

Health Professions Council - 29 May 2008

**Equality and Diversity Scheme** 

Executive summary and recommendations

#### Introduction

At its meeting on 13 December 2008, the Council approved the equality and diversity scheme.

The text of the scheme has undergone minor revision since then, for accuracy, and to ensure that the statements of policy were updated with recent changes to equality legislation. These changes were minor in nature and did not change the substantive content of the scheme approved by the Council.

The equality and diversity scheme is now published on the HPC website and the published scheme is attached to note.

#### **Decision**

This paper is to note; no decision is required.

#### **Background information**

Paper considered by Council on 13 December 2008

http://www.hpc-

uk.org/assets/documents/10002047council meeting 20071213 enclosure07.pdf

#### **Resource implications**

none

#### Financial implications

none

#### **Appendices**

none

# Date of paper

19 May 2008



# **Equality and Diversity Scheme**

#### Introduction

- 1. The Health Professions Council (HPC) is the statutory regulator of thirteen health professions. Its primary function is to protect the public and it does so by:
  - setting standards;
  - approving programmes of education and training;
  - o registering health professionals; and
  - investigating and adjudicating allegations about their fitness to practise.
- 2. In the course of doing so we interact with a diverse range of people, including:
  - the public, especially complainants or witnesses in fitness to practise proceedings;
  - registrants and potential registrants;
  - o education and training providers;
  - health care providers, professional bodies, consumer groups and other partner organisations; and
  - our employees and the "partners" who carry out tasks on our behalf.

# Legislation

- 3. In recent years, UK government policy has moved from legislating to ensure that public bodies treat all people they impact equally; to legislation to ensure that public bodies positively promote a diverse and inclusive society.
- 4. We are required to meet the general duties under relevant equality legislation, including:
  - Race Relations Act 1976;
  - Disability Discrimination Act 1995
- 5. The legislation also requires some public bodies to meet certain 'specific duties' such as publishing a scheme. We are not one of those bodies but decided that, as part of good practice, we would go beyond what the law requires and publish an equality and diversity scheme. This scheme describes the steps we have taken and will

take in order to ensure that we do not discriminate against people on the basis of:

- Disability
- o Age
- Gender
- Sexual orientation
- o Race
- o Religion
- 6. The scheme builds on our statements of our policy for each of these groups (which are set out overleaf), but is a single scheme, rather than a series of schemes for each of those groups, as many of the steps we might take to avoid or mitigate any adverse impact are relevant to all of them.

## **Race Equality Policy**

This policy is specific to issues relating to race and ethnicity.

The Race Relations Act 1976 makes it unlawful, without justification, to discriminate on grounds of race and, as amended by the Race Relations (Amendment) Act 2000, places a duty on public authorities to promote race equality.

HPC is committed to providing equal access to employment and the services it provides and will not discriminate on the grounds of race, colour, nationality, ethnic origin, cultural background or religion (where the religion is defined as a racial group).

- work with representatives of ethnic and racial groups to ensure that HPC's services meet their needs and to improve access to those services;
- promote access to information, services and decision-making, where appropriate, through the use of translation and interpretation services;
- welcome and encourage job applications from people from ethnic minority backgrounds;
- ensure that allegations of racial discrimination and harassment are investigated thoroughly, speedily and with sensitivity.

## **Disability Equality Policy**

This policy is specific to issues relating to disability.

The Disability Discrimination Act 1995 applies to HPC in its capacity as an employer, service provider and as the "qualifying body" for those it regulates. The Act makes it unlawful, without justification, to treat disabled people less favourably or to subject them to discrimination or harassment.

The Act defines disability as a physical or mental impairment which has a substantial and long-term adverse effect upon a person's ability to carry out normal day to day activities, but HPC also recognises that society's attitudes towards disabled people are one of the main barriers to ensuring that disabled people have equal rights of access to services and employment.

HPC is committed to providing equal access to employment and the services it provides and will not discriminate on the grounds of disability.

#### The HPC will:

- work with representatives of disabled people to ensure that HPC's services meet their needs and to improve access to those services;
- promote access to information, services and decision-making through, for example, the use of interpretation for the hearing impaired, the use of Braille etc;
- ensure that, so far as possible, HPC events are held in premises which have appropriate facilities for disabled people;
- welcome and encourage job applications from disabled people;
- undertake, wherever possible, to retain employees who experience disability, by making reasonable changes to their duties or working environment;
- ensure that allegations of discrimination and harassment on the grounds of disability are investigated thoroughly, speedily and with sensitivity.

