	1		4	0	2	1	3	3	5	
Interim Order imposed at part heard/adjoined Final Hearing	Not previously reported												0	0	0	1	1	0	0	0	0	0	0	0	0
Mean Days Receipt-Application	129	272	324	112	207	168	111	164	55	57	274	105	232	130	163	241	169	217	218	192	194	273	187	216	
Median Days Receipt - Application	130	198	329	60	202	157	83	104	48	41	168	73	248	149	109	154	63	241	82	134	173	198	169	98	
Mean Days Decision to Apply - Application	26	31	44	15	17	19	19	19	27	23	15	15	20	22	18	19	22	15	20	21	26	46	20	25	
Median Days Decision to Apply - Application	22	28	29	13	18	19	14	19	28	24	15	13	21	20	15	19	19	14	18	22	23	28	16	21	

12/13 FYE	13/14 FYE	14/15 FYE	15/16 FYE	16/17 YTD
43	97	80	89	142
39	85	71	78	128
4	12	9	11	14
151	166	249	261	317
8	3	8	7	8
10	5	15	19	23
Not previously reported				2
	151	176	152	184
	105	143	106	125
	24	20	21	26
19	18	17	17	21

Health and Character Declarations

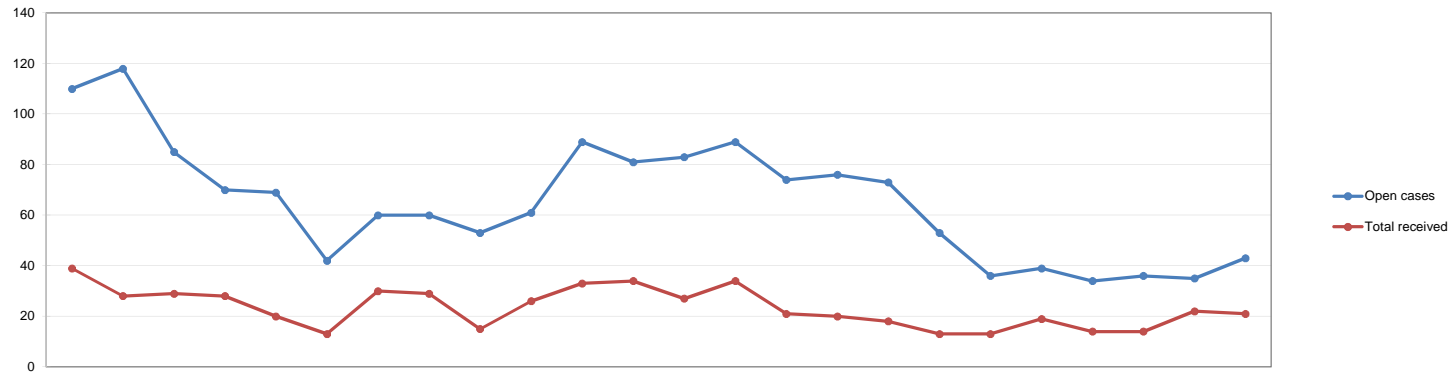


— Open cases
— Total received

		2015			2016								2017													
		Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	
Received	Renewal	1	1	1	1	7	4	8	3	0	2	6	2	2	0	2	3	0	0	6	63	31	2	0	0	
	Readmission	4	5	13	5	9	6	7	10	8	5	4	3	6	15	6	1	10	7	5	4	8	8	26	5	
	Admission	29	28	38	79	82	64	70	83	45	47	26	26	42	27	21	53	91	64	53	66	6	31	14	31	
	Self-referral	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	
	Total received	34	34	52	85	98	74	85	96	53	54	36	31	50	42	29	57	101	71	64	133	45	41	41	36	
Considered	Admission accepted administratively	Not previously reported. To be reported from November 2016 onwards																		52	35	35	37	37		
	Considered by panel	26	9	14	25	23	51	47	36	35	32	19	19	22	14	15	13	8	7	7	6	9	6	5	15	
	Referred to FTP	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
	Admission rejected by panel	0	0	0	0	1	4	3	1	5	4	1	1	0	1	4	0	1	0	1	2	1	0	1	0	
	Readmission rejected by panel	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	
	Renewal rejected by panel	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Not referred to FTP	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Admission accepted by panel	26	9	14	25	17	47	43	35	29	27	18	18	17	12	11	11	50	7	4	2	5	2	1	4	
	Readmission accepted	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	7	0	1	1	1	1	1	4	
	Renewal accepted	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	2	2	0	5	
	Open cases	30	31	39	43	82	73	60	77	51	48	39	37	36	34	39	33	39	39	41	100	96	82	82	67	

