



**INTERNAL AUDIT PROGRESS REPORT
FOR THE 2019/20 AUDIT PLAN
HEALTH AND CARE PROFESSIONS COUNCIL**

**CONFIDENTIAL
STATUS - DRAFT**

MAY 2019

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1. Internal Audit Progress Report

Introduction

- 1.1 This report summarises the progress so far regarding the delivery of the internal audit plan for Q1 of 2019/20.

Merger with BDO

- 1.2 Moore Stephens LLP merged with BDO on 1st February 2019. The same team will deliver the internal audit service.

Delivery of the plan

- 1.3 To date work has commenced on all three reviews within the Q1 plan. The assurance framework and mapping review is at the draft report stage and planning has commenced on the other two reviews. The draft terms of reference has been issued for the Quality Assurance review and planning has commenced on the Procurement advisory review.
- 1.4 Induction meetings were held with the Chair of Audit Committee, interim Chair of Council and senior leadership team as part of the onboarding process. These discussions, review of the enterprise risk register and assurance mapping review formed the basis for the development of the draft audit strategy and plan for the full year.
- 1.5 The full strategy and plan is in draft and being circulated to management.

Recommendation

- 1.6 The Audit Committee are requested to note this report.

Audit Plan 2019/20 Progress Table - Health and Care Professions Council

Assignment title	Output type	Period	2018/19 Budget	Actual to date	Status
Assurance Framework and Mapping	Advisory/ Assurance	May 2019	5,600	5,000	Draft Report
Quality Assurance	Assurance	June 2019	4,600	1,000	Draft terms of reference
Procurement	Advisory	June 2019	1,100	-	Planning
Induction	-	-	3,000	3,000	Completed
Internal Audit Strategy and Plan			4,300	2,150	Draft
Audit Charter	-	-	600	300	Currently being reviewed
Total			19,200	11,450	

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Document history

draft 24/05/2019

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HEALTH AND CARE
PROFESSIONS COUNCIL

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