## **Gender and Sexual Equality Policy**

This policy is specific to the concerns of men, women, bi-sexual people, gay men, lesbian women, transgender people and those who are undergoing or who have undergone gender re-assignment.

The Sex Discrimination Act 1975 makes it unlawful to discriminate without justification on grounds of gender and the Sex Discrimination (Gender Reassignment) Regulations 1999 extend the protection provided by that Act to those undergoing or who have undergone gender reassignment. The Equality Act 2006 makes it unlawful, in the exercise of public functions, to discriminate on the grounds of gender or sexual orientation.

HPC is committed to providing equal access to employment and the services it provides and will not discriminate on the grounds of gender, gender reassignment or sexual orientation.

- work with representatives of gay, lesbian, bi-sexual and transgender people to ensure that HPC's services meet their needs and to improve access to those services;
- confront homophobic attitudes, behaviour and language and encourage understanding of individual rights and attributes;
- encourage a culture of openness about sexual orientation and gender whilst maintaining the right to privacy;
- ensure men and women are paid the same for doing work of equal value and that flexible working policies apply equally to men and women, enabling them to make personal choices about their parenting, caring and work roles;
- ensure that allegations of discrimination and harassment on the grounds of gender, gender reassignment or sexual orientation are investigated thoroughly, speedily and with sensitivity.

## **Religion and Belief Equality Policy**

This policy is specific to the concerns of people from different faith groups.

The Equality Act 2006 makes it unlawful to discriminate, without justification, on grounds of religion or belief by treating a person less favourably or by subjecting them to victimisation or harassment.

HPC is committed to providing equal access to employment and the services it provides and will not discriminate on the grounds of religion or belief.

- ensure cultural and religious festivals, holidays and rights of worship are acknowledged and recognised across the organisation;
- where reasonably practical, ensure events and hearings are not scheduled on cultural or religious festivals so as to prevent people from attending;
- ensure leave is not unreasonably withheld from employees who may wish to celebrate cultural and religious festivals;
- not impose dress restrictions on employees which may be discriminatory, unless such restrictions can be objectively justified in terms of meeting a legitimate health and safety or business aim;
- ensure that allegations of discrimination and harassment on the grounds of religion or belief are investigated thoroughly, speedily and with sensitivity.

## **Age Equality Policy**

This policy is specific to the concerns of people of all ages and in particular younger and older people.

The Employment Equality (Age) Regulations 2006 make it unlawful to discriminate without justification on grounds of age in employment and vocational training. Age discrimination occurs because assumptions are made about older and younger people that are based on inaccurate, outdated and inappropriate stereotypes.

HPC is committed to providing equal access to employment and the services it provides and will not discriminate on the grounds of age.

- encourage and support activity which is aimed at eliminating ageist attitudes and practices;
- ensure that, in providing its services, it identifies and addresses the specific needs of different age groups, particularly older people and children;
- seek to employ a mixed-age workforce that includes older and younger people;
- where circumstances permit, support employees who wish to work beyond the mandatory retirement age of 65, in order to retain their knowledge, skills and experience in a manner which benefits both parties;
- ensure that allegations of discrimination and harassment on the grounds of age are investigated thoroughly, speedily and with sensitivity.

## Developing a scheme

- 7. Our work in producing a scheme was led by a project team with participation drawn from our Executive Management Team and managers across the organisation. The work was led by a project lead and managed by a project manager.
- 8. Our first step in producing a scheme was a screening process carried out by the project team.
- 9. We asked the head of each directorate or department to detail their processes.
- 10. This was screened by the project team in partnership with the directorate / department head. The project team:
  - identified which functions were more relevant than others to meeting the general duties and to eliminating discrimination generally;
  - o prioritised those functions;
  - identified those areas of work already undertaken and in the workplans of directorates and departments in the coming financial year which support the aims of the equality and diversity scheme;
  - o identified initial action points; and
  - identified where further consultation both internal and external
     might be desirable.