12/13 FYE	13/14 FYE	14/15 FYE	15/16 FYE	16/17 YTD
40	16	50	36	109
124	134	114	79	101
740	775	692	617	499
1	0	0	0	1
905	925	856	732	710
				196
491	460	480	336	127
0	1	1	1	1
28	11	24	20	11
2	18	3	0	1
1	0	1	0	0
3	0	0	0	0
453	424	449	308	126
2	6	0	0	17
2	0	0	0	10

Protection of Title



	2015			2016									2017											
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar
Public	21	9	11	9	7	5	11	12	8	15	18	9	16	9	6	8	6	4	3	8	8	11	5	10
Police	0	0	0	1	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
HCPC Registrant / Internal	0	0	0	0	1	0	10	3	0	0	3	1	3	1	4	7	2	3	7	7	3	2	11	7
Anonymous	2	1	1	2	2	1	1	0	0	2	1	1	2	4	2	0	5	1	2	1	0	0	2	1
Professional body	15	16	9	11	5	4	8	11	5	3	4	21	1	20	6	5	5	2	0	2	3	1	3	3
Other	1	2	8	5	5	3	0	3	1	6	7	2	5	0	3	0	0	3	1	1	0	0	1	0
Total received	39	28	29	28	20	13	30	29	15	26	33	34	27	34	21	20	18	13	13	19	14	14	22	21
Open cases	110	118	85	70	69	42	60	60	53	61	89	81	83	89	74	76	73	53	36	39	34	36	35	43

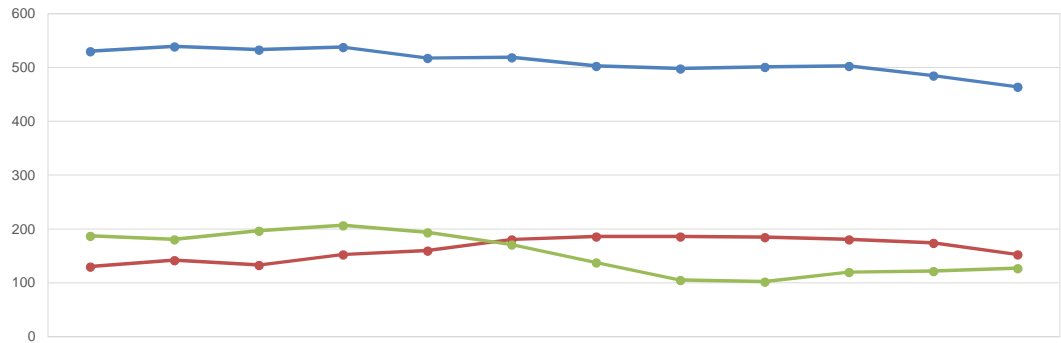
12/13	13/14	14/15	15/16	16/17
FYE	FYE	FYE	FYE	YTD
99	132	150	135	94
27	11	4	2	0
3	6	10	18	57
15	25	14	14	20
154	119	139	112	51
	26	9	43	14
298	319	326	324	236

Miscellaneous (MIS) cases

	2015			2016									2017											
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar
Cases received	45	65	62	84	54	86	66	75	52	77	77	78	90	68	81	68	77	98	51	58	57	47	60	62
Cases closed	52	42	76	93	62	85	79	62	27	55	52	79	103	46	78	75	105	89	41	55	70	46	52	62
Cases open	56	79	65	56	48	49	36	49	74	96	61	60	47	69	72	65	37	46	56	59	46	47	55	55

