
Internal Audit Progress Report 2021-22

Executive Summary

This report summarises the progress so far regarding the delivery of the internal audit plan for 2020/21.

Management has requested that the planned Policy and Standards audit be replaced. The Committee's input is welcomed for a discussion on a suitable replacement to the planned Policy and Standards audit.

Previous consideration	None.
Decision	To note / discuss potential changes to the plan
Next steps	The Committee will receive update reports at each meeting on the progress of the Plan.
Strategic priority	All
Risk	All
Financial and resource implications	None as a result of this report
Author	BDO LLP



**INTERNAL AUDIT PROGRESS REPORT
FOR THE 2021/22 AUDIT PLAN
HEALTH AND CARE PROFESSIONS COUNCIL**

**CONFIDENTIAL
STATUS - FINAL**

JUNE 2021

1. Internal Audit Progress Report

Introduction

- 2.1 This report summarises the progress so far regarding the delivery of the internal audit plan for 2020/21. The Audit & Risk Assurance Committee is requested to note this report.

Delivery of the plan

- 2.2 Scheduling has commenced for the 2021/22 internal audit work. Scoping meetings have been held for the Risk Management Framework audit and the draft scope is with management for consideration. The remaining audits have audit resource scheduled for completion and scoping meetings are being arranged with management

Changes to the plan

- 2.3 Management has requested that the planned Policy and Standards audit be replaced as it is felt that more value would be achieved from an audit looking at HCPC's Digital Transformation or benefits realisation of new IT systems. We also draw attention to audit topics from the "reserve list" from our initial annual plan. Other audit topics that could be meaningfully substituted include Media Handling, Culture and Ethics, or Board Effectiveness. The Committee's input is welcomed for a discussion on a suitable replacement to the planned Policy and Standards audit.

Assignment title	Output type	Period	Status	Proposed Audit Committee Date
Risk Management Framework	Assurance	Q1	Scoping Complete	September 2021
Continued Professional Development	Assurance	Q2	Scheduled	November 2021
Safeguarding and Diversity	Assurance	Q3	Scheduled	November 2021
Policy and Standards*	Assurance	Q3	Scheduled	March 2022
Key Financial Controls	Assurance	Q4	Scheduled	June 2022
Education	Assurance	Q4	Scheduled	June 2022
Follow up	Assurance	Q4	Scheduled	June 2022

FOR MORE INFORMATION:

SARAH HILLARY

Sarah.hilliary@bdo.co.uk

BDO LLP, a UK limited liability partnership registered in England and Wales under number OC305127, is a member of BDO International Limited, a UK company limited by guarantee, and forms part of the international BDO network of independent member firms. A list of members' names is open to inspection at our registered office, 55 Baker Street, London W1U 7EU. BDO LLP is authorised and regulated by the Financial Conduct Authority to conduct investment business.

BDO is the brand name of the BDO network and for each of the BDO Member Firms.

BDO Northern Ireland, a partnership formed in and under the laws of Northern Ireland, is licensed to operate within the international BDO network of independent member firms.

Copyright ©2021 BDO LLP. All rights reserved.

www.bdo.co.uk

**Freedom of Information
Disclaimer**

In the event you are required to disclose any information contained in this report by virtue of the Freedom of Information Act 2000 ("the Act"), you must notify BDO LLP promptly prior to any disclosure. You agree to pay due regard to any representations which BDO LLP makes in connection with such disclosure and you shall apply any relevant exemptions which may exist under the Act. If, following consultation with BDO LLP, you disclose this report in whole or in part, you shall ensure that any disclaimer which BDO LLP has included, or may subsequently wish to include, is reproduced in full in any copies.

Document history

Final 01/06/2021

Distribution

HEALTH AND CARE
PROFESSIONS COUNCIL

Author:

William Jennings

Review:

Bill Mitchell