# Arrangements for training of employees, council members and partners

- 11. Early on in the development of the scheme, the members of the project team, and our Executive Management Team received training in equality and diversity issues.
- 12. We have also held training in equality and diversity issues for council members and for some of the 'partners' who carry out work on our behalf.
- 13. We have started a rolling programme of training in equality and diversity issues for existing and new employees.

# Collection of demographic data

- 14. An important ongoing action point identified in the scheme is the collection of demographic data.
- 15. In April 2007, we began collecting demographic data from witnesses and registrants involved in our fitness to practise process. This involved sending an equality monitoring form to complainants

and registrants at an early stage in the course of dealing with a complaint.

- 16. We already collect demographic information from applicants for employment and new employees and will continue to do so. We currently collect the following information:
  - Gender
  - Age
  - Disability
  - Race/ Ethnicity

We currently collect the following demographic information about registrants:

- Gender
- Age
- Nationality
- 17. In order to be sure that it is necessary to collect additional information, we plan to take a pragmatic approach, before deciding whether it is necessary to collect further data.
- 18. As detailed in the action points, we will first ask our Finance and Resources Committee to consider an analysis of the demographic data we hold for applicants and employees.
- 19. We will then ask our fitness to practise committees to consider an analysis of the data collected in the first year from registrants and complainants involved in our fitness to practise process.
- 20. Analysing this data will help us understand how our processes are working and potentially reveal further steps we could take to mitigate or eliminate any adverse impact.
- 21. Following this we will decide whether we need to consider extending our data collection to the general registrant population. This could include adding a monitoring form to all new application forms and, potentially, considering whether we should begin to collect data from existing registrants.

#### Consultation and involvement

22. We have used the following methods to contact and involve organisations and individuals in the development of the scheme.

## Employee involvement

23. Heads of directorates and departments were involved in putting together the scheme, in addition to the members of the project team.

- 24. We also established an internal working group of ten employees. This comprised of nine volunteers drawn from across the organisation, and a member of the project team. The composition of the internal working group broadly represents the diversity of employees.
- 25. The internal working group will perform an important role in helping to fulfil the action points in the scheme.
- 26. For example, the internal working group have begun work by starting to impact assess human resources / partners policies.
- 27. Early drafts of the scheme were also considered by the middle management group (comprising of representatives drawn from each department) and the executive management team.
- 28. We will also consult with the wider employee population where appropriate. We routinely consult with employees when we make amendments to human resources policies.

#### External consultation and involvement

- 29. We intend to take a pragmatic and targeted approach to external consultation and involvement. We will identify where further consultation or involvement is necessary. In doing so we will consider whether consultation would be proportionate to the importance of the process and the extent of the possible or perceived adverse impact identified.
- 30. In the scheme, there are a number of action points which involve seeking external input on specific tasks. For example, we say that we will continue to involve outside individuals and organisations in reviewing our publications and in reviewing the accessibility of our offices.
- 31. At the end of the lifetime of the scheme, we will review the effectiveness of this approach. This might include considering whether a different approach to achieving external involvement is necessary.

# Ongoing monitoring of action points and equality duties

- 32. Each individual department will be responsible for meeting the action points detailed in the scheme. Monitoring of the action plan will be undertaken by the project lead and project manager, with the project team.
- 33. As detailed in the action points, departmental work plans will now include an equality impact assessment to ensure that the equality implications of ongoing work are considered. These assessments will be considered by the project team on a periodic basis to ensure that all implications are considered and accounted for.

- 34. Our ongoing monitoring will consider not only whether any new or existing policies and processes have a negative impact on any group, but also whether there are opportunities for achieving a more positive impact.
- 35. Other ways of monitoring our progress are detailed in the action points, including regular scrutiny of information by our Council and Committees. For example, we say that our Finance and Resources Committee should consider demographic information collected from employees and applicants each year and further actions may arise from this consideration.
- 36. This scheme will run for three years. We will publish progress reports each year. These will be scrutinised by our Council prior to publication.