14/15 FYE	15/16 FYE	16/17 YTD
814	821	817
851	764	822

Scheduling Forecast and Management Information Summary



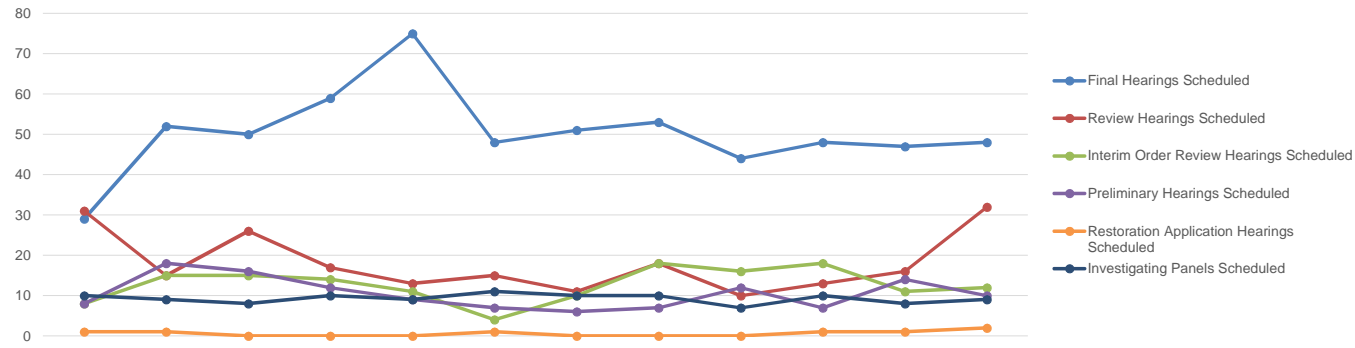
● Ongoing Post ICP Cases
● Number of Cases fixed for future months
● Number of Cases Ready to Fix

	2016			2017								
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar
Ongoing Post ICP Cases	530	539	533	538	518	519	503	498	501	503	485	464
Number of Cases Ready to Fix	187	181	197	207	194	171	138	105	102	120	122	127
% of Cases Ready to Fix	35	34	37	38	37	33	27	21	20	24	25	27
Number of Cases fixed for future months	130	142	133	153	160	181	186	186	185	181	174	153
% of Cases fixed for future months	25	26	25	28	31	35	37	37	37	36	36	33
Number of Cases with External Legal Provider	130	146	138	120	108	113	127	121	130	111	102	100
% of Cases with External Legal Provider	25	27	26	22	21	22	22	24	26	22	21	22
Number of Cases RTF DNS	83	70	65	58	56	54	52	47	45	53	53	41
% of Cases RTF DNS	16	13	12	11	11	10	10	9	9	11	11	9

Year End 15/16*	16/17 Forecast				
	Original Forecast	3 month re-forecast	6 month re-forecast	9 month re-forecast	YTD Total
516	491	526	533	492	464
	147	158	160	133	127
	31%	30%	30	27	27
	147	158	160	187	153
	30	30	30	38	33
	147	158	160	98	100
	30	30	30	20	22
	49	53	53	49	41
	10	10	10	10	9

