

Audit Committee, 4 March 2020

Matters Arising

Executive summary and recommendations

Attached is an actions list as agreed at the last public meeting of the Audit Committee.

	Action point (and location in the minutes)	For the attention of/ Comment on progress
5 November 2019		
1	Item 9.ii. Internal Audit report – Social Workers Transfer – (report ref: AUD 36/19) The Committee agreed that for the 2020-21 internal audit plan, the objectives for each proposed review area should be documented to ensure there are clear expectations of audits. (9.ii.5)	BDO – reflected in 2020-21 Internal Audit Plan
2	Item 12. National Audit Office Audit Planning Report - 2019-20 (report ref: AUD 40/19) The Committee discussed the introduction of a bi-annual assurance statement from the AO to Council. This model was in use elsewhere in the public sector. The Executive agreed to consider this. (12.4)	Executive – Bi-annual assurance statement to be included on November 2020 Agenda.
5 March 2019		
5	Item 7.i. Key financial controls audit report (report ref: AUD 03/19) The Committee noted that sub-delegation of SMT authorisation limits would be addressed by a revision to the financial regulations, to be presented to the Audit Committee at a future meeting. (7.i.5)	Executive – A revised scheme of delegation is on the agenda for recommendation to Council.

Decision

The Committee is requested to note the document. No decision is required.

Background information

Please refer to individual papers and minutes for the background to decisions.

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Resource implications

None

Financial implications

None.

Appendices

None.

Date of paper

24 February 2020