#### **Further information**

- 37. We would welcome any questions or feedback about our scheme.
- 38. If you have any questions or comments please contact us:

Equality and Diversity
Health Professions Council
Park House
184 Kennington Park Road
London
SE11 4BU

Email: policy@hpc-uk.org Tel: 0207 840 97815

Functions:  Our Human Resources department is responsible for:  Recruitment Training Implementing or updating HR policies Absence Management Employee relations Advising the Remuneration Committee  Recent, existing and ongoing practice  We have taken steps to ensure that our selection process is fair and free from discrimination by ensuring that interview panels do not have access to personal information when short listing.  We have completed a review of our employee handbook to ensure that equality and diversity legislation is accounted for prior to publication of the scheme.	
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Action points	
<ul> <li>We will implement a rolling programme of reviewing HR policies on a regular basis, in consultation with the equality and diversity internal working group, middle management team and employees.</li> </ul>	Ongoing.
disabled employees, particularly around adjustineits	Part of the above
<ul> <li>As part of the information and consulting regulations, we will recruit HR representatives drawn from the diversity of the employee population. They will act as a link between HR and employees.</li> </ul>	July 2008

Department/ Directorate	Completion date
Action points (continued):	
<ul> <li>We will conduct an employee attitude survey to find out what our employees like and dislike about HR practices in the organisation.</li> </ul>	April 2008
<ul> <li>We will explore the feasibility of working towards obtaining the 'two ticks' symbol which denotes organisations that are positive about disability.</li> </ul>	To end of 2010
<ul> <li>We will review the complaints and appeals processes for partners, including updating the guidance for appeals panel members to include guidance on equality and diversity issues relevant to their role.</li> </ul>	April 2008
<ul> <li>We will analyse and monitor the data we collect for human resources purposes and produce a report each year for our finance and resources committee.</li> </ul>	November 2007 and ongoing

Department/ Directorate	Completion Date
Facilities	
Functions:	
The Facilities department is responsible for:	
<ul> <li>Buildings and facilities</li> <li>Mail</li> <li>Reception</li> <li>Catering</li> <li>Security</li> </ul>	
Existing, Recent and ongoing practice:	
We commissioned an accessibility audit in 2006. We have made the following changes in line with the audit:  we have improved the signage at our offices  we have changed the handrails to the entrance of our offices  we have added high visibility nosings and contoured floor coverings at the top and bottom of the steps leading to the entrance of our offices	
Action points:	
<ul> <li>We will explore making the following improvements to our facilities:</li> </ul>	
<ul> <li>induction loops in the council chamber and reception area</li> </ul>	Installation is planned by end of 2008
o audio description in the lifts	Viability of proposal explored by end of 2009, with present aim for completion by end of 2011.
<ul> <li>We will review our progress against the accessibility audit conducted in 2006 and present the findings, and our recommendations for future plans, to our Finance and Resources Committee.</li> </ul>	By end of 2008

Department/ Directorate	Completion Date
Action points (continued):	
We will ensure that employees working on reception receive training on our arrangements for assisting people with disabled people who are visiting our offices.	Ongoing

Department/ Directorate	Completion date
Communications	
Functions:	
The functions of the Communications department are:	
<ul> <li>Media and campaigns</li> <li>Events</li> <li>Public Affairs</li> <li>Publications</li> <li>Internal communications</li> <li>The internet</li> </ul>	
Recent, existing and ongoing practice:	
We worked with the Fitness to Practise department to review two brochures – 'How to make a complaint about a health professional' and 'What happens if a complaint is made about me?' The publications benefited from the input of people with communication disabilities and we used this feedback to improve the accessibility of the style and content.	
We recently produced a 'house style' guide which will guide employees in producing publications. This will ensure that the language and layout of publications is accessible and consistent.	
We revised our visual identity and this was essentially aimed at making our publications more accessible. In carrying out this work, we benefited from the input of disability organisations.	
Action points:	
We will continue to seek the input of groups representing disabled people and other groups when reviewing the style, text and accessibility of our publications.	Ongoing
We will seek the input of our stakeholders on equality and diversity issues in our opinion polling to be commissioned in Autumn 2007.	November 2007

Department/ Directorate	Completion date
We will add to all our publications so that it is clear that all our publications are available in alternative formats (e.g. Braille).	Ongoing
We will review the layout and content of our website to ensure that it is as accessible as possible. This will include publishing key publications on our website in a range of different languages.	March 2008
We will continue to participate in the Joint Regulators Public Patient Involvement (PPI) group which aims to develop consistent ways in which regulators can ensure the wider involvement of patients and the public in their work.	Ongoing
We will publish our brochure 'How to make a complaint about a health professional' on our website in a number of different languages.	End of 2008