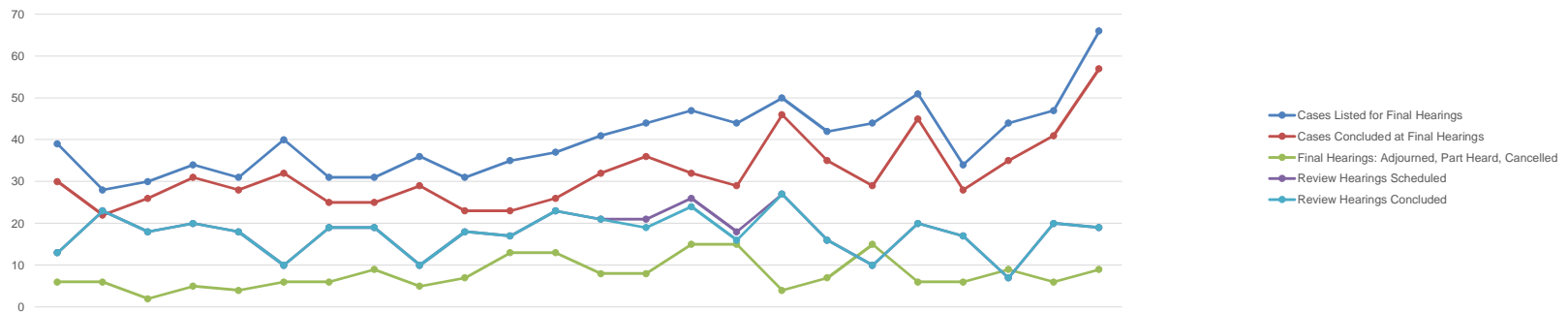
*Not previously reported in this format

Scheduling Activity Overview - Hearings scheduled this month for future months



	2016						2017						16/17 YTD
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	
Final Hearings Scheduled	29	52	50	59	75	48	51	53	44	48	47	48	604
Review Hearings Scheduled	31	15	26	17	13	15	11	18	10	13	16	32	217
Interim Order Applications Scheduled	8	15	15	14	11	4	10	18	16	18	11	12	152
Interim Order Review Hearings Scheduled	24	17	25	19	26	26	31	42	16	51	30	52	359
Preliminary Hearings Scheduled	8	18	16	12	9	7	6	7	12	7	14	10	126
Restoration Application Hearings Scheduled	1	1	0	0	0	1	0	0	0	1	1	2	7
Investigating Panels Scheduled	10	9	8	10	9	11	10	10	7	10	8	9	111
Total Events Scheduled	111	127	140	131	143	112	119	148	105	148	127	165	1576

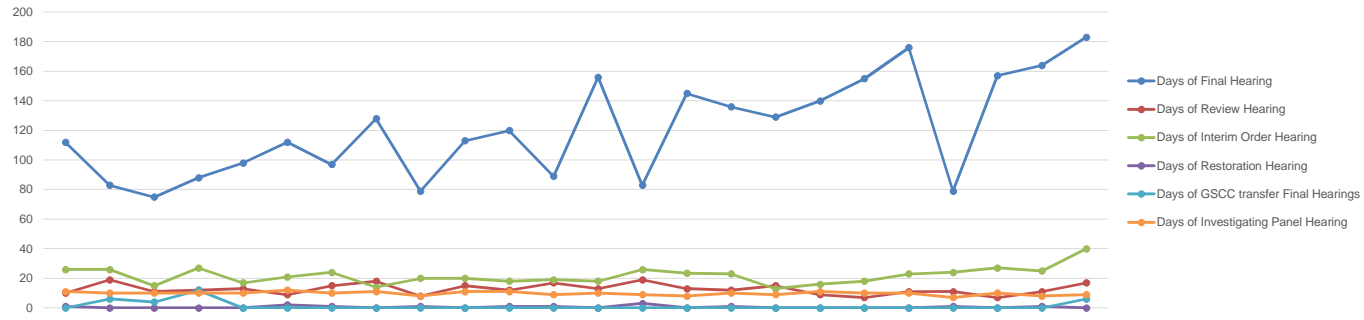
Hearings Management Information Summary



	2015			2016												2017											
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar			
Cases Listed for Final Hearings	39	28	30	34	31	40	31	31	36	31	35	37	41	44	47	44	50	42	44	51	34	44	47	66			
Cases Concluded at Final Hearings	30	22	26	31	28	32	25	25	29	23	23	26	32	36	32	29	46	35	29	45	28	35	41	57			
Final Hearings: Adjudured, Part Heard, Cancelled	6	6	2	5	4	6	6	9	5	7	13	13	8	8	15	15	4	7	15	6	6	9	6	9			
% of Hearings Adjudured/Part Heard	15	21	7	15	13	15	19	29	14	23	37	35	20	18	32	34	8	17	34	12	18	20	13	14			
Review Hearings Scheduled	13	23	18	20	18	10	19	19	10	18	17	23	21	21	26	18	27	16	10	20	17	7	20	19			
Review Hearings Concluded	13	23	18	20	18	10	19	19	10	18	17	23	21	19	24	16	27	16	10	20	17	7	20	19			
Cases in Review Cycle	234	233	235	235	236	226	229	226	219	219	219	210	202	203	205	199	206	209	213	226	225	226	235	241			
Preliminary Hearings	7	3	7	6	5	5	5	4	4	4	8	8	6	7	15	12	5	11	4	5	4	7	5	8			

Year End 15/16	16/17 Forecast				
	Original Forecast	3 month re-forecast	6 month re-forecast	9 month re-forecast	YTD Total
403	403	403	403	553	554
320	321	321	321	444	445
82	82	82	82	111	108
243	20	20	20	20	239
208	235	233	213	213	222
208	235	229	206	206	216
210	210	213	227	207	241
66	118	118	105	94	89

