



# **The Health and Care Professions Council (HCPC)**

## **Internal Audit Progress Report 2017-18**

February 2018

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# 1 Internal Audit progress to February 2018

## 1. Introduction

The purpose of this report is to inform the Audit Committee of the progress in delivering the internal audit plan for the year to date.

## 2. Progress against the 2017-18 Plan

Since the previous Audit Committee in January 2018, we have completed our audit of the Cyber Security Arrangements at HCPC. We raised three medium findings and two low rated findings. Overall HCPC's cyber security posture appears to be well developed and managed in a risk-based manner. Improvements in the areas we have raised in our audit report will help to strengthen the overall cyber security arrangements.

At the time of writing this progress report two other audits are underway and being finalised.

## 3. Overall Assurance position

At the time of writing this progress report we have not yet completed all the planned reviews, but at this stage, we have found nothing significant that

would prevent us from giving substantial assurance associated with the scope of our work and testing we have undertaken.

## 2. Progress against Internal Audit Plan 2017-18

Audit	Status of the review	Budgeted days	Start date of field work	End date of the field work	Executive Sponsor	Audit Committee reporting
Continuing professional development	In progress – finalizing management comments	5	05 December 2017	09 December 2017	Greg Ross-Sampson, Director of Operations Richard Houghton, Head of Registration	12 June 2018
Cyber Security Review	Completed	12	15 January 2018	31 January 2018	Guy Gaskins, Director of Information Technology	06 March 2018
Registrations Project	Terms of reference in progress	6	26 March 2018	03 April 2018	Greg Ross-Sampson, Director of Operations	12 June 2018
Key Financial Systems Review and Budget Forecasting (replaces Strategic and operational planning and combines the no. of audit days with the key systems review)	In progress – work is underway	12	22 January 2018	05 February 2018	Andy Gillies, Finance Director	12 June 2018
Annual Follow up (High and Medium Actions only)	Scoping	4	12 March 2017	14 March 2017	Louise Lake, Director of Council and Committee Services	12 June 2018
<b>Total budgeted days (6 reviews)</b>		<b>39 days</b>				
Strategic and operational planning	Deferred to the 2018-19 IA Plan.	10	11 September 2017	25 September 2017	Andy Gillies, Finance Director	21 November 2017



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