Directorate/ Department	Action points
Policy and Standards	
Functions:	
The Policy and Standards department is responsible for:	
<ul> <li>assisting the Council in developing strategy and policy;</li> <li>assisting the Council in setting and reviewing standards;</li> <li>assisting the Council in drafting guidance;</li> <li>supporting Professional Liaison Groups (PLGs);</li> <li>managing consultations;</li> <li>managing the new professions, or 'aspirant groups' process;</li> <li>liaising with the Council for Healthcare Regulatory Excellence (CHRE) on their annual performance review; and</li> <li>ensuring consistency of approach across all HPC departments.</li> </ul>	
Existing, recent and ongoing practice	
We sought the input of patient groups in our recent review of our standards of conduct, performance and ethics.	
When we reviewed our standards of proficiency we considered the code of practice produced by the Disability Rights Commission for qualifications bodies.	
We have recently published guidance for education providers and for applicants and potential applicants to approved courses about health, disability and registration.	
Action points	
We will review our consultation process to ensure that the ways in which we consult are appropriate, accessible and reach a broad audience. The outcomes of our review will be considered by our Council.	April 2008

Directorate/ Department	Action points
Action points (continued):	
<ul> <li>We will undertake a piece of work, seeking the input of disabled people and of education providers, to assess the impact and effectiveness of our guidance for disabled people, education providers and doctors – 'A disabled person's guide to becoming a health professional' and 'Information about the health reference'.</li> </ul>	2009/10 financial year
<ul> <li>As part of our work in implementing the 'Healthcare Professionals Crossing Borders Agreement' we will examine using translation services to improve our accessibility for members of the public who do not speak English.</li> </ul>	January 2008
<ul> <li>We will work with our Education department to produce a position statement / guidance in light of recent age discrimination legislation. This will describe how this affects education providers and our role in approving courses.</li> </ul>	January 2008
<ul> <li>We will consider equality and diversity implications when we review our returners to practice requirements.</li> </ul>	Review begun 2010/11 financial year
<ul> <li>We will work with our communications department to produce a Welsh language Scheme.</li> </ul>	2008/09 financial year
<ul> <li>On an ongoing basis, and, formally by the end of the currency of this scheme, we will review our approach on consultation and involvement of external organisations and individuals on issues with relevance to equality and diversity.</li> </ul>	Ongoing and by end of 2010

Depa	rtment/ Directorate	Completion date
Fitnes	ss to Practise	
Funct	tions:	
_	nain functions of the Fitness to Practise tment are:	
•	Investigation of complaints about registrants Adjudication of cases Case management Registration appeals Setting hearings Protection of title and health and character cases	
Existi	ng, recent and ongoing practice:	
•	We have changed the standard of acceptance for allegations so that we can, in some circumstances, take complaints by telephone. This will assist complainants who are less able to make a complaint in writing.	
•	We have reviewed our complaints leaflets, in conjunction with the communications department.	
Actio	n points:	
•	We will review our practice notes (which provide guidance to panel members) in light of the changes to equality legislation. In particular, we will revise the practice note on equal treatment.	Ongoing
•	We will produce a new practice note on disability to ensure that panels are informed about the law and requirements about reasonable adjustments.	March 2008
•	We will continue to train our fitness to practise partners in issues pertinent to ensuring a fair hearing, including equality and diversity issues and we will evaluate the effectiveness of this.	Legal Assessors will be trained in equality and diversity issues in January 2008
•	We will collect demographic data from registrants involved in fitness to practise proceedings and from complainants. We will analyse this data and present the findings to our three fitness to practise committees.	April 2008

Department/ Directorate	Completion date
Action points (continued):	
We will review the way in which we schedule hearings to ensure that we identify and accommodate any additional needs at an early stage.	February 2008 and ongoing
We will establish a policy around the handling and purchasing of religious books.	January 2008