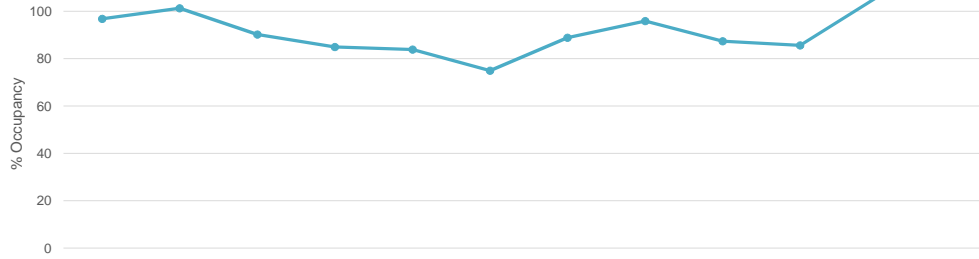
Hearing Days and Witnesses



	2015			2016												2017								
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar
Days of Final Hearing	112	83	75	88	98	112	97	128	79	113	120	89	156	83	145	136	129	140	155	176	79	157	164	183
Days of Review Hearing	10	19	11	12	13	9	15	18	8	15	12	17	13	19	13	12	15	9	7	11	11	7	11	17
Days of Interim Order Hearing	26	26	15	27	17	21	24	14	20	20	18	19	18	26	24	23	13	16	18	23	24	27	25	40
Days of Restoration Hearing	1	0	0	0	0	2	1	0	1	0	1	1	0	3	0	1	0	0	0	0	1	0	1	0
Days of GSCC transfer Final Hearings	0	6	4	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
Days of Investigating Panel Hearing	11	10	10	10	10	12	10	11	8	11	11	9	10	9	8	10	9	11	10	10	7	10	8	9
Total Days of Hearing	160	144	115	149	138	156	147	171	116	159	162	135	197	140	190	182	166	176	190	220	122	201	209	255
Days of External Hearing	18	22	39	5	19	22	12	17	30	20	32	22	42	23	21	41	16	23	25	35	16	38	33	34
% of Days of External Hearing	11	15	32	3	13	14	8	10	25	12	19	15	21	16	11	23	10	13	13	14	13	19	16	13
Average days per Final Hearing	2.9	3.0	2.5	2.6	3.2	2.8	3.1	4.1	2.2	3.6	3.4	2.4	3.7	1.9	3.1	3.1	2.7	3.3	3.5	3.5	2.3	3.6	3.5	2.8
Number of Witnesses (Fact)	59	67	66	61	72	77	63	88	45	70	84	54	97	95	89	87	72	86	99	122	39	100	75	123
Number of Witnesses (Expert)	2	2	1	2	0	2	0	1	0	2	1	3	4	3	1	1	2	3	1	1	0	2	1	0

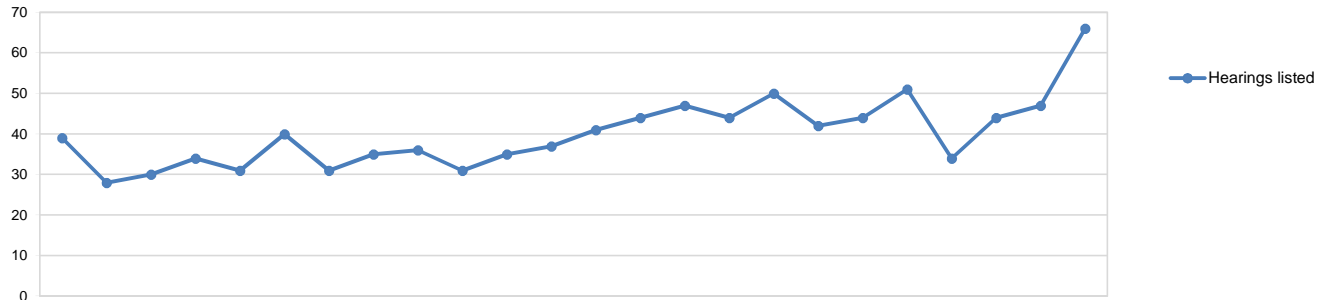
12/13 FYE	13/14 FYE	14/15 FYE	15/16 FYE	16/17 YTD
574	870	1180	1,194	1,703
77	101	124	159	145
107	124	196	247	277
1	1	5	7	6
32	253	40	22	6
123	108	136	123	111
957	1,554	1,672	1,752	2,248
198			258	166
21			15	15
2.5	3.6	3.4	3.7	3.1
529	907	937	806	1,084
6	17	15	16	19

