

Audit Committee, 10 June 2020

Matters Arising

Executive summary and recommendations

Attached is an actions list as agreed at the last public meeting of the Audit Committee.

	Action point (and location in the minutes)	For the attention of/ Comment on progress
4 March 2020		
1	<p>Item 11.vi Internal Audit Plan 2020-21 (report ref: AUD 12/20)</p> <p>The Committee queried the increase in the Internal Audit fee from 2019-20. BDO LLP advised that this was due to an increase in audit days. The Committee requested that the Executive and BDO review the plan to prioritise the review areas. (11.vi.3)</p>	<p>Internal Auditor / Executive</p> <p>Complete – the progress of the 2020-21 internal audit plan has been impacted by the pandemic. This is reflected in the update paper on today's agenda.</p>
2	<p>Item 12. Internal audit recommendations tracker (report ref: AUD 13/20)</p> <p>The Committee noted progress and reiterated their request for estimated completion dates for all actions, especially those marked as ongoing. (12.1)</p>	<p>Executive</p> <p>Complete</p>
3	<p>Item 13. Strategic Risk Register (report ref: AUD 14/20)</p> <p>The Committee requested that planned actions be updated in the Strategic Risk Register and that it reflects business improvement activities. (13.6)</p>	<p>Executive</p> <p>Complete</p>
4	<p>Item 15. Internal Assurance Report (report ref: AUD 16/20)</p> <p>The Committee agreed that the Internal Assurance Report should include reports on whistleblowing, fraud and health and safety incidents. (15.8)</p>	<p>Executive</p> <p>Complete</p>

5	<p>Item 17. Audit Committee forward work plan (report ref: AUD 17/20)</p> <p>The Committee noted that whistleblowing would be added to June and the interim governance statement to the November meeting. (17.1)</p>	<p>Executive</p> <p>Whistleblowing will now be presented at the September meeting due to the impact of the pandemic response on resource focus.</p>

Decision

The Committee is requested to note the document. No decision is required.

Background information

Please refer to individual papers and minutes for the background to decisions.

Resource implications

None

Financial implications

None.

Appendices

None.

Date of paper

03 June 2020