Department/Directorate	Completion date
Education: Approvals and Monitoring	
Functions:	
The main functions of the Education: Approvals and Monitoring department are:	
<ul> <li>Visiting education providers to assess their programmes against the standards of education and training and training.</li> <li>Managing the annual monitoring and major/minor changes processes.</li> </ul>	
Existing, recent and ongoing practice:	
<ul> <li>Awareness of equality and diversity issues is imbedded throughout our standards of education and training. In particular, we have specific requirements for education providers and placement providers to have equal opportunities and anti-discrimination policies and to offer sufficient pastoral support to students.</li> </ul>	
<ul> <li>We routinely take into account the needs of visitors, education providers and employees when we arrange approvals visits.</li> </ul>	
Action points	
<ul> <li>We will work with the Communications department to formally review our publications against the house style as part of our rolling programme of reviewing our processes and publications.</li> </ul>	Ongoing - Annual monitoring and approvals publications reviewed in 2008/09 financial year
We will work with the partner manager to train visitors on equality and diversity issues.	From December 2008
<ul> <li>We will work with the policy and standards department to produce a position statement / guidance in light of recent age discrimination legislation. This will describe how this affects education providers and our role in approving courses.</li> </ul>	January 2008

Department/Directorate	Completion date
Secretariat	
Functions	
<ul> <li>Support the Council and committees that these have the governance structure administrative support, and the resonanced to deliver the HPC strategy.</li> </ul>	ctures, the
Organisational lead on matters relati implementation of the Freedom of In and the Data Protection Acts.	
Existing, recent and ongoing practice	
<ul> <li>Recruitment campaigns for new Coumembers aim to target a diverse rancandidates.</li> </ul>	
Council and committee papers provid different formats if requested.	ded in
Consideration given to accessibility ovenues.	of external
<ul> <li>Feedback forms provided for Counci to assist the Secretariat in providing needs.</li> </ul>	
Action points	
Continuing consideration will be give methods of ensuring that Council me recruited from a diverse range of back	embers are
The Secretariat will work with the Communications Department to furth the format of Council papers to ensu these are accessible to all groups.	· I
All new Council members will receive and diversity training.	e equality Ongoing

Department/Directorate	Completion date			
Information Technology				
Functions				
<ul> <li>Back Office systems</li> <li>Network and security systems</li> <li>IT projects</li> <li>Information services</li> </ul>				
Existing, recent and ongoing practice				
<ul> <li>Adjustments researched and offered to employees with particular needs on an individual basis.</li> </ul>				
Action points				
There are no specific action points for the Information Technology department. However, the department is likely to play an important role in the delivery of action points in other areas (e.g. in collection, storage and analysis of demographic data).				

Department/Directorate	Completion date
Registrations	
Functions:	
The registrations department is responsible for:	
<ul> <li>Processing applications for registration from UK, international and grandparenting applicants.</li> <li>Answering queries from applicants.</li> <li>Processing applications for readmission to the register and for renewal.</li> <li>Changes of address and other registration administration.</li> <li>Answering queries from registrants.</li> </ul> Existing, recent and ongoing practice:	
We revised our application forms and guidance notes to make sure that they were accurate, easy to follow and written in easy to understand English.	
Action points:	
There are no specific action points for the registrations department. However, a number of the action points elsewhere, particularly in relation to alternative formats, are likely to benefit this area as well.	

Department/Directorate	Completion date
Finance	
The main functions of the finance department are:	
<ul> <li>Management and financial accounting</li> <li>Accounts payable</li> <li>Banking</li> <li>Suppliers</li> </ul>	
Action points	
We review our fees every two years. When we review our fees, we will consider the equality and diversity implications of our existing fees, and any proposed new fees, drawing on the existing data we hold. For example, we might consider whether our readmission fee has a disproportionate impact on certain groups rather than others.	Ongoing
We will explore the possibility of making equality and diversity requirements of suppliers during our tender process. This will include considering practices in other organisations.	2008/09 financial year

Department/Directorate		Completion date
All		
•	We will analyse the demographic data we hold and consider whether we need to collect further data. In particular we will:	
-	present an analysis of the demographic data collected as part of human resources practice to our finances and resources committee.	November 2007
-	present an analysis of the demographic data collected as part of our fitness to practise process.	April 2008
-	make further decisions about whether to collect further data, and, if so, what that data should be.	Ongoing – from April 2008
•	Each directorate/ department will include an equality impact assessment in their yearly workplans. The workplans set out the planned work for that department in the coming financial year. These will be periodically reviewed by the project team.	Ongoing
•	We will begin a rolling programme of training for all employees, partners and council members on equality and diversity issues.	Ongoing