405 Kennington Road Capacity



	2016	2017										16/17 YTD	
	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb		Mar
Available Days for Hearing	20	19	22	20	22	22	21	22	16	21	20	23	248
405 KPR Hearing Room Capacity	160	152	176	160	176	176	168	176	128	168	160	184	1,984
405 KPR Forecast Capacity	160	152	176	160	176	176	168	176	128	168	160	184	1,984
405 Hearing Room Used	155	154	159	136	147	132	149	169	112	144	170	196	1,823
405 % Occupancy	97	101	90	85	84	75	89	96	88	86	106	107	92
405 ICP Room Capacity	20	19	22	20	22	22	21	22	16	21	20	23	248
405 ICP Room Capacity Used	50	47	36	50	41	50	48	45	44	48	40	39	45

Panel Hearings Decisions (Final Hearings)



		2015			2016									2017						12/13	13/14	14/15	15/16	16/17						
		Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	FYE	FYE	FYE	FYE	YTD
Conduct & Competence and Health Committee	Cases listed for final hearings	39	28	30	34	31	40	31	35	36	31	35	37	41	44	47	44	50	42	44	51	34	44	47	66	266	311	420	407	554
	Adjourned / cancelled / postponed	2	1	0	2	1	1	4	5	0	3	3	4	4	4	8	5	2	4	13	2	2	2	5	5	24	25	34	26	56
	Part heard	4	5	2	3	3	5	2	4	5	4	10	6	4	4	6	10	2	3	6	3	4	5	1	4	11	15	38	53	52
	Referred to other committee	1	0	1	0	0	0	0	1	0	0	0	1	1	0	0	0	0	0	0	1	0	2	0	0	2	4	3	4	4
	Caution	5	3	0	3	2	2	2	2	3	1	2	3	5	4	1	1	3	3	5	2	1	3	3	4	41	36	52	28	35
	Conditions of practice	3	1	3	6	2	2	3	2	4	6	4	2	1	4	2	5	2	5	1	5	2	1	5	5	14	26	39	38	38
	No further action	1	1	0	1	0	0	0	1	0	1	0	0	1	0	4	1	0	2	0	0	0	0	1	2	2	6	6	5	11
	Not well founded /wholly discontinued	4	3	9	7	8	10	9	9	11	4	2	8	10	12	8	7	11	12	7	11	7	8	11	13	54	69	89	84	117
	Consent - removed	4	2	1	0	3	2	3	3	1	3	2	2	0	1	2	2	7	2	0	1	5	1	3	7	12	20	31	26	31
	Consent - caution	0	0	2	1	0	0	1	1	0	0	0	0	0	0	0	0	2	1	0	0	0	0	0	1	n/a	0	0	5	4
	Consent - conditions	0	1	0	0	1	0	0	0	0	0	2	1	0	0	0	0	0	0	0	0	1	1	0	0	n/a	0	0	5	2
	Consent - suspension	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	n/a	0	0	1	0
	Struck off	6	4	5	8	5	10	5	5	7	3	5	6	7	7	6	11	6	3	9	11	8	9	5	10	44	52	62	69	92
Suspended	7	7	6	5	7	6	2	2	3	4	6	4	8	8	9	2	15	7	7	15	4	12	13	15	61	57	69	59	115	
Investigating	Amended	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	No further action	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	
	Not well founded	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Part heard	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	
	Removed	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	1	0	0	
Cases concluded	30	22	26	31	28	32	25	25	29	23	23	26	32	36	32	29	46	35	29	45	28	35	41	57	228	266	348	320	445	
% of Concluded Cases Disposed of via consent	13	14	12	3	14	6	16	16	3	17	17	12	0	3	6	7	20	9	0	2	21	6	7	14	5	8	9	12	8	
% of Concluded Cases with a Reviewable Sanction	33	36	35	35	32	25	20	16	24	43	43	23	28	33	34	24	37	34	28	44	21	37	44	35	33	31	31	30	34	