

Audit Committee, 4 June 2019

Matters Arising

Executive summary and recommendations

Attached is an actions list as agreed at the last public meeting of the Audit Committee.

5 March 2019

	Action point (and location in the minutes)	For the attention of/ Comment on progress
	Item 7.i. Key financial controls audit report (report ref: AUD 03/19)	
1	The Committee discussed the presentation on the findings and recommended actions. The Committee requested that the internal auditors make a recommendation and the management response is described in a separate column.(7.1.4)	Complete – this format is followed in internal audit reports from BDO LLP.
2	The Committee noted that sub-delegation of SMT authorisation limits would be addressed by a revision to the financial regulations, to be presented to the Audit Committee at a future meeting. (7.i.5)	Executive - This paper will come to Audit Committee in September 2019
	Item 7.ii. Office refurbishment project audit report (report ref: AUD 04/19)	
3	The Committee noted that given the significance of the project and the importance of the lessons learnt the Council should consider the issues arising from the report at its May 2019 meeting.(7.ii.9)	Complete
	Item 7.iii. Review of recommendations (report ref: AUD 05/19)	
4	The Committee noted that the internal recommendations tracker, which also covers progress on low rated recommendations, will be included on the Committee's June 2019 agenda. (7.iii.4)	On today's agenda

	Item 9. Strategic Risk Register, focus on strategic risk two (report ref: AUD 07/19)	
5	The Committee agreed that the transfer project needed to be explicitly reflected in the SRR. It was agreed the Executive would consider how best to reflect this for the next iteration in June 2019. (9.3)	Executive - complete
6	The Committee discussed the addition of timeframes for planned actions. The Committee requested that expected completion dates be included, not just start dates. (9.4)	Executive – complete
7	The Committee asked the Executive to review the risk level and mitigations for strategic risk three, failure to be a trusted regulator and meet stakeholder expectations, in light of the Council’s decision to raise registrants’ fees, and to ensure that the impact of any new issues arising at Council are considered in the risk register. (9.5)	Executive - complete
	14. Any other business	
8	The Committee discussed which area of risk would be explored at the next meeting. It was agreed that the impact of the social worker transfer project on the strategic risks would be the focus of discussion.(14.1)	On today’s agenda

Decision

The Committee is requested to note the document. No decision is required.

Background information

Please refer to individual papers and minutes for the background to decisions.

Resource implications

None

Financial implications

None.

Appendices

None.

Date of paper

